

# Principled Programming

Introduction to Coding in Any Imperative Language

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## Specifications and Implementations

We describe the specification of various kinds of programming-language constructs, and how their implementations contribute to a program that meets its requirements:

- *Statements*, which define effects.
- *Declarations*, which create program variables.
- *Methods*, which group *statements* and *declarations* into meaningful operations.
- *Classes*, which aggregate *methods* and *declarations* into coherent modules.

Programs serve a **purpose**. They satisfy a **requirement**.

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Some requirements are small: Square a number.

Some requirements are large: Control a rocket to the moon.

Regardless, our goal is to write a program that satisfies a requirement.

A **specification**, written as comment, is a precise articulation of a requirement.

*#.Specification.*

A **specification**, written as comment, is a precise articulation of a requirement.

`#.Specification.`

The dot (.) after the hash mark (#) signifies that the specification has not yet been implemented.

An **implementation**, aligned below it, says how to meet the requirement.

```
# Specification.  
Implementation
```

Write specifications as **imperatives** that say **what** must be accomplished.

```
# Specification.  
Implementation
```



Write specifications as **imperatives** that say **what** must be accomplished.

```
# Specification.  
Implementation
```

Sample program-segment derivations starting with the *specification-implementation pattern*.

Write specifications as **imperatives** that say **what** must be accomplished.

```
# Specification.  
Implementation
```

```
# Specification.  
Implementation
```

Write specifications as **imperatives** that say **what** must be accomplished.

```
# Specification.  
Implementation
```

```
# Output the square of an integer that is provided as input.  
Implementation
```

Write implementations that say **how** to do so.

```
# Specification.  
Implementation
```

```
# Output the square of an integer that is provided as input.  
n = int(input())  
print(n * n)
```

A given specification can be implemented in **multiple ways**.

```
# Specification.  
Implementation
```

WAY 1

```
# Output the square of an integer that is provided as input.  
n = int(input())  
print(n * n)
```

A given specification can be implemented in **multiple ways**.

```
# Specification.  
Implementation
```

WAY 2

```
# Output the square of an integer that is provided as input.  
# -----  
n = int(input())  
#.Let s be the square of n.  
print(s)
```

When the implementation of one specification contains another specification, the first should be followed by a line of dashes to indicate that the first specification is a level above its implementation.

A given specification can be implemented in **multiple ways**.

```
# Specification.  
Implementation
```

WAY 2

```
# Output the square of an integer that is provided as input.  
# -----  
n = int(input())  
#.Let s be the square of n.  
print(s)
```

When the specification is not yet implemented, it can stand as a single line within other lines, with no vertical whitespace around it.

A given specification can be implemented in **multiple ways**.

```
# Specification.  
Implementation
```

WAY 2

```
# Output the square of an integer that is provided as input.  
# -----  
n = int(input())  
#.Let s be the square of n.  
print(s)
```



A given specification can be implemented in **multiple ways**.

```
# Specification.  
Implementation
```

WAY 2a

```
# Output the square of an integer that is provided as input.  
# -----  
n = int(input())  
  
# Let s be the square of n.  
s = n * n  
  
print(s)
```

When the specification is not yet implemented, it can stand as a single line within other lines, with no vertical whitespace around it. But once it has been implemented, it (together with its implementation) should be set off from the rest with blank lines.

A given specification can be implemented in **multiple ways**.

```
# Specification.  
Implementation
```

WAY 2a

```
# Output the square of an integer that is provided as input.  
# -----  
n = int(input())  
  
# Let s be the square of n.  
s = n * n  
  
print(s)
```

A given specification can be implemented in **multiple ways**.

```
# Specification.  
Implementation
```

WAY 2b

```
# Output the square of an integer that is provided as input.  
# -----  
n = int(input())  
  
# Let s be the square of n.  
m = abs(n)  
s = 0  
for k in range(0, m):  
    s = s + m  
  
print(s)
```

Write specifications as **imperatives**.

```
# Output the square of an integer that is provided as input.  
n = int(input()); print(n * n)
```

Avoid meandering descriptions.

Be succinct. Eliminate needless words.

```
# Output the square of an integer that is provided as input.  
n = int(input()); print(n * n)
```

---

 **Repeatedly improve comments by relentless copy editing.**

---

By convention, state input before output.

```
# Input an integer, and output the square of that integer.  
n = int(input()); print(n * n)
```

Use **pronouns**.

```
# Input an integer, and output its square.  
n = int(input()); print(n * n)
```

Use letters as **pronouns**.

```
# Input integer k, and output k squared.  
n = int(input()); print(n * n)
```



Use letters as **pronouns**.

```
# Input integer j, and output j squared.  
n = int(input()); print(n * n)
```

The scope of such a pronoun is local to the specification.

Use letters as pronouns, or as the **names of variables**.

```
# Input integer n, and output n squared.  
n = int(input()); print(n * n)
```

Use letters as pronouns, or as the names of variables.

```
# Input integer n, and output n squared.  
print(math.pow(int(input()), 2))
```

But in this implementation there is no variable `n`, so `n` must be a **pronoun**.

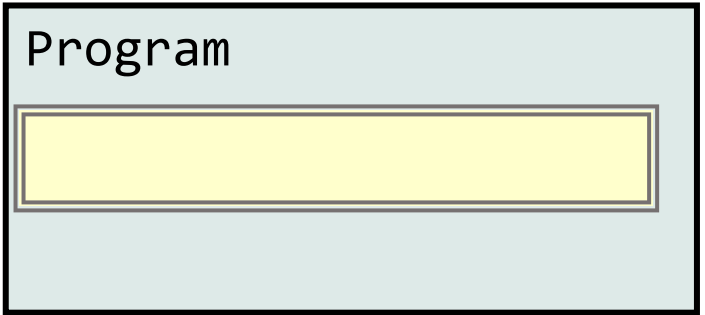
Use programming-language *expressions* in specifications, if you wish.

```
# Input integer n, and output n*n.  
n = int(input()); print(n * n)
```

But an *expression* in a specification isn't necessarily computed.

```
# Input integer x, and output the number n such that  $n*n=x$ .  
print(math.sqrt(int(input())))
```

Suppose, in a program, you need to exchange the values of variables  $x$  and  $y$ .



Write the specification as if in a higher-level programming language.

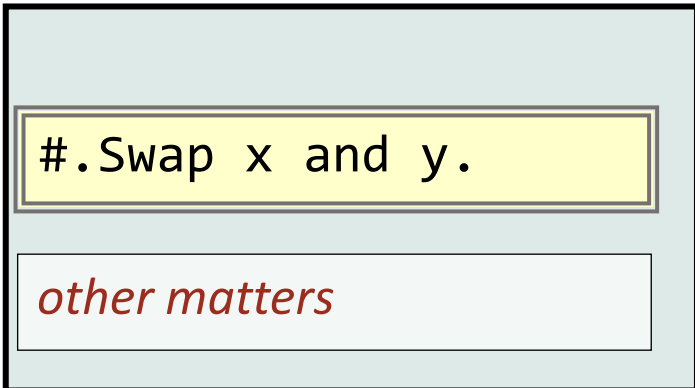
```
#.Swap x and y.
```

---

 **Write comments as an integral part of the coding process, not as afterthoughts.**

---

Defer implementation so you don't get distracted. Move on to **other matters**.



---

 **Write comments as an integral part of the coding process, not as afterthoughts.**

---



Or implement it now, if simple enough to not get distracted.

```
# Swap x and y.  
temp = x  
x = y  
y = temp
```

*other matters*

---

👉 **Write comments as an integral part of the coding process, not as afterthoughts.**

---

Then ignore it in considering the specification's relationship to other matters.

```
# Swap x and y.  
temp = x  
x = y  
y = temp
```

*other matters*

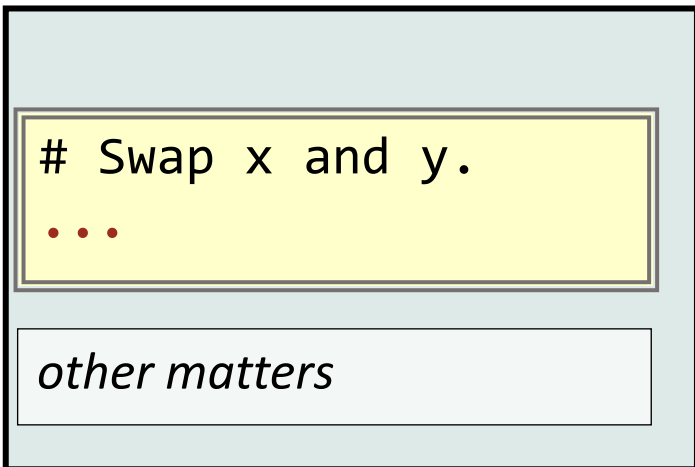
Let your eye **skip over the implementation.**

---

 **Write comments as an integral part of the coding process, not as afterthoughts.**

---

Then ignore it in considering the specification's relationship to other matters.



Let your eye skip over the implementation  
as if it were elided.



**Write comments as an integral part of the coding process, not as afterthoughts.**

---

An implementation can include another specification

```
# Swap x and y.
```

```
# -----
```

```
#.Copy x to temp.
```

```
x = y
```

```
y = temp
```

```
other matters
```

which is then implemented.

```
# Swap x and y.
```

```
# -----
```

```
# Copy x to temp.
```

```
temp = x
```

```
x = y
```

```
y = temp
```

```
other matters
```



A specification faces two directions, like the Roman god Janus.

```
# Swap x and y.
```

```
# -----
```

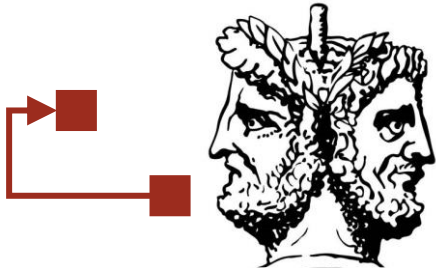
```
# Copy x to temp.
```

```
temp = x
```

```
x = y
```

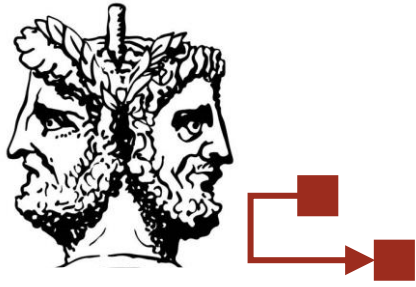
```
y = temp
```

```
other matters
```



**Outward**, it is part of the implementation of an encompassing specification.

```
# Swap x and y.  
# -----  
# Copy x to temp.  
temp = x  
  
x = y  
y = temp  
  
other matters
```



**Inward**, it is a specification that is being implemented.

```
# Swap x and y.
```

```
# -----
```

```
# Copy x to temp.
```

```
temp = x
```

```
x = y
```

```
y = temp
```

```
other matters
```



Avoid redundant specifications that say the obvious.

```
# Copy x to temp.  
temp = x
```

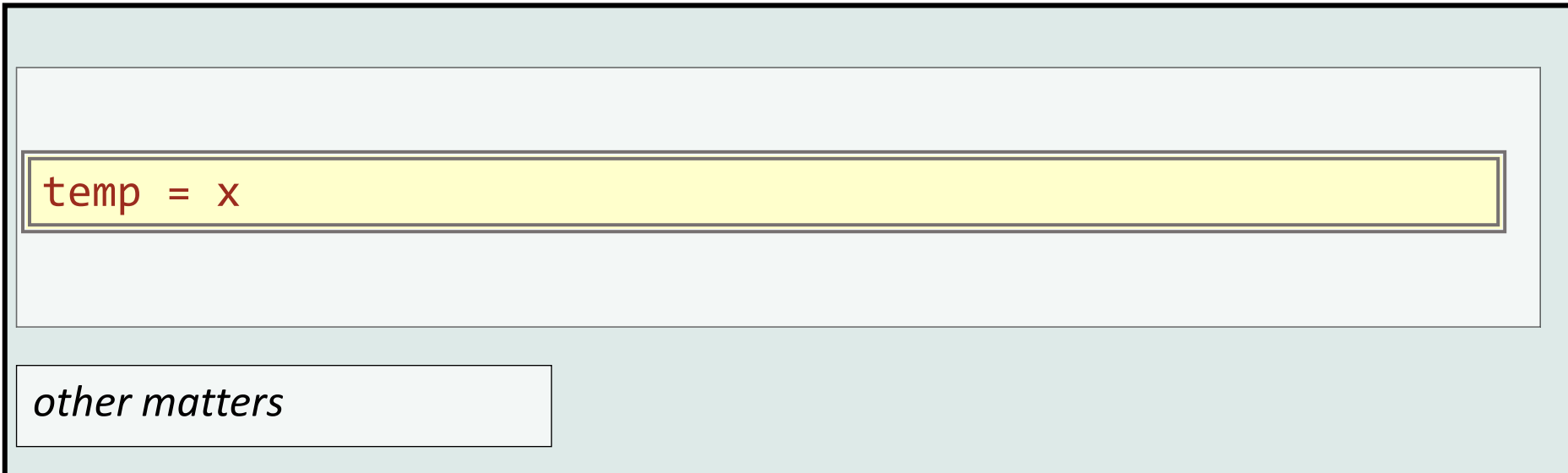
*other matters*

---

👉 **Omit specifications whose implementations are at least as brief and clear as the specification itself.**

---

Avoid redundant specifications that say the obvious.

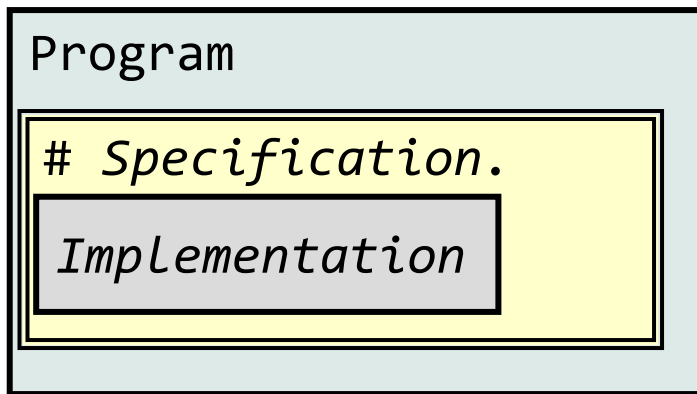


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👉 **Omit specifications whose implementations are at least as brief and clear as the specification itself.**

---

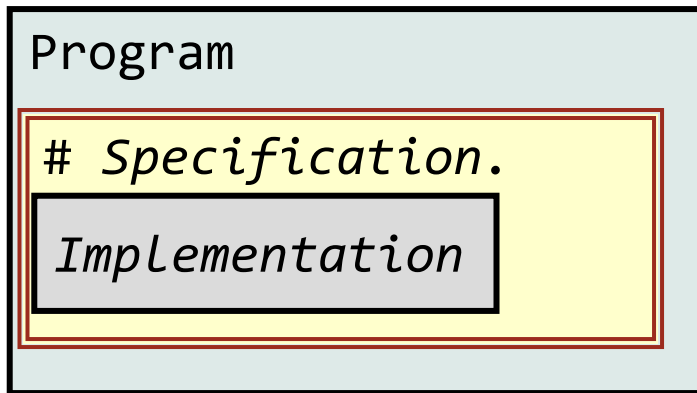
A specification is a **contract** with the rest of the program that says *what* must be accomplished, not *how* to do so.



**Proviso:** As long as the **program** does this and that.

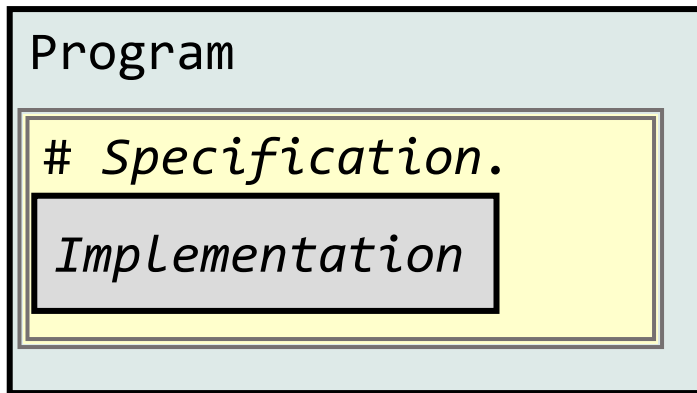
**Promise:** The **specification** (and its implementation) will do thus and such.

A specification helps to **control complexity**.



The contract (double line) **partitions code** into the specification and its implementation (on the one hand), and the rest of the program (on the other).

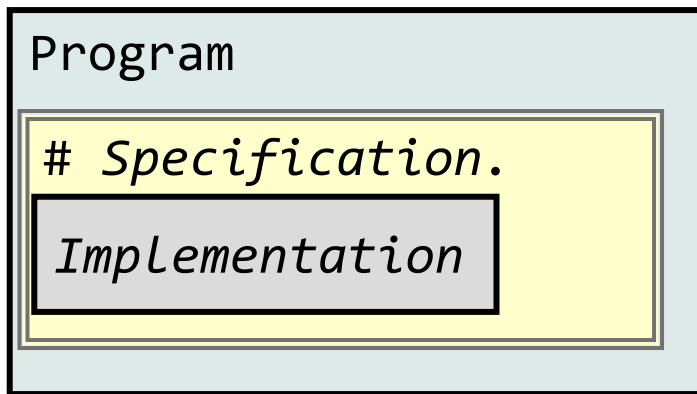
A specification is both **constraining** and **liberating**.



**Constraining:** (If the proviso is met) then it **must** do what is required.

**Liberating:** But its implementation is **free** to do so in any way it wants.

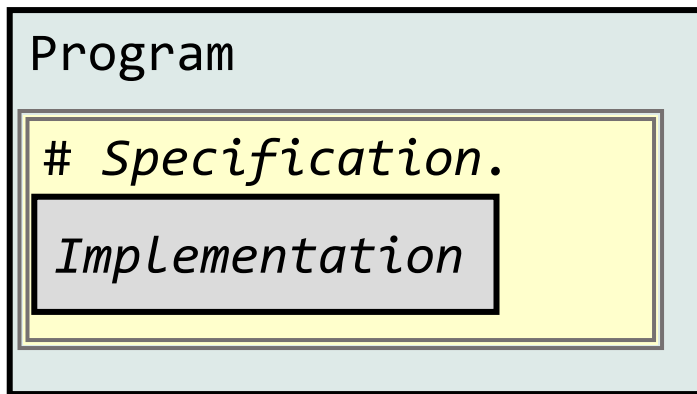
A specification promotes **pliability** and **comprehensibility**.



**Pliability:** The implementation can be changed without affecting the rest of the program.

**Comprehensibility:** The program can ignore implementation details not mentioned by the specification.

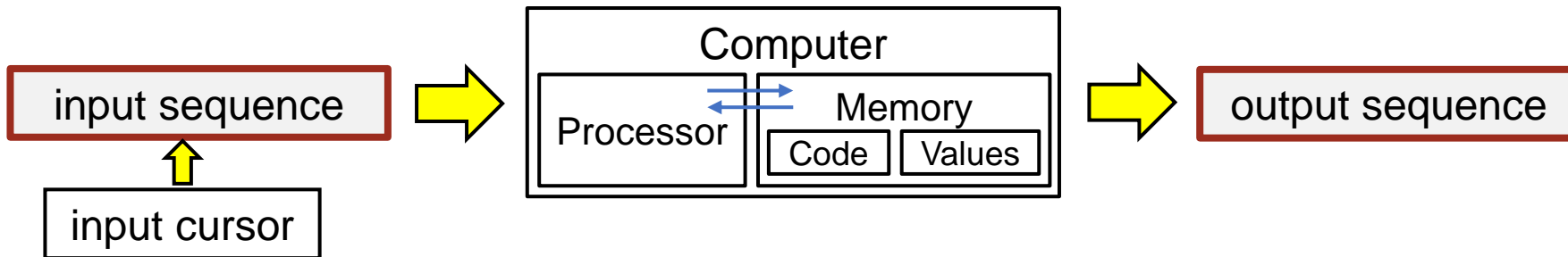
Specifications **encapsulate details** and **hide information** behind **abstraction barriers**.



These notions are central to object-oriented programming (discussed later, but already relevant at the level of **statement specifications**).

An Input/Output specification (“I/O spec”) reads and writes **external** data

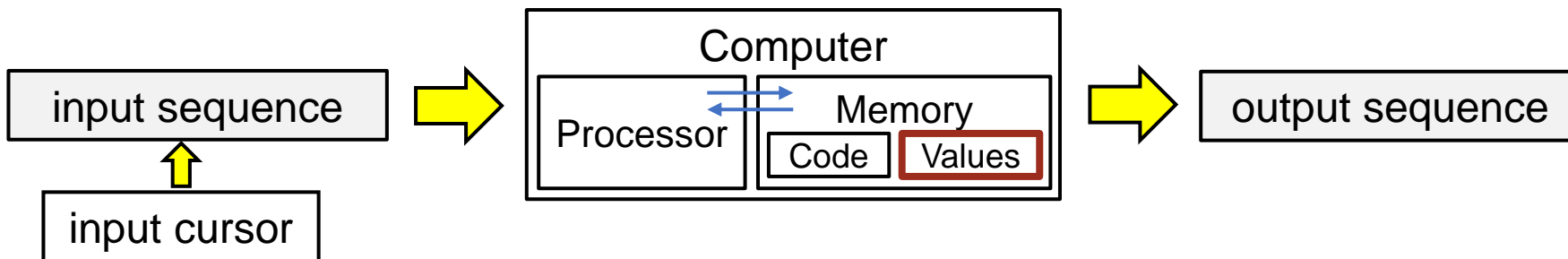
```
#.Input integer n, and output n squared.
```





Alternatively, an I/O spec sets values of some **variables** from values of other **variables**, leaving the external data unchanged.

```
#.Given integer variable n, let variable s be n squared.
```



Alternatively, an I/O spec sets values of some **variables** from values of other **variables**, leaving the external data unchanged.

```
#.Given integer variable n, let variable s be n squared.
```

Before

n  **input variable**

s

After

n

s  **output variable**

In general, an I/O spec requires changing a **before** state into an **after** state.

```
#.Given before state, establish after state.
```

In general, an I/O spec requires changing a **before** state into an **after** state.

```
#.Given precondition, establish postcondition.
```

Before

described by **precondition**

After

described by **postcondition**

Use pronouns to distinguish the before and after values of a variable that is both input and output

```
#.Swap x and y.
```

Before

x  input variable

y  input variable

After

x  output variable

y  output variable

Use pronouns to distinguish the before and after values of a variable that is both input and output

```
#.Given x=X and y=Y, establish x=Y and y=X.
```

Before

x  input variable

y  input variable

After

x  output variable

y  output variable

A specification says what **must** happen when the **precondition** holds

```
#.Given  $x \geq 0$ , let  $y$  be the square root of  $x$ .
```

Before

$x$   input variable

$y$

After

$x$

$y$   output variable

But says **nothing** about what may happen **otherwise**.

```
#.Given  $x \geq 0$ , let  $y$  be the square root of  $x$ .
```

Before

$x$   input variable

$y$

After

$x$

$y$   output variable



But says **nothing** about what may happen **otherwise**.

```
#.Given  $x \geq 0$ , let  $y$  be the square root of  $x$ .
```

Before

$x$   input variable

$y$

After



Reaching a specification whose precondition doesn't hold is indicative of an error, e.g., we expect  $x$  to be nonnegative, so it was incorrectly computed.

```
#.Given  $x \geq 0$ , let  $y$  be the square root of  $x$ .
```

Before

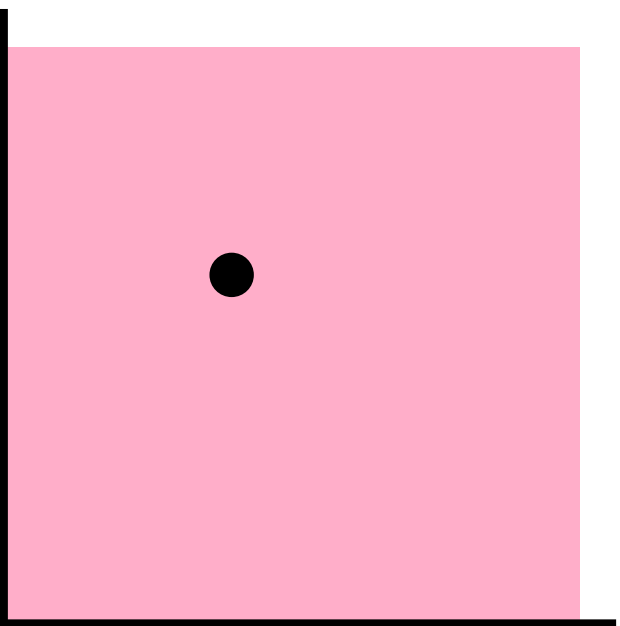
$x$   input variable

$y$

After

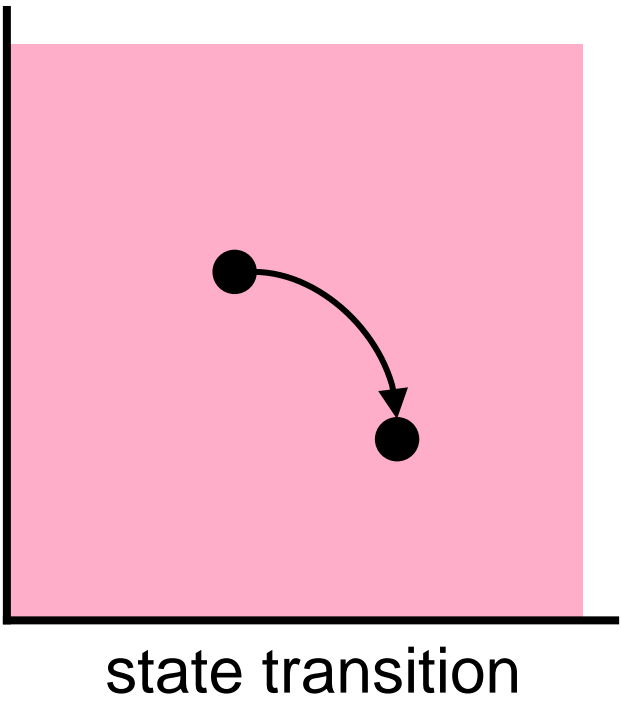
arbitrary

Interrupt a program's execution. Before powering the computer down, save all that you will need to resume later. This is the **state**.

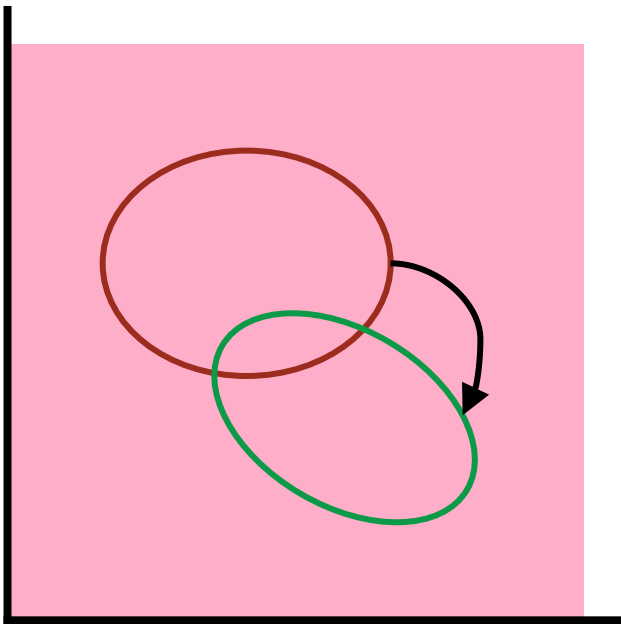


state and state space

The **effect** of executing code is to **transition** from one state to another.



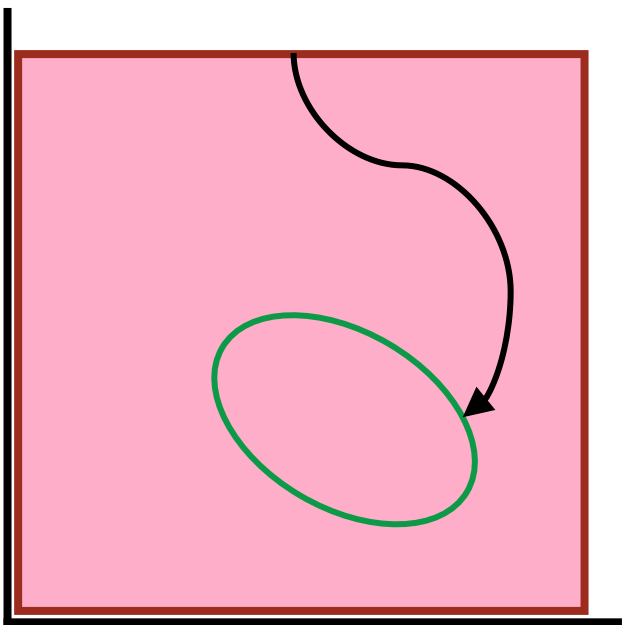
#. Given **precondition**, establish **postcondition** .



The specification requires transition from any state satisfying the **precondition** to some state satisfying the **postcondition**.

**precondition** to **postcondition**

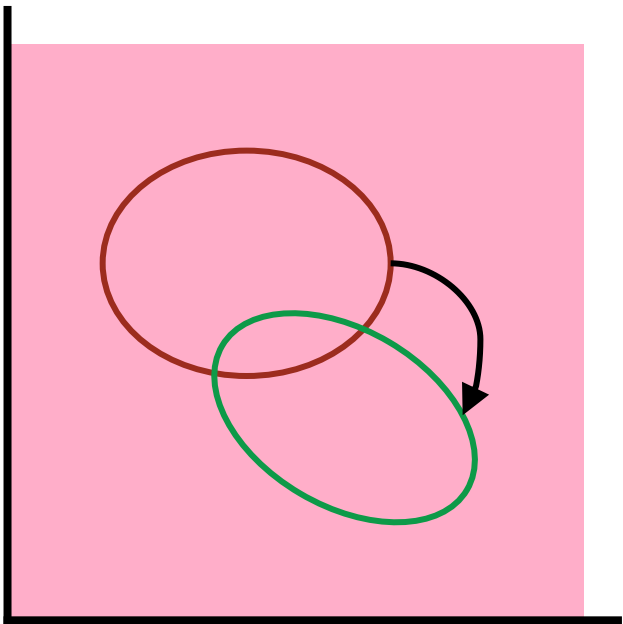
#.Output "Hello World".



precondition to postcondition

The specification requires transition from **any state whatsoever** to a **state where the output ends with "Hello World"**.

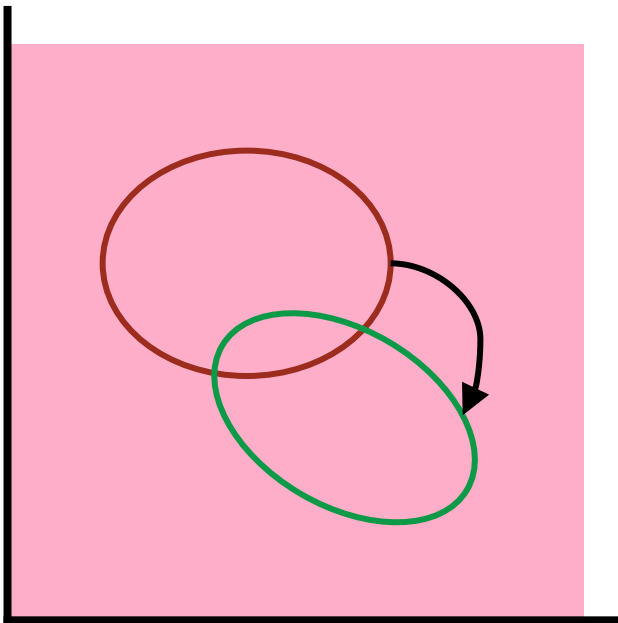
#.Swap x and y.



precondition to postcondition

The specification requires transition from any state containing variables  $x$  and  $y$  to a state where the contents of  $x$  and  $y$  have been exchanged.

Define sets of states either in English, or using Boolean expressions.



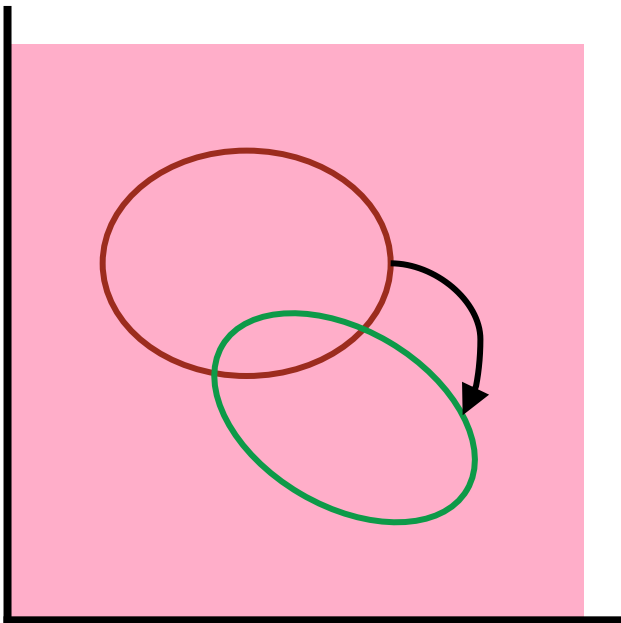
precondition to postcondition

In code, Boolean expressions control execution flow:

```
# Set y to the square root of x if x is  
# not negative, and 0 otherwise.  
if x >= 0:  
    y = math.sqrt(x)  
else:  
    y = 0
```



Define sets of states either in English, or using Boolean expressions.



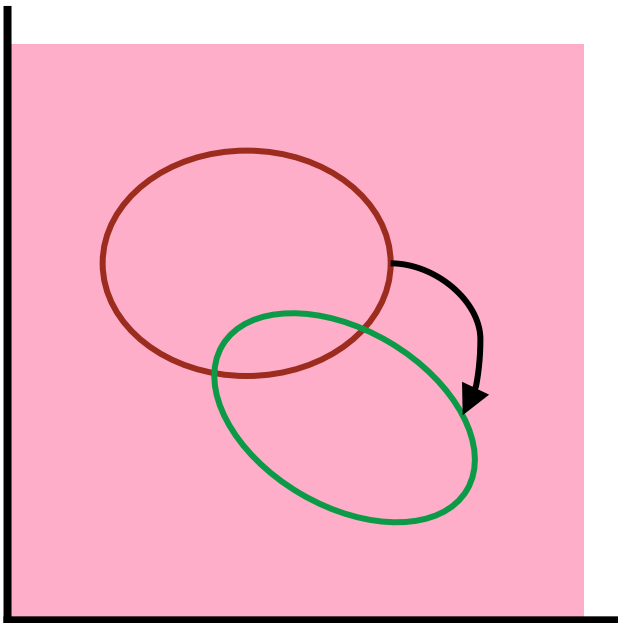
precondition to postcondition

In specifications, Boolean expressions define state sets:

#.Given  $x \geq 0$ , let  $y$  be the square root of  $x$ .

Specifically, the set of all states in which the given Boolean expression is **true**.

Transition to *any* state satisfying the **postcondition** is allowed.



**precondition** to **postcondition**

For example,

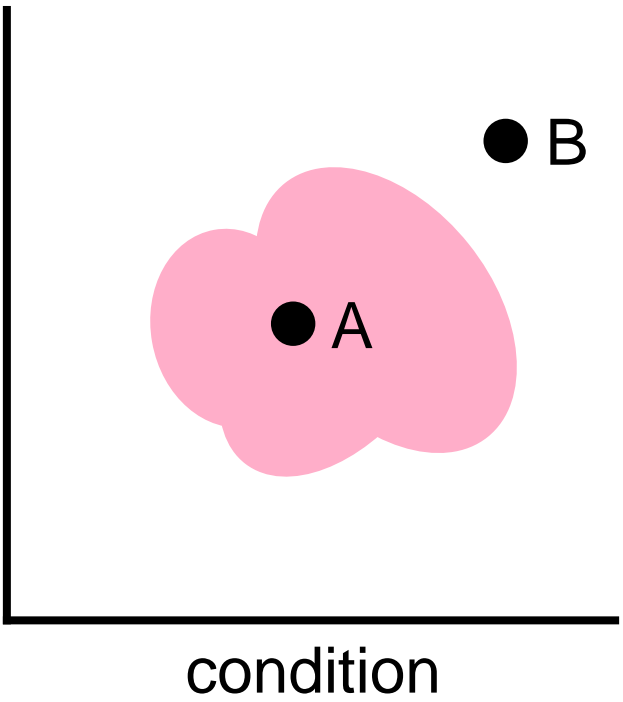
```
# Given  $x \geq 0$ , let  $y$  be the square root of  $x$ .  
 $y = \text{math.sqrt}(x)$ 
```

or

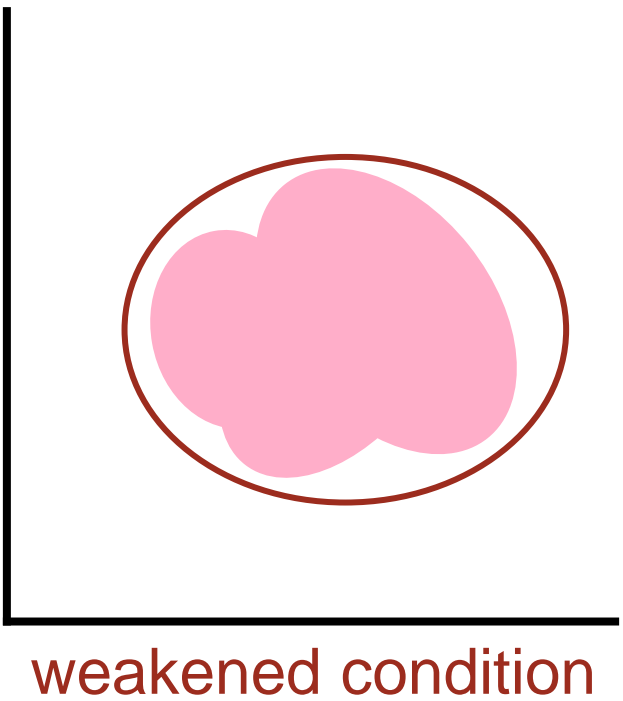
```
# Given  $x \geq 0$ , let  $y$  be the square root of  $x$ .  
 $y = -\text{math.sqrt}(x)$ 
```

## Conditions and Sets of States

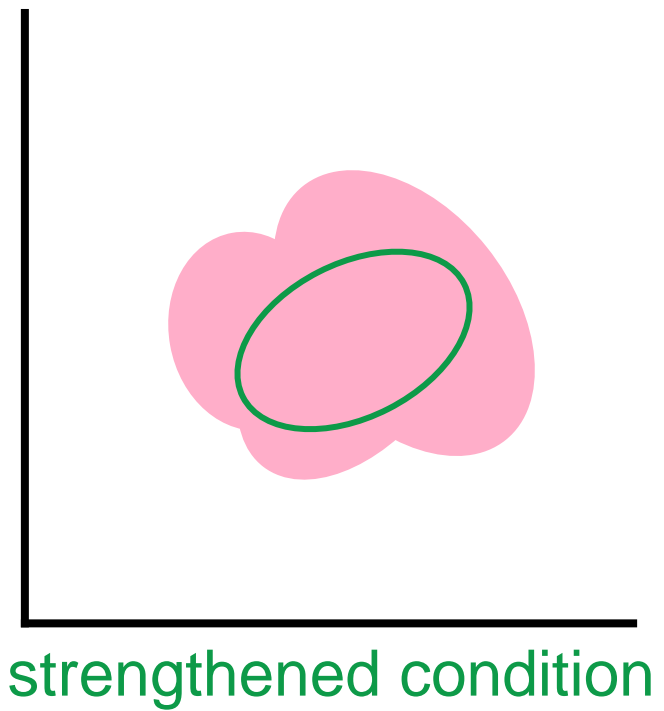
A state either satisfies a condition, or it doesn't.



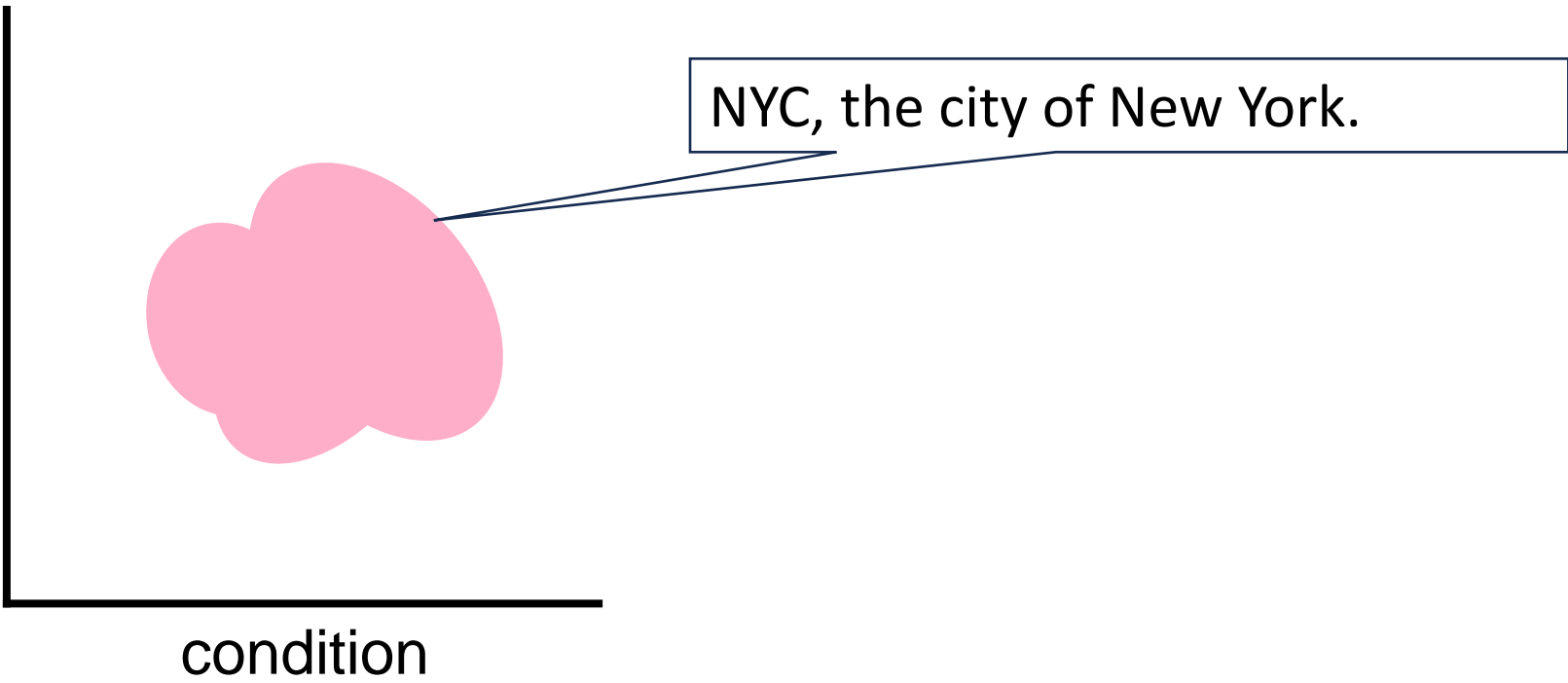
A **weakened** condition satisfies more states than the original condition.



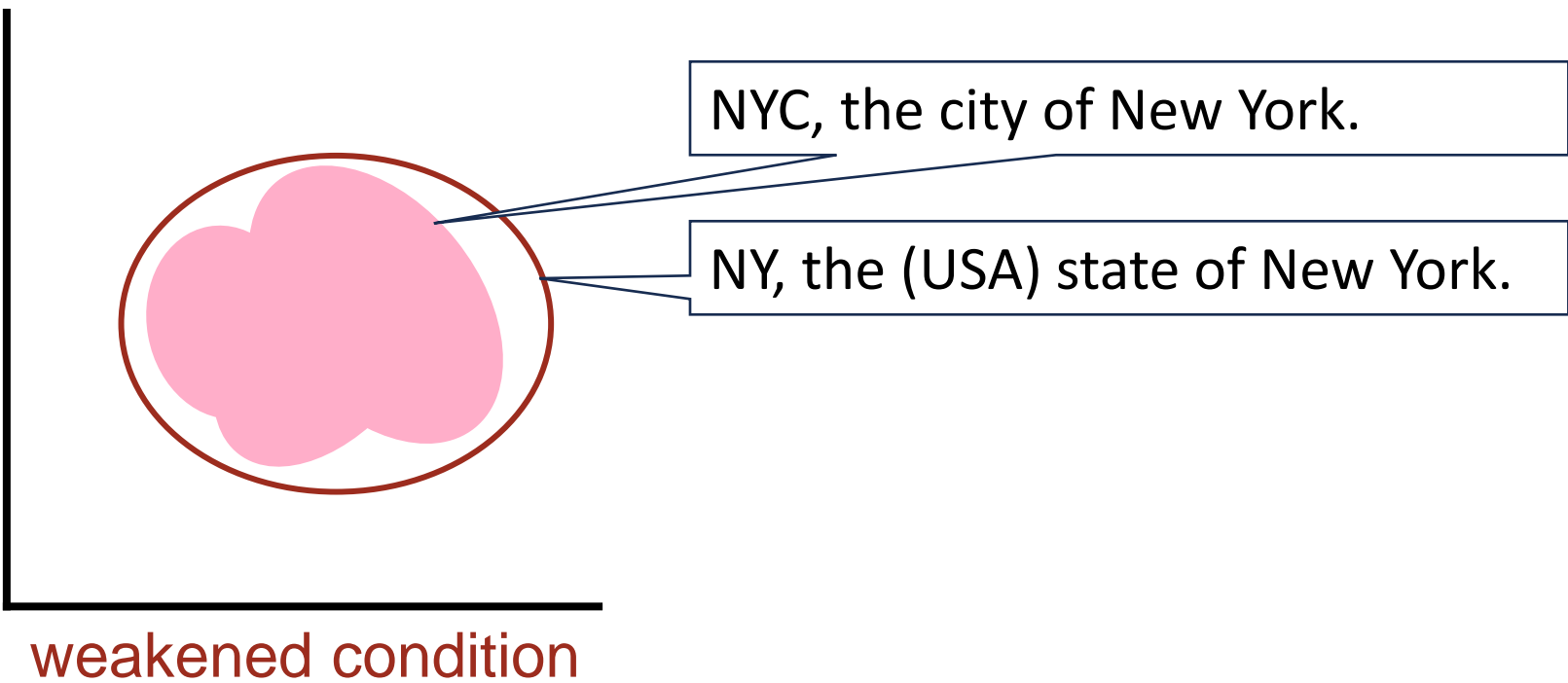
A **strengthened** condition satisfies fewer states than the original condition.



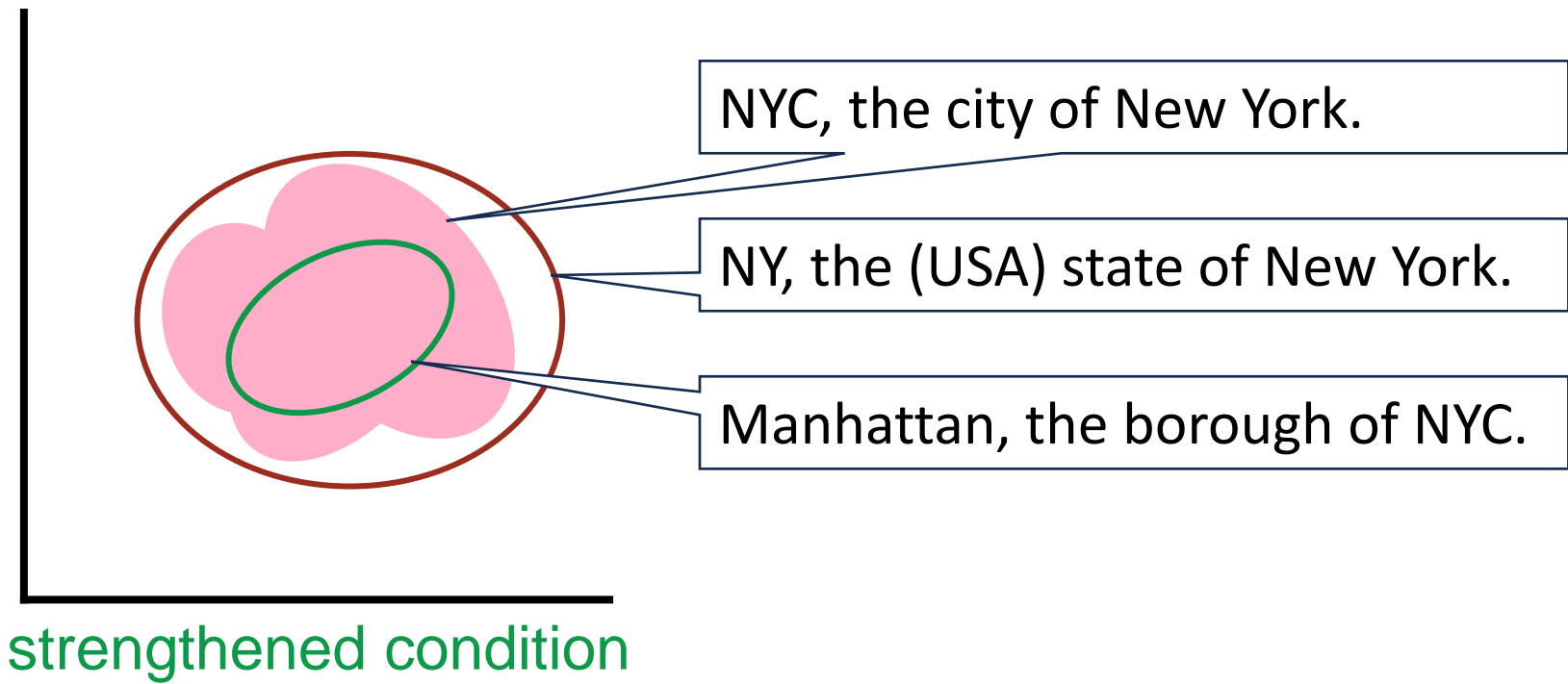
A geographical example:



A geographical example:



A geographical example:





Methods can protect themselves from misuse by their clients by explicitly checking the validity of their arguments, and aborting execution if any are invalid.

Such a protection may derive from a built-in check, e.g., integer division by 0 aborts execution:

```
# Given  $n \neq 0$ , return  $x/n$ .  
def nth(x:int, n:int) -> int:  
    return x / n
```

Consider this computation. If  $n$  turns out to be 0 by mistake, method `nth` will abort execution:

```
# Compute  $n \neq 0$  such that blah blah.  
...
```

```
# Given  $n \neq 0$ , let  $y$  be the  $n$ th part of  $x$ .  
 $y = \text{nth}(x, n)$ 
```

```
# Whatever.  
...
```



Aborting execution early is far better than having `Whatever` crash (possibly) much later due to a crazy value of  $n$ .

This similar code is just as vulnerable to the error in the computation of  $n$ , but without the protection of  $n \neq 0$ , will likely crash in Whatever.

```
# Compute  $n \neq 0$  such that blah blah.  
...
```

```
# Given  $n \neq 0$ , do Whatever.  
...
```

It can protect itself by doing the same check as `nth` using an **assert**:

```
# Compute  $n \neq 0$  such that blah blah.  
...
```

```
# Given  $n \neq 0$ , do Whatever.  
assert  $n \neq 0$ , "blah blah computed a zero n"  
...
```



Abort execution early if the precondition of `Whatever` doesn't hold

It can protect itself by doing the same check as nth using an **assert**:

```
# Compute  $n \neq 0$  such that blah blah.  
...  
assert  $n \neq 0$ , "blah blah computed a zero n"
```

```
# Given  $n \neq 0$ , do Whatever.  
...
```



or if the postcondition of blah blah doesn't hold.

It can protect itself by doing the same check as `nth` using an **assert**:

```
# Compute  $n \neq 0$  such that blah blah.  
...  
assert  $n \neq 0$ , "blah blah computed a zero n"
```

```
# Given  $n \neq 0$ , do Whatever.  
...
```



Use of **assert** is preferable to debugging.

Long specifications can continue on multiple lines, but need their own #.

```
#.This is a long specification stretching over multiple  
#  lines. When it does so, the “continuation lines” have  
#  their own hash marks (#), followed by three spaces.
```

```
#.This allows each specification to be read separately and  
#  not confused with a next, quite different specification.
```

**Declaration Specifications** take a data-centric perspective.

```
Declaration-of-one-variable # Specification.
```

A declaration specification provides a **representation invariant** for the variable that characterizes the value contained therein. It is a global precondition for every statement in the scope of the variable (except for brief moments before the variable has been updated).

The specification is akin to a glossary entry, and can be used as such. Think of the specification as being exactly what you want to know (or be reminded of) when inspecting or writing code that uses the variable.



**Example:** Suppose input values are to be read and “processed”.

Here are two specifications that provide different possible **representation invariants** for the variable `count`.

```
count: int    # Number of input values read so far.
```

```
count: int    # Number of input values processed so far.
```

In the first case, `count` should be incremented immediately upon reading a value. In the second case, `count` is only incremented when the program gets around to processing the value it has already read.

**Example:** A group of related variables, called a *data structure*, may share a representation invariant. In this case, it is advantageous to provide a specification for the whole group as well as for the individual components.

```
# A[0..size-1] are the current int items in a list,  $0 \leq \text{size} \leq \text{max\_size}$ .
A: list[int]   # A[] is the receptacle for items of a list.
size: int      # size is the current number of items in A[],  $0 \leq \text{size} \leq \text{max\_size}$ .
max_size: int # max_size is the maximum number of items storable in A[].
```

The representation invariant characterizes how A, size, and max\_size relate to one another.



## Alternate form:

Some IDE editors also support a slightly different syntax for Declaration Specifications:

```
Declaration-of-one-variable  
""Specification.""
```

In such editors, you may hover over the variable name (in a use distant from the declaration) and a helpful pop-up containing the specification appears.

## Alternate form:

Some IDE editors also support a slightly different syntax for Declaration Specifications:

```
Declaration-of-one-variable  
""Specification.""
```

In such editors, you may hover over the variable name (in a use distant from the declaration) and a helpful pop-up containing the specification appears.

If you use this form of Declaration Specification, it is best to separate it from the next line with blank line, so that it is clear that the specification goes with the variable being declared *before* it.

**A method specification** describes the effects (if any) and the return value (if any) of the method in terms of its parameters. This is its **postcondition**.

```
def name(parameters) -> type:  
    """Specification."""  
    block
```

**A method specification** describes the effects (if any) and the return value (if any) of the method in terms of its parameters. This is its **postcondition**.

```
def name(parameters) -> type:  
    """Specification."""  
    block
```

Example

```
def sort(A:list[int], n:int) -> None:  
    """sort(A, n) rearranges array A[0..n-1] to be in non-decreasing order."""  
    <body of sort>
```

A **method specification** describes the effects (if any) and the return value (if any) of the method in terms of its parameters. This is its **postcondition**.

```
def name(parameters) -> type:  
    """Specification."""  
    block
```

Example

```
def max(x: int, y: int) -> int:  
    """max(x, y) returns the larger of the values x and y."""  
    if x < y:  
        return y  
    else:  
        return x
```

A **method specification** may restrict its parameters. This is its **precondition**.

```
def name(parameters) -> type:  
    """Specification."""  
    block
```

### Example

```
def find(A: list[int], n: int, v: int) -> int:  
    """
```

Given **int** array  $A[0..n-1]$  sorted in non-decreasing order, and **int**  $v$ , `find(A, n, v)` returns an index  $k$  where  $A[k]=v$ , or returns  $n$  if  $v$  does not occur in  $A$ .

```
    """
```

```
    <blank line>
```

```
    <body of find>
```



As with variable specifications, think of a method specification as being exactly what you want to know (or be reminded of) in a pop up of an IDE's editor either when inspecting code that uses the method, or when contemplating a call to it.

A **method specification** may restrict its parameters. This is its **precondition**.

```
def name(parameters) -> type:  
    """Specification."""  
    block
```

Example

```
def find(A: list[int], n: int, v: int) -> int:  
    """
```

Given **int** array  $A[0..n-1]$  sorted in non-decreasing order, and **int**  $v$ , `find(A, n, v)` returns an index  $k$  where  $A[k]=v$ , or returns  $n$  if  $v$  does not occur in  $A$ .

```
    """
```

```
    <blank line>
```

```
    <body of find>
```

**A class specification** summarizes the class's purpose, functionality, and history. The specifications of the class's public methods (and variables) are implicitly part of the class specification, but a list of them (without specifications) is common.

```
class name:  
    """Specification."""  
    declarations-statements-and-method-definitions
```

Class specifications are often more descriptive and historical than the other forms of specification.

```
class Rational:
    """
    Rational. A module for the manipulation of rationals, including operations
    for +, -, *, /, conversion to Str, and equality.
    Author: Joe Blow.
    Created: 12/25/2022.
    Revision History: Converted to use unbounded integers, 12/25/2023.
    """
    <blank line>
    <body of class Rational>
```