CS 6817: Special Topics in Complexity Theory

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# 1 The Hamming Cube

The Hamming cube is defined as  $\{0,1\}^n$ , or equivalently  $\{\pm 1\}^n$ . The Hamming distance between two points over the cube  $x, y \in \{0,1\}^n$  is defined as

$$\Delta(x,y) \stackrel{def}{=} |\{i \colon x_i \neq y_i\}|.$$

The Hamming weight  $w: \{0,1\}^n \to [0,n]$  of a points  $x \in \{0,1\}^n$  is defined as its number of non-zero coordinates, or alternatively—it's distance from 0:

$$w(x) \stackrel{def}{=} \Delta(x, 0).$$

Through out this lecture, we define the graph  $H_n = (\{0,1\}^n, E)$  over the Hamming Cube, with edges corresponding to vertices with hamming distance 1:

$$(x,y) \in E \iff \Delta(x,y) = 1$$

## 2 Influence

We begin with introducing the notion of bit and total *influence* of a Boolean function. Intuitively, the *i*-th influence of a Boolean function  $f: \{\pm 1\}^n \to \{\pm 1\}$  would capture the influence the *i*-th coordinate has over the output, given a random input.

Specifically, for every  $x \in \{\pm 1\}^n$  and  $i \in [n]$ , we define the vector  $x^{\oplus i}$  as identical to x except with its *i*-th coordinate flipped. Then,

**Definition 2.1** (Influence). For every  $i \in [n]$ , the *i*-th Influence of a Boolean function f is defined as

$$I_i[f] \stackrel{def}{=} \Pr_{x \sim \{\pm 1^n\}} \left[ f(x) \neq f(x^{\oplus i}) \right].$$

Correspondingly, the total influence is defined as the sum of the influences of all bits.

**Definition 2.2.** The Total Influence is defined as

$$I[f] \stackrel{def}{=} \sum_{i \in [n]} I_i[f].$$

Is there any bound on the influence of a function? Clearly every bit-influence is bounded as  $I_i[f] \in [0, 1]$  as it's a probability. Hence, the total influence is bounded between  $I[f] \in [0, n]$ .

**Example 2.1.** The constant function f = 1 has no influence at all, namely I[1] = 0. The parity function  $f(x) = \chi_{[n]}(x)$  has maximum influence I[f] = n, since  $I_i[\chi_{[n]}] = 1$  (for every index i) as every bit-flip of the input also flips the output.

A more interesting example is of the *majority* function  $Maj_{2k+1}: \{\pm 1\}^{2k+1} \to \{\pm 1\}$ , which is defined as follows

$$Maj_{2k+1}(x) \stackrel{def}{=} \begin{cases} 1 & \sum_{i \in [2k+1]} x > 0\\ 0 & \text{otherwise.} \end{cases}$$

**Lemma 2.1.**  $I(Maj_{2k+1}) = O(\sqrt{k}).$ 

*Proof.* Without loss of generality consider the first coordinate i = 1, and observe that

$$I_1[f] = \Pr_{x \sim \{\pm 1\}^n} \left[ \sum_{i \in [2,2k+1]} x_i = 0 \right] = \frac{\binom{2k}{k}}{2^{2k}},$$

since we need to fix k coordinate with value 1 and the rest as 0. Using Stirling's approximation  $\binom{2k}{k} \sim \frac{2^{2k}}{\sqrt{k\pi}}$ , we bound the above by

$$\leq \frac{\frac{2^{2k}}{\sqrt{k\pi}}}{2^{2k}} = O(1/\sqrt{k}),$$

and thus

$$I[f] = \sum_{i \in [2k+1]} I_i[f] = (2k+1)\frac{1}{\sqrt{k}} = O(\sqrt{k}).$$

Another example is of the  $And_n: \{\pm 1\}^n \to \{\pm 1\}$  function that is defined as 1 iff  $x_1 = \dots x_n = -1$ . Example 2.2.  $I[And_n] = \frac{n}{2^{n-1}}$ .

*Proof.* Consider  $I_i[And_n]$  for some fixed  $i \in [n]$ . An input x has influence in coordinate i over the output only if all the other coordinates are -1; a random x satisfies that with probability  $2^{-(n-1)}$ , and thus

$$I_i[And_n] = 2^{-(n-1)}.$$

Since that's true for every *i*, the total influence is exactly  $n \cdot I_i[And_n]$ .

### 3 Cuts' perspective

Let us present a different perspective for the Influence. Consider the graph  $H_n$  that was defined earlier, and recall that its edges correspond to vertices that differ by 1 coordinate. Define the subset of edges  $E_i \subseteq E$  as the ones the differ only on the *i*-th coordinate, namely  $(x, y) \in E_i$  iff  $x_i \neq y_i$ . Thus,

$$E = \bigcup_{i \in [n]} E_i,$$

where the sets  $E_i$  are disjoint.

It follows that  $|E_i| = 2^{n-1}$  (for every *i*), because  $(x, y) \in E_i$  if x and y agree on all the coordinates but the *i*-th one, and there are  $2^{n-1}$  options for such fixation. By the disjoint-ness of  $E_i$  we get that

$$|E| = \sum_{i \in [n]} |E_i| = n \cdot 2^{n-1}$$

Let  $A_b \stackrel{def}{=} f^{-1}(b)$  be the set of points corresponds to value  $b \in \{\pm 1\}^n$ . The Cut between  $A_1, A_{-1}$  is the set of all edges that goes from either set to the other:

$$Cut(A_1, A_{-1}) \stackrel{def}{=} \{ (x, y) \in E \colon x \in A_1, y \in A_{-1} \},\$$

and correspondingly define the i-th Cut as

$$Cut_i(A_1, A_{-1}) \stackrel{def}{=} Cut(A_1, A_{-1}) \cap E_i.$$

**Claim 3.1.** For every  $i \in [n]$  it holds that

$$I_i[f] = \frac{|Cut_i(A_1, A_{-1})|}{|E_i|}.$$

*Proof.* Enrolling the definition,

$$I_i[f] = \Pr_{x \sim \{\pm 1\}^n} \left[ f(x) \neq f(x^{\oplus i}) \right],$$

and notice that the "good" x-es, the ones for which  $f(x) \neq f(x^{\oplus i})$ , namely  $(x, x^{\oplus i}) \in Cut_i(A_1, A_{-1})$ . There is a probability of  $2 \cdot 2^{-n}$  of choosing such x, which is exactly the inverse of the cardinality of  $|E_i| = 2^{n-1}$ .

Thus,

Claim 3.2. It holds that

$$I[f] = n \cdot \frac{|Cut(A_1, A_{-1})|}{|E|}$$

*Proof.* Unrolling the definition of total influence,

$$I[f] = \sum_{i \in [n]} I_i[f] = \sum_{i \in [n]} \frac{|Cut_i(A_1, A_{-1})|}{|E_i|}$$

but since all the  $E_i$  has the same cardinality  $|E_i| = |E_j|$ , and thus  $|E_1| = |E||/n$ , we get that

$$I[f] = \sum_{i \in [n]} \frac{|Cut_i(A_1, A_{-1})|}{|E_1|} = \frac{|Cut(A_1, A_{-1})|}{|E_1|} = n \cdot \frac{|Cut(A_1, A_{-1})|}{|E|}$$

### 4 Sensitivity

Another plausible parameter to measure a Boolean function is it's sensitivity at some point x—namely all the points y for which  $f(x) \neq f(y)$  and their hamming distance from x is 1:

**Definition 4.1.** The Sensitivity of f at points x is defined as

$$S(f,x) \stackrel{def}{=} |\{y \colon f(x) \neq f(y)\} \land \Delta(x,y) = 1|.$$

Accordingly, the Average Sensitivity of a function is defined as it sensitivity over a random input:

**Definition 4.2.** The Average Sensitivity of a Boolean function is defined as

$$AS(f) = \mathop{\mathbb{E}}_{x \sim \{\pm 1\}^n} \left[ S(f, x) \right].$$

The Average Sensitivity is in fact another perspective for the Total Influence, as they are equal:

Claim 4.1. AS(f) = I[f].

*Proof.* For every  $x \in \{\pm 1\}$  and  $i \in [n]$ , let  $Z_{i,x}$  be an indicator for whether  $f(x) \neq x^{\oplus i}$ . Thus,

$$S(f,x) = \sum_{i \in [n]} Z_{x,i},$$

and hence by linearity of expectation,

$$AS(f) = \mathop{\mathbb{E}}_{x \sim \{\pm 1\}^n} \left[ S(f, x) \right] = \sum_{i \in [n]} \mathop{\mathbb{E}}_{x \sim \{\pm 1\}^n} \left[ Z_{x,i} \right] = \sum_{i \in [n]} I_i[f] = I[f],$$

where the penultimate equality is by definition of  $I_i[f]$ .

The sensitivity of the function itself is defined as the maximum sensitivity over some input x:

**Definition 4.3.**  $S(f) = \max_x S(f, x)$ .

For example, S(AND) = n because for x = (-1, ..., -1), every bit-flip also flips the AND's value. Other points  $y \neq x$ , by definitions, have no sensitivity S(f, y) = 0.

## 5 Discrete Derivative

For every  $x \in \{\pm 1\}^n$  and  $b \in \{\pm 1\}$ , define  $x^{i \to b}$  identically as x, except the fixture of  $x_i = b$ .

**Definition 5.1.** The *i*-th Discrete Derivative of f(x) is defined as

$$D_i f(x) \stackrel{def}{=} \frac{f(x^{i \to 1}) - f(x^{i \to -1})}{2}.$$

Observe that  $D_i$  is a linear operator, as for every  $\alpha, \beta \in \mathbb{R}$  it holds that

$$D_i(\alpha f) = \alpha D_i(f),$$

and

$$D_i(\alpha f + \beta g) = \alpha D_i(f) + \beta D_i(g).$$

Our first claim relates the derivative's norm to the function's influence.

Claim 5.1. For every  $i \in [n]$ ,

$$||D_i f||_2^2 = I_i[f].$$

*Proof.* Observe that  $D_i f(x) \in \{-1, 0, 1\}$  as the function's domain is  $f(x) \in \{\pm 1\}$ .

Thus, by the norm's definition, and the symmetry about choosing  $x^{i \to 1}$  and  $x^{i \to -1}$ ,

$$\|D_i f\|_2^2 = \mathop{\mathbb{E}}_{x \sim \{\pm 1\}^n} \left[ (D_i f(x))^2 \right] = \frac{1}{4} \mathop{\mathbb{E}}_{x \sim \{\pm 1\}^n} \left[ \left( f(x^{i \to 1}) - f(x^{i \to -1}) \right)^2 \right] = \frac{1}{2} \mathop{\mathbb{E}}_{x \sim \{\pm 1\}^n} \left[ \left| f(x^{i \to 1}) - f(x^{i \to -1}) \right| \right].$$

Observe that the absolute value does not vanishes only if  $f(x^{i\to 1}) \neq f(x^{i\to -1})$ , so the above is equal to

$$= \frac{1}{2} 2 \Pr_{x \sim \{\pm 1\}^n} \left[ f(x^{i \to 1}) \neq f(x^{i \to -1}) \right] = I_i[f],$$

where the factor 2 comes the symmetry of fixing either  $x^{i \to 1}$  or  $x^{i \to -1}$ , and the ultimate equation follows by definition.