

# Principled Programming

Introduction to Coding in Any Imperative Language

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## Median

	0	1	2	3	4	$n$
A	10	20	30	40	50	

	0	1	2	3	4	5	$n$
A	10	20	30	40	50	60	

The *median* of an ordered array of  $n$  values is the middle value. If  $n$  is odd, this is  $A[n//2]$ ; if  $n$  is even, we also opt for  $A[n//2]$  rather than averaging the middle two values.

(Recall that  $//$  is integer division, where the fractional part is truncated. Thus, for example,  $5//2$  is 2, and  $6//2$  is 3.)

	0	1	2	3	4	$n$
A	50	30	10	40	20	

	0	1	2	3	4	5	$n$
A	50	30	10	60	20	40	

The *median* of an ordered array of  $n$  values is the middle value. If  $n$  is odd, this is  $A[n//2]$ ; if  $n$  is even, we also opt for  $A[n//2]$  rather than averaging the middle two values.

(Recall that  $//$  is integer division, where the fractional part is truncated. Thus, for example,  $5//2$  is 2, and  $6//2$  is 3.)

But what if the array is not ordered. How would you find the median then?

You could sort the array and select  $A[n//2]$ . But sorting requires  $n \log n$  operations.

Is it possible to do better? Try it. You will find that everyday experience is no help.

	0	1	2	3	4	$n$
A	50	30	10	40	20	

	0	1	2	3	4	5	$n$
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The *median* of an ordered array of  $n$  values is the middle value. If  $n$  is odd, this is  $A[n//2]$ ; if  $n$  is even, we also opt for  $A[n//2]$  rather than averaging the middle two values.

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Is it possible to do better? Try it. You will find that everyday experience is no help.

We need principles to follow in such cases.

	0	1	2	3	4	$n$
A	50	30	10	40	20	

	0	1	2	3	4	5	$n$
A	50	30	10	60	20	40	

Three principles that can help are:

- 
- 👉 **Consider generalizing a problem when designing an algorithm.**
  - 👉 **Consider Divide and Conquer when designing an algorithm.**
  - 👉 **Consider recursion when designing an algorithm.**
- 

We will use them to derive:

- An Average-Case Linear-Time Median Algorithm
- A Worst-Case Linear-Time Median Algorithm

It is astounding that it is possible to find the median of an unordered array of length  $n$  in linear time, i.e., time proportional to  $n$ .

The *median* of an ordered array of  $n$  values is the middle value. If  $n$  is odd, this is  $A[n//2]$ ; if  $n$  is even, we opt for  $A[n//2]$  rather than averaging the middle two values.

---

 **Consider generalizing a problem when designing an algorithm.**

---

**Selection:** Given a set of  $n$  rank-ordered values, select the  $j^{\text{th}}$  smallest value of the set.

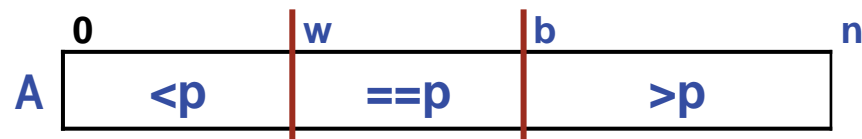
**Selection:** Given a set of  $n$  rank-ordered values, select the  $j^{\text{th}}$  smallest value of the set.

---

 **Consider Divide and Conquer when designing an algorithm.**

---

**Recall:** Partitioning, based on the Dutch National Flag problem, for some pivot  $p$ :



$0 \leq j < w$ . The  $j^{\text{th}}$  smallest value is the  $j^{\text{th}}$  smallest value of  $A[0..w-1]$

$w \leq j < b$ . The  $j^{\text{th}}$  smallest value is the pivot,  $p$

$b \leq j < n$ . The  $j^{\text{th}}$  smallest value is the  $(j-b)^{\text{th}}$  smallest value in  $A[b..n-1]$

Choose one of the three regions based on a Partition (Divide) and repeat (Conquer).

Start with the code for Partition, and morph it into quick\_select:

```
def partition(A: list[int], L: int, R: int, p: int) -> None:
    """
    Given A[L..R-1] and pivot value p, partition(A,L,R,p) rearranges A[L..R-1]
    into all <p, then all ==p, then all >p.
    """

    <body of partition>
```

---

 **Don't type if you can avoid it; clone. Cut and paste, then adapt.**

---



Start with the code for Partition, and morph it into quick\_select:

```
def quick_select(A: list[int], L: int, R: int, p: int) -> None:
    """
    Given A[0..n-1], and int  $0 \leq j < n$ , quick_select(A,n,j) returns the j-th smallest
    value in A[0..n-1].
    """
    quick_select
    <body of partition>
```

---

 **Don't type if you can avoid it; clone. Cut and paste, then adapt.**

---

Change the name and docstring.

Start with the code for Partition, and morph it into quick\_select:

```
def quick_select(A: list[int], n: int, j: int) -> None:
    """
    Given A[0..n-1], and int  $0 \leq j < n$ , quick_select(A,n,j) returns the j-th smallest
    value in A[0..n-1].
    """

    L = 0; R = n
    p = value-of-pivot
    <body of partition>
```

---

 **Don't type if you can avoid it; clone. Cut and paste, then adapt.**

---

Move parameters L, R, and p into the body of QuickSelect, and introduce parameters n and j.

Start with the code for Partition, and morph it into quick\_select:

```
def quick_select(A: list[int], n: int, j: int) -> int:
    """
    Given A[0..n-1], and int  $0 \leq j < n$ , quick_select(A,n,j) returns the j-th smallest
    value in A[0..n-1].
    """

    L = 0; R = n
    p = value-of-pivot
    <body of partition>
    return _____
```

---

 **Don't type if you can avoid it; clone. Cut and paste, then adapt.**

---

Change return type to **int**, and introduce a **return** statement for the result.

Could consider recursion, but it is not needed because we can just ...

```
def quick_select(A: list[int], n: int, j: int) -> int:
    """
    Given A[0..n-1], and int  $0 \leq j < n$ , quick_select(A,n,j) returns the j-th smallest
    value in A[0..n-1].
    """

    L = 0; R = n
    p = value-of-pivot
    <body of partition>
    return _____
```



**INVARIANT**

Update  $L$ ,  $R$ , and  $p$  iteratively using the **INVARIANT** shown.

```
def quick_select(A: list[int], n: int, j: int) -> int:
```

```
    """
```

```
    Given  $A[0..n-1]$ , and  $\text{int } 0 \leq j < n$ ,  $\text{quick\_select}(A, n, j)$  returns the  $j$ -th smallest value in  $A[0..n-1]$ .
```

```
    """
```

```
        L = 0; R = n
```

```
        p = value-of-pivot  
        (body of partition)
```

```
        return _____
```

iterant {

```
# Initialize.
```

```
while not-finished:
```

```
    # Compute.
```

```
    # Go-on-to-next.
```

```
} iterant
```

```
-----
```



Update L, R, and p iteratively using the **INVARIANT** shown.

```
def quick_select(A: list[int], n: int, j: int) -> int:
```

```
    """
```

```
    Given A[0..n-1], and int  $0 \leq j < n$ , quick_select(A,n,j) returns the j-th smallest
    value in A[0..n-1].
```

```
    """
```

```
    L = 0; R = n
```

```
    while not-finished:
```

```
        p = value-of-pivot
```

```
        <body of partition>
```

```
        # Go-on-to-next.
```

```
    return _____
```



Update L, R, and p iteratively using the **INVARIANT** shown.

```
def quick_select(A: list[int], n: int, j: int) -> int:
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    """
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    Given A[0..n-1], and int  $0 \leq j < n$ , quick_select(A,n,j) returns the j-th smallest
    value in A[0..n-1].
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```
    """
```

```
    L = 0; R = n
```

```
    while not-finished:
```

```
        p = value-of-pivot
```

```
        (body of partition)
```

```
        #.Go-on-to "<p" or ">p" region if j-th smallest there; else return p.
```

```
    return _____
```



Update L, R, and p iteratively using the **INVARIANT** shown.

```
def quick_select(A: list[int], n: int, j: int) -> int:
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    Given A[0..n-1], and int 0 ≤ j < n, quick_select(A,n,j) returns the j-th smallest
    value in A[0..n-1].
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    L = 0; R = n
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```
    while not-finished:
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        p = value-of-pivot
```

```
        (body of partition)
```

```
        # Go-on-to "<p" or ">p" region if j-th smallest there; else return p.
```

```
        if j < w: R = w
```

```
        elif j < b: return p
```

```
        else: L = b
```

```
    return _____
```





Update L, R, and p iteratively using the **INVARIANT** shown.

```
def quick_select(A: list[int], n: int, j: int) -> int:
```

```
    """
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    Given A[0..n-1], and int 0 ≤ j < n, quick_select(A,n,j) returns the j-th smallest
    value in A[0..n-1].
```

```
    """
```

```
    L = 0; R = n
```

```
    while (R - L) > 1:
```

```
        p = value-of-pivot
```

```
        <body of partition>
```

```
        # Go-on-to "<p" or ">p" region if j-th smallest there; else return p.
```

```
        if j < w: R = w
```

```
        elif j < b: return p
```

```
        else: L = b
```

```
    return A[j]
```



Update L, R, and p iteratively using the **INVARIANT** shown.

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    Given A[0..n-1], and int 0 ≤ j < n, quick_select(A,n,j) returns the j-th smallest
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    L = 0; R = n
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        (body of partition)
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        # Go-on-to "<p" or ">p" region if j-th smallest there; else return p.
```

```
        if j < w: R = w
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        elif j < b: return p
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```
        else: L = b
```

```
    return A[j]
```

Q. Where was j ever updated?



Update L, R, and p iteratively using the **INVARIANT** shown.

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```
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    Given A[0..n-1], and int 0 ≤ j < n, quick_select(A,n,j) returns the j-th smallest
    value in A[0..n-1].
```

```
    """
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```
    L = 0; R = n
```

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    while (R - L) > 1:
```

```
        p = value-of-pivot
        (body of partition)
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```
        # Go-on-to "<p" or ">p" region if j-th smallest there; else return p.
```

```
        if j < w: R = w
```

```
        elif j < b: return p
```

```
        else: L = b
```

```
    return A[j]
```

Q. Where was j ever updated?

A. Nowhere. Partitioning moved values so the  $j^{\text{th}}$  smallest ended up in  $A[j]$ .



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```
def quick_select(A: list[int], n: int, j: int) -> int:
```

```
    """
```

```
    Given A[0..n-1], and int 0 ≤ j < n, quick_select(A, n, j) returns the j-th smallest
    value in A[0..n-1].
```

```
    """
```

```

L = 0; R = n
while (R - L) > 1:
    p = (A[L] + A[R - 1]) // 2
    <body of partition>

    # Go-on-to "<p" or ">p" region if j-th smallest there; else return p.
    if j < w: R = w
    elif j < b: return p
    else: L = b
return A[j]
```

**Performance:** Pivots computed as  $(A[L]+A[R-1]) // 2$

- Best case. On each iteration, pivot is (serendipitously) the **median** of  $A[L..R-1]$ , so region sizes reduced by  $\frac{1}{2}$ . Partitioning time is linear in size.  
Total effort.  $1 \cdot n + \frac{1}{2} \cdot n + \frac{1}{4} \cdot n + \dots = 2 \cdot n$ , i.e., **linear** in  $n$
- Worst case. On each iteration, pivot is (serendipitously) the **min or max** of  $A[L..R-1]$ , so region sizes reduced by **1**. Partitioning time is linear in size.  
Total effort.  $n + (n-1) + (n-2) + \dots + 1 = n \cdot (n-1) / 2$ , i.e., **quadratic** in  $n$ .
- Average case, i.e., summed over all permutations of values in  $A[0..n-1]$ .  
Total effort. **Linear in  $n$**   
*(offered without proof)*

**Bad News:** `quick_select` can have quadratic-time performance on some arrays.

**Imagine** telling the widow:

But Mrs. Jones, on average the code would have been fast enough to have saved your husband's life.

**Goal.** Linear-time performance on every array.

**Performance Goal:** Pivots computed as \_\_\_\_\_ in the hope that

- Every case.

(1) On each iteration, region sizes reduced by constant ratio  $r$ .

Partitioning time is linear in region size.

Total effort for partitioning.  $1 \cdot n + r \cdot n + r^2 \cdot n + r^3 \cdot n + \dots = n/(1-r)$

I.e., **linear** in  $n$ , *not counting time to compute the pivot.*

(2) On each iteration, the cost to compute the pivot is also linear in region size.

Thus, total effort, would be **linear** in  $n$ . In particular, even in the worst-case.

**Performance Goal:** Pivots computed as approximations to median of  $A[L..R-1]$ .

- Every case.

(1) On each iteration, region sizes reduced by constant ratio  $r$ .

Partitioning time is linear in region size.

Total effort for partitioning.  $1 \cdot n + r \cdot n + r^2 \cdot n + r^3 \cdot n + \dots = n/(1-r)$

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Thus, total effort, would be **linear** in  $n$ . In particular, even in the worst-case.



**Idea:** Pivots computed as approximations to median of  $A[L..R-1]$ .

Imagine that this array, with median 61:

	0	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20
<b>A</b>	51	60	73	92	57	54	75	59	91	58	71	62	67	66	59	52	<b>61</b>	72	55	60	79

were laid out in a 3-high 2-D array in row major order:

51	60	73	92	57	54	75
59	91	58	71	62	67	66
59	52	<b>61</b>	<b>72</b>	55	<b>60</b>	79

The median of each column is shown in red.

**Idea:** Pivots computed as approximations to median of  $A[L..R-1]$ .

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were laid out in a 3-high 2-D array in row major order:

51	52	58	71	55	54	66
59	60	<b>61</b>	72	57	60	75
59	91	73	92	62	67	79

Now, imagine that each column were sorted, so its median comes to middle row.

The median of each column is shown in red.

**Idea:** Pivots computed as approximations to median of  $A[L..R-1]$ .

Imagine that this array, with median 61:

	0	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20
<b>A</b>	51	60	73	92	57	54	75	59	91	58	71	62	67	66	59	52	<b>61</b>	72	55	60	79

were laid out in a 3-high 2-D array in row major order:

55	51	52	54	58	66	71
57	59	60	60	<b>61</b>	75	72
62	59	91	67	73	79	92

Next, imagine that the columns were sorted by their medians. The median of the medians is shown with a green background.

The median of each column is shown in red.

**Idea:** Pivots computed as approximations to median of  $A[L..R-1]$ .

Imagine that this array, with median 61:

	0	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20
<b>A</b>	51	60	73	92	57	54	75	59	91	58	71	62	67	66	59	52	<b>61</b>	72	55	60	79

were laid out in a 3-high 2-D array in row major order:

55	51	52	54	58	66	71
57	59	60	60	<b>61</b>	75	72
62	59	91	67	73	79	92

Finally, color code the values:  
 pink, if  $\leq$  median of medians  
 blue, if  $\geq$  median of medians

The median of each column is shown in red.

**Idea:** Pivots computed as approximations to median of  $A[L..R-1]$ .

Imagine that this array, with median 61:

	0	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20
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Finally, color code the values:  
 pink, if  $\leq$  median of medians  
 blue, if  $\geq$  median of medians

Choose the median of medians (60) as the pivot  $p$ , and partition  $A$ .

	0	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20
<b>A</b>	51	55	57	54	52	59	59	58	60	60	62	67	66	71	91	<b>61</b>	72	75	92	79	73

**Idea:** Pivots computed as approximations to median of  $A[L..R-1]$ .

Imagine that this array, with median 61:

	0	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20
<b>A</b>	51	60	73	92	57	54	75	59	91	58	71	62	67	66	59	52	<b>61</b>	72	55	60	79

were laid out in a 3-high 2-D array in row major order:

55	51	52	54	58	66	71
57	59	60	60	<b>61</b>	75	72
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We seek the median, i.e., the  $n/2^{th}$  smallest ( $n/2 = 21/2 = 10$ ), which falls into  $>p$  region

Choose the median of medians (60) as the pivot  $p$ , and partition  $A$ .

	0	1	2	3	4	5	6	7	8	9	<b>10</b>	11	12	13	14	15	16	17	18	19	20
<b>A</b>	51	55	57	54	52	59	59	58	60	60	62	67	66	71	91	<b>61</b>	72	75	92	79	73

**Idea:** Pivots computed as approximations to median of  $A[L..R-1]$ .

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55	51	52	54	58	66	71
57	59	60	60	<b>61</b>	75	72
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We seek the median, i.e., the  $n/2^{th}$  smallest ( $n/2 = 21/2 = 10$ ), which falls into  $>p$  region, **eliminating** at least  $2/3 \cdot 1/2 = 1/3$  the values.

Thus, the  $>p$  region is no larger than  $r = 1 - 1/3 = 2/3$  the size of the whole.

	0	1	2	3	4	5	6	7	8	9	<b>10</b>	11	12	13	14	15	16	17	18	19	20
<b>A</b>											62	67	66	71	91	<b>61</b>	72	75	92	79	73

**Performance Goal:** Pivots computed as approximations to median of  $A[L..R-1]$ .

- Every case.

(1) On each iteration, region sizes reduced by constant ratio  $r$ .

Partitioning time is linear in region size.

Total effort for partitioning.  $n + r \cdot n + r^2 \cdot n + r^3 \cdot n + \dots = n/(1-r)$

I.e., **linear** in  $n$ , *not counting time to compute the pivot.*

(2) On each iteration, the cost to compute the pivot is also linear in region size.

Thus, total effort, would be **linear** in  $n$ . In particular, even in the worst-case.



**Performance Goal:** Pivots computed as **median of medians** of  $A[L..R-1]$ .

- Every case.

- (1) On each iteration, region sizes reduced by constant ratio  $r$ .

Partitioning time is linear in region size.

Total effort for partitioning.  $n + (2/3) \cdot n + (2/3)^2 \cdot n + (2/3)^3 \cdot n + \dots = n / (1 - 2/3) = 3 \cdot n$

✓ I.e., **linear** in  $n$ , *not counting time to compute the pivot.*

- (2) On each iteration, the cost to compute the pivot is also linear in region size.

Thus, total effort, would be **linear** in  $n$ . In particular, even in the worst-case.

**Performance Goal:** Pivots computed as **median of medians of  $A[L..R-1]$** .

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Partitioning time is linear in region size.

Total effort for partitioning.  $n + (2/3) \cdot n + (2/3)^2 \cdot n + (2/3)^3 \cdot n + \dots = n / (1 - 2/3) = 3 \cdot n$

✓ I.e., linear in  $n$ , *not counting time to compute the pivot.*

- (2) On each iteration, the cost to compute the pivot is also linear in region size.

**But how will we compute the median of medians of  $A[L..R-1]$ ?**

Thus, total effort, would be linear in  $n$ . In particular, even in the worst-case.

**Performance Goal:** Pivots computed as median of medians of  $A[L..R-1]$  using recursion, i.e., apply the worst-case median algorithm to the  $n/3$  medians of groups of 3 elements.

---

 Consider recursion when designing an algorithm.

---

**Performance Goal:** Pivots computed as median of medians of  $A[L..R-1]$  using recursion, i.e., apply the worst-case median algorithm to the  $n/3$  medians of groups of 3 elements.

This works, but alas, there are too many groups of 3, so the total cost is super-linear.



**Consider recursion when designing an algorithm.**

---

**Performance Goal:** Pivots computed as median of medians of  $A[L..R-1]$  using recursion, i.e., apply the worst-case median algorithm to the  $n/3$  medians of groups of 3 elements.

This works, but alas, there are too many groups of 3, so the total cost is super-linear.

But don't lose heart. All is not lost, because ...

**Performance Goal:** Pivots computed as median of medians of  $A[L..R-1]$  using recursion, i.e., apply the worst-case median algorithm to the  $n/5$  medians of groups of 5 elements.

This works, and is linear.

Selection of a partition region eliminates at least  $3/5 \cdot 1/2 = 3/10$  the values.

Thus, the selected region is no larger than  $r = 1 - 3/10 = 7/10$  the size of the whole.

Total effort for partitioning.  $n + (7/10) \cdot n + (7/10)^2 \cdot n + (7/10)^3 \cdot n + \dots = n / (1 - 7/10) = 3.33 \cdot n$

In effect, the reduction ratio  $r$  shrinks slightly (from  $2/3$  to  $3/10$ ), but the number of groups shrinks more than enough (from  $n/3$  to  $n/5$ ) to render the total linear.

## Summary:

Presented three algorithm-design principles that can serve in lieu of everyday experience:

- ☞ Consider generalizing a problem when designing an algorithm.
- ☞ Consider Divide and Conquer when designing an algorithm.
- ☞ Consider recursion when designing an algorithm.

Used the principles to derive two algorithms for finding the median as a special case of finding the  $j^{\text{th}}$  smallest value in  $A[0..n-1]$ :

- Linear average-time performance
- Linear worst-case-time performance.