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# Approx Max-Flow Min-Cut for the Sparsest Cut problem

Undirected graph  $G$ ,

$k$  pairs of terminals  $\{(s_i, t_i)\}_{i=1}^k$

Edges have capacities  $c(e)$ .

If vertex set is partitioned into  $(A, B)$

$\text{cap}(A, B) =$  combined capacity of edges from  $A \rightarrow B$

$\text{sep}(A, B) = \#\{i \mid \{s_i, t_i\} \cap A = 1\}$

$\text{sparsity}(A, B) = \frac{\text{cap}(A, B)}{\text{sep}(A, B)} \leftarrow \text{NP-hard to minimize!}$

If, in  $G$ , it is possible to route  $r$  units of flow simultaneously from  $s_i$  to  $t_i \forall i$  then  $\text{sparsity}(A, B) \geq r \quad \forall$  cut  $A, B$  that separates at least one terminal pair.

$\mathcal{Q} = \{\text{k-tuples } (p_1, p_2, \dots, p_k) \text{ of } s_i, t_i \text{ paths}\}$

(PRIMAL)

$$\max \sum_{Q \in \mathcal{Q}} x_Q$$

$$\text{s.t.} \quad \sum_{Q \in \mathcal{Q}} n_Q(e) x_Q \leq c(e) \quad \forall e \in E$$

$$x_Q \geq 0$$

(DUAL)

$$\min \sum_{e \in E} c(e) y_e$$

$$\text{s.t.} \quad \sum_{Q \in \mathcal{Q}} n_Q(e) y_e \geq 1 \quad \forall Q$$

$$y_e \geq 0$$

2 interpretations of dual:

(1)  $y_e$ 's are "edge lengths".

$$\text{Dual constraint } \sum_{e \in Q} n_Q(e) y_e \geq 1 \quad \forall Q$$

summarized by saying

$$\sum_{i=1}^k (\text{shortest path length from } s_i \text{ to } t_i \text{ w.r.t. } y_e) \geq 1$$

(2)  $y_e$ 's represent a "fractional cut"

generalizing the case of an actual cut  $(A, B)$

with  $\text{sep}(A, B) = j$  corresponding to

$$y_e = \begin{cases} 1/j & \text{if } e \text{ has exactly one} \\ & \text{endpoint in } A \\ 0 & \text{otherwise.} \end{cases}$$

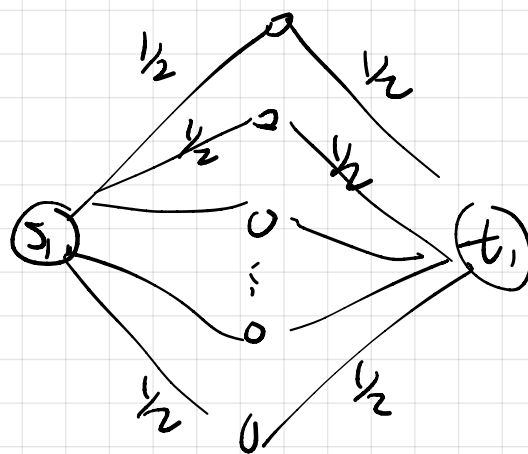
Tossing independent coins

is bad!

E.g.,

$$k=1$$

to round  $y_e$  to a cut



↑ This is one of the opt dual solutions. But indep coin tosses with these probabilities have  $(\frac{3}{4})^{n-2}$  probability of separating  $s_1 - t_1$ .

## Rounding:

1. Sample unif rand.  $g \in \{1, 2, \dots, \lfloor \log(2k) \rfloor\}$
2. Sample random subset  $W \subseteq \{s_1, t_1, s_2, t_2, \dots, s_k, t_k\}$  including each of the  $2k$  vertices independently with probability  $2^{-g}$ .
3. Compute shortest path distance  $d(u, v)$  between all pairs of vertices using edge lengths  $y_e$ .

$$\text{Let } d(W, v) := \min \{d(u, v) \mid u \in W\}.$$

4. Sample  $r \in (0, 1)$  unif random and let

$$A = \{v \mid d(W, v) \leq r\}$$

$$B = \{v \mid d(W, v) > r\}.$$

## Game Plan:

1. Bound  $\mathbb{E}[\text{cap}(A, B)]$  above.
2. Bound  $\mathbb{E}[\text{sep}(A, B)]$  below.
3. Argue that (1) & (2) imply  
 $\exists$  cut whose sparsity is  $O(\log k)$   
times  $\sum_e c(e) y_e$ .

$$(1) \quad \mathbb{E}[\text{cap}(A, B)] = \sum_{e=(u, v)} c(e) \Pr(e \text{ is cut by } A, B).$$

We cut  $e=(u, v)$  precisely when  $d(W, u) \leq r < d(W, v)$   
or  $d(W, v) \leq r < d(W, u)$ .

Conditional on any  $W$ , this probability is

$|d(W,u) - d(W,v)| \leftarrow$  interpreted as 0 if  $W = \emptyset$ .

Always,  $d(W,u) - d(W,v) \leq y_e$   
 $d(W,v) - d(W,u) \leq y_e$

$\therefore E[\text{cap}(A,B)] \leq \sum c(e) y_e$ .

(2)  $E[\text{sep}(A,B)] = \sum_{i=1}^k \int_0^1 \Pr(A,B \text{ separates } s_i \text{ from } t_i \mid r=x) dx$

Note  $A,B$  separates  $s_i$  from  $t_i$  iff

$$d(W, s_i) \leq r < d(W, t_i)$$

$$\text{or } d(W, t_i) \leq r < d(W, s_i)$$

Let  $T = \{s_1, t_1, \dots, s_k, t_k\}$ .

$$U_s = \{u \in T \mid d(s_i, u) \leq r\}$$

$$U_t = \{u \in T \mid d(t_i, u) \leq r\}$$

IF  $U_s \cap W \neq \emptyset$ ,  $U_t \cap W = \emptyset$  then

$$s_i \in A, \quad t_i \in B.$$

IF  $U_s \cap W = \emptyset$ ,  $U_t \cap W \neq \emptyset$  then

$$s_i \in B, \quad t_i \in A.$$

For  $r < \frac{1}{2} d(s_i, t_i)$   $U_s \cap U_t = \emptyset$ , both are non-empty.

Let  $l = \#(U_s \cup U_t)$ . IF  $2^l \leq l < 2^{l+1}$

which happens w. prob.  $\frac{1}{\log(2k)}$ ,

then  $W \cap (U_s \cup U_t)$  is a sum of

$l$  indep random Bernoulli RV's

and each has expectation  $2^{-3}$ .

$$E[\#W \cap (U_s \cup U_t)] = \frac{2}{2^3} \in [1, 2).$$

Lemma. A binomial distribution with exp val  
in  $[1, 2)$  has  $\geq e^{-2}$  probability  
of sampling 1.

Conclude.  $\forall 0 < r < \frac{1}{2} d(s_i, t_i),$

$$\Pr(\text{separate } s_i, t_i \mid r) \geq e^{-2} \cdot \frac{1}{\log(2k)}.$$

$$\sum_{i=1}^k \left( \text{this} \uparrow \text{probability} \right)$$

$$\geq \sum_{i=1}^k \frac{1}{2} d(s_i, t_i) \cdot e^{-2} \cdot \frac{1}{\log(2k)}$$

$$\geq \frac{1}{2e^2 \log(2k)} \left( \sum_{i=1}^k d(s_i, t_i) \right) \geq 1$$