

## Lecture 5: Sept 6, 2021

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**1 Lower bound on randomness for  $k$ -wise independence**

Let  $D$  be any  $k$ -wise independent distribution on  $\{0, 1\}^n$ . Define  $D(x) = \Pr[D = x]$  and

$$\text{sup}(D) = \{x \in \{0, 1\}^n : D(x) > 0\}$$

We claim that  $|\text{sup}(D)| \geq n^{k/2}$ . In particular, this means that we need  $\geq \frac{1}{2}k \log n$  random bits to generate  $D$ . Compare this to our construction!

*Proof.* For brevity, let  $S = \text{sup}(D)$ . View the distribution as a real valued function  $D : S \rightarrow \mathbb{R}^+$ . Let

$$V = \{f : S \rightarrow \mathbb{R}\}$$

be the vector space of functions from  $S$  to  $\mathbb{R}$ . Clearly  $\dim(V) = |S|$ , since the collection of indicator functions  $\{e_y\}_{y \in S}$  given by

$$e_y(x) := \begin{cases} 1 & \text{if } x = y \\ 0 & \text{else} \end{cases}$$

is a basis for  $V$ .

Define an inner product  $\langle \cdot, \cdot \rangle : V \times V \rightarrow \mathbb{R}$  by

$$\langle f, g \rangle := \mathbb{E}_{x \sim D}[f(x) \cdot g(x)] = \sum_{x \in S} D(x) f(x) g(x).$$

We can easily verify that it is an inner product; for any  $\alpha, \beta \in \mathbb{R}$  and  $f_1, f_2, g \in V$ , we have

$$\begin{aligned} \langle \alpha f_1 + \beta f_2, g \rangle &= \sum_{x \in S} D(x) (\alpha f_1 + \beta f_2)(x) g(x) \\ &= \alpha \sum_{x \in S} D(x) f_1(x) g(x) + \beta \sum_{x \in S} D(x) f_2(x) g(x) = \alpha \langle f_1, g \rangle + \beta \langle f_2, g \rangle, \end{aligned}$$

and similarly for the second coordinate. It is also clear that

$$\langle f, f \rangle = \sum_{x \in S} D(x) f(x)^2 \geq 0$$

since  $D(x) \geq 0$ , with equality if and only if  $f(x) = 0$  for all  $x \in \text{sup}(D)$  (i.e. if  $f = 0$ ).

Next we define a collection of  $|S|$  orthogonal functions in  $V$  with respect to the inner product. For all subsets  $T \subseteq [n]$  with  $|T| \leq k/2$ , define  $\chi_T : S \rightarrow \mathbb{R}$  by

$$\chi_T(x) := \prod_{i \in T} (-1)^{x_i}$$

recalling that  $x = (x_1, x_2, \dots, x_n) \in \{0, 1\}^n$  is an  $n$ -tuple. We claim that the collection  $\{\chi_T : T \subseteq [n], |T| \leq k/2\}$  is orthogonal. To see this, select distinct  $T_1, T_2 \subseteq [n]$  with  $|T_1|, |T_2| \leq k/2$ . Expanding the definition of the inner product gives

$$\langle \chi_{T_1}, \chi_{T_2} \rangle = \mathbb{E}_{x \sim D} [\chi_{T_1}(x) \chi_{T_2}(x)] = \mathbb{E}_{x \sim D} \left[ \prod_{i \in T_1} (-1)^{x_i} \prod_{j \in T_2} (-1)^{x_j} \right].$$

Observe that the terms with  $i \in T_1 \cap T_2$  will cancel each other out; in particular, we have

$$\mathbb{E}_{x \sim D} \left[ \prod_{i \in T_1} (-1)^{x_i} \prod_{j \in T_2} (-1)^{x_j} \right] = \mathbb{E}_{x \sim D} \left[ \prod_{i \in T_1 \Delta T_2} (-1)^{x_i} \right].$$

Now note that  $|T_1 \Delta T_2| \leq |T_1| + |T_2| \leq \frac{k}{2} + \frac{k}{2} = k$ . Since  $D$  is  $k$ -wise independent, we have

$$\mathbb{E}_{x \sim D} \left[ \prod_{i \in T_1 \Delta T_2} (-1)^{x_i} \right] = \left[ \prod_{i \in T_1 \Delta T_2} \mathbb{E}_{x_i \sim D_i} (-1)^{x_i} \right] = 0$$

as  $D_i$ , the marginal distribution of  $D$  on the  $i$ -th component, is uniform on  $\{0, 1\}$ .

Since the collection  $\{\chi_T\}$  is orthogonal, its cardinality provides a lower bound on the dimension of  $V$ . In particular,

$$|S| = \dim(V) \geq \binom{n}{k/2} \geq n^{k/2}.$$

□

**Remark 1.1.** *In fact, there are explicit constructions which show that this bound is tight.*

## 2 Pseudorandom Generators

The motivating idea behind pseudorandom generators is to provide a derandomization black-box for algorithm design.

**Definition 2.1.** *A family of pseudorandom generators (PRG) is given by the collection*

$$\{G_n : \{0, 1\}^{s(n)} \rightarrow \{0, 1\}^n\}_{n \in \mathbb{N}}.$$

**Definition 2.2.** *A family (class) of Boolean functions is*

$$\mathcal{F} = \bigcup_{n \geq 0} F_n, \quad F_n \subseteq \{f : \{0, 1\}^n \rightarrow \{0, 1\}\}.$$

**Definition 2.3.** *For  $\varepsilon = \varepsilon(n)$ , we say that  $\{G_n\}$  is an  $\varepsilon$ -PRG for the Boolean family  $\{F_n\}$  with seed length  $s(n)$  if for all  $n \geq 0$  and  $f \in F_n$ ,*

$$|\mathbb{E}[f(U_n)] - \mathbb{E}[f(G_n(U_{s(n)}))]| < \varepsilon(n).$$

## Computing PRGs

We say that  $A$  is mildly explicit if  $A$  runs in  $\text{poly}(n, 2^{s(n)})$ . (This is sufficient for our purposes, since our derandomization technique is to run  $G$  on all  $2^{s(n)}$  inputs.)

We say that  $A$  is strongly (or fully) explicit if  $A$  runs in  $\text{poly}(n, S(n))$ . (This one is necessary for cryptographic purposes.)

**Example 2.4.** (*k-Juntas*) For fixed  $n \geq 1$ , and consider the collection of functions  $F_n \subseteq \{f : \{0, 1\}^n \rightarrow \{0, 1\}\}$  which depends on at most  $k$  input bits. Denote this family by  $F^{k\text{-Junta}}$ . We claim that  $k$ -wise independence fools  $F^{k\text{-Junta}}$ .

Indeed, if  $f \in F_n$  for some  $n \geq 1$ , we have

$$\mathbb{E}[f(U_n)] = \mathbb{E}[f(G_n(U_{s(n)}))]$$

since  $f$  ignores all but  $k$  bits (by its membership in  $F_n$ ).