

28 February 2024

Randomized Median Finding

## Plan

### \* Problem Description

↳ Median &  $k^{\text{th}}$  element

↳ Algorithms to beat

### \* Announcements

### \* Random Pivot

↳ Failure of Deterministic Pivot

↳ Expected Running Time

# Finding The Median of a List

Given: a list  $L$  of  $n$  integers

Task: Return the median of the list

median: element  $m$  s.t. for

- half of  $i \in L$ ,  $i \leq m$
- half of  $j \in L$ ,  $m < j$

# Finding The Median of a List

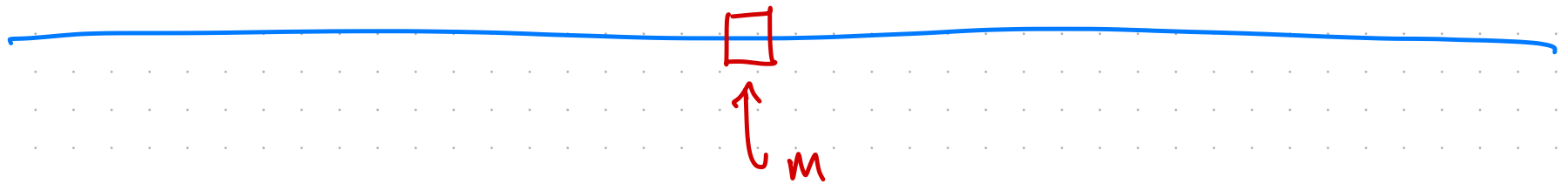
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- half of  $j \in L$ ,  $m < j$

If  $L$  was  
sorted



# Finding The Median of a List

Given: a list  $L$  of  $n$  integers

Task: Return the median of the list

median: element  $m$  s.t. for

$$|\{i \in L : i \leq m\}| \leq \frac{n}{2}$$

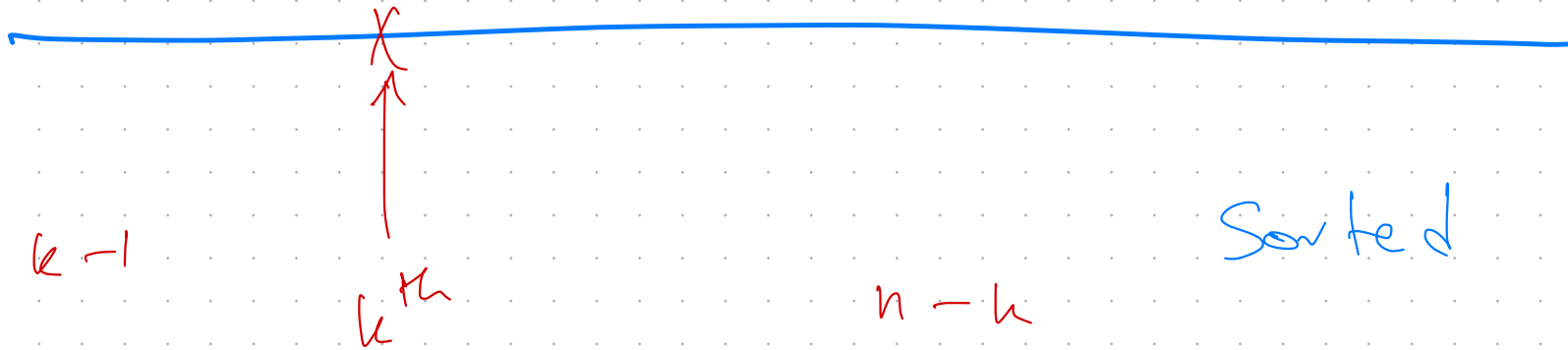
$$|\{j \in L : j > m\}| < \frac{n}{2}$$

Median is  $\lceil n/2 \rceil^{\text{th}}$  element.

$k^{\text{th}}$  element.

Given: a list  $L$  of  $n$  integers

Task: Return the  $k^{\text{th}}$  smallest element



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median.  $\lceil n/2 \rceil^{\text{th}}$  element

minimum.  $1^{\text{st}}$  element

maximum.  $n^{\text{th}}$  element.

$80^{\text{th}}$ -percentile.  $\lceil 80 \cdot n / 100 \rceil^{\text{th}}$  element.

$k^{\text{th}}$  element.

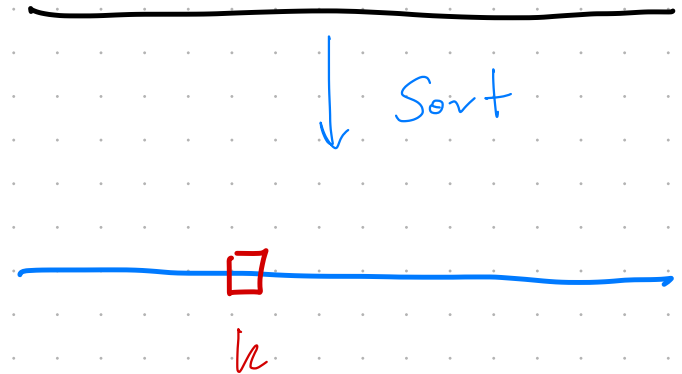
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Task: Return the  $k^{\text{th}}$  smallest element

First Algorithm.

Select By Sorting  $(L, k)$ .

- Sort  $L$
- Return  $k^{\text{th}}$  element



$k^{\text{th}}$  element.

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First Algorithm.

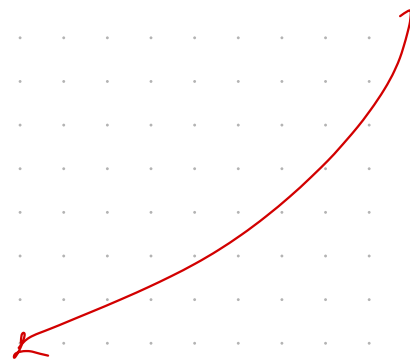
Select By Sorting  $(L, k)$ .

- Sort  $L$
- Return  $k^{\text{th}}$  element

Correctness. By def/construction.

Running Time.  $O(n \log n)$ .

Can we do better?





# Announcements.

\* Prelim #1 Grades

→ To be released before next lecture

→ Feb break slowed us down. Apologies.

\* HW3 Grades returned soon (~Friday)

\* RESEARCH NIGHT

4 March 5-7pm

Gates 401

Food provided.

# Selection without Sorting

## Divide

Choose a "pivot"  $p$ .

Partition  $L$  into

$$L_{\leq} = \langle i \in L : i \leq p \rangle \quad L_{>} = \langle j \in L : j > p \rangle$$

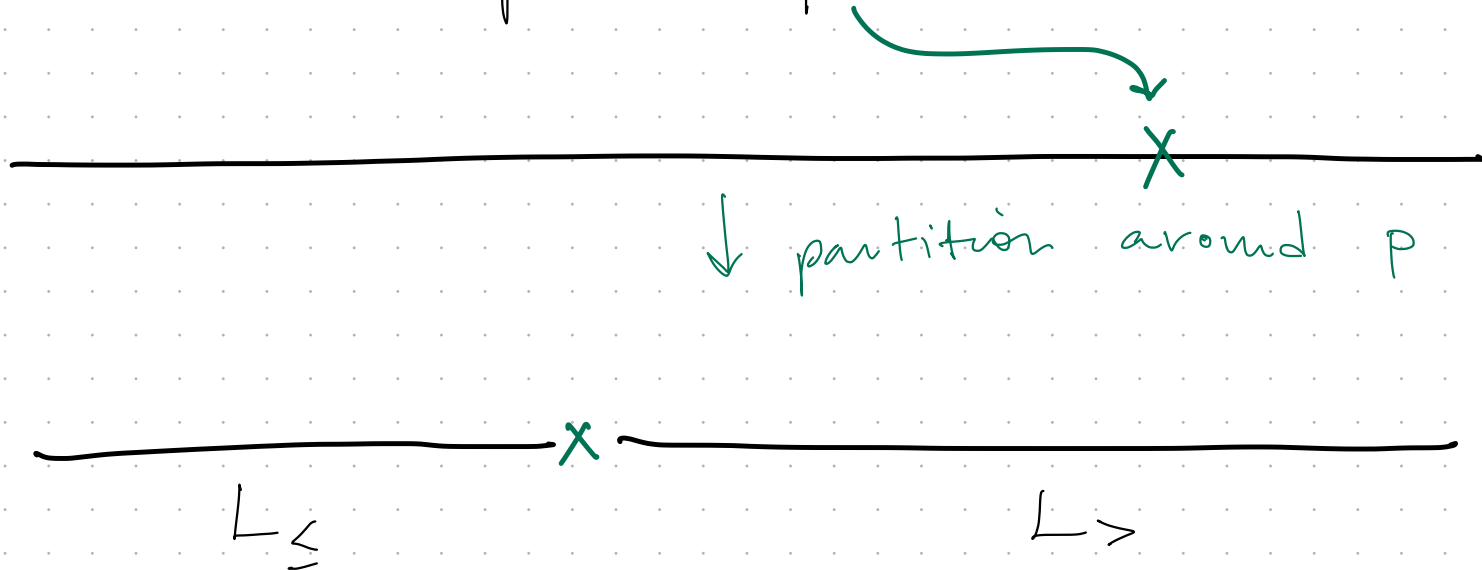
## Conquer

Recurse on correct part.

# Selection without Sorting

## Divide

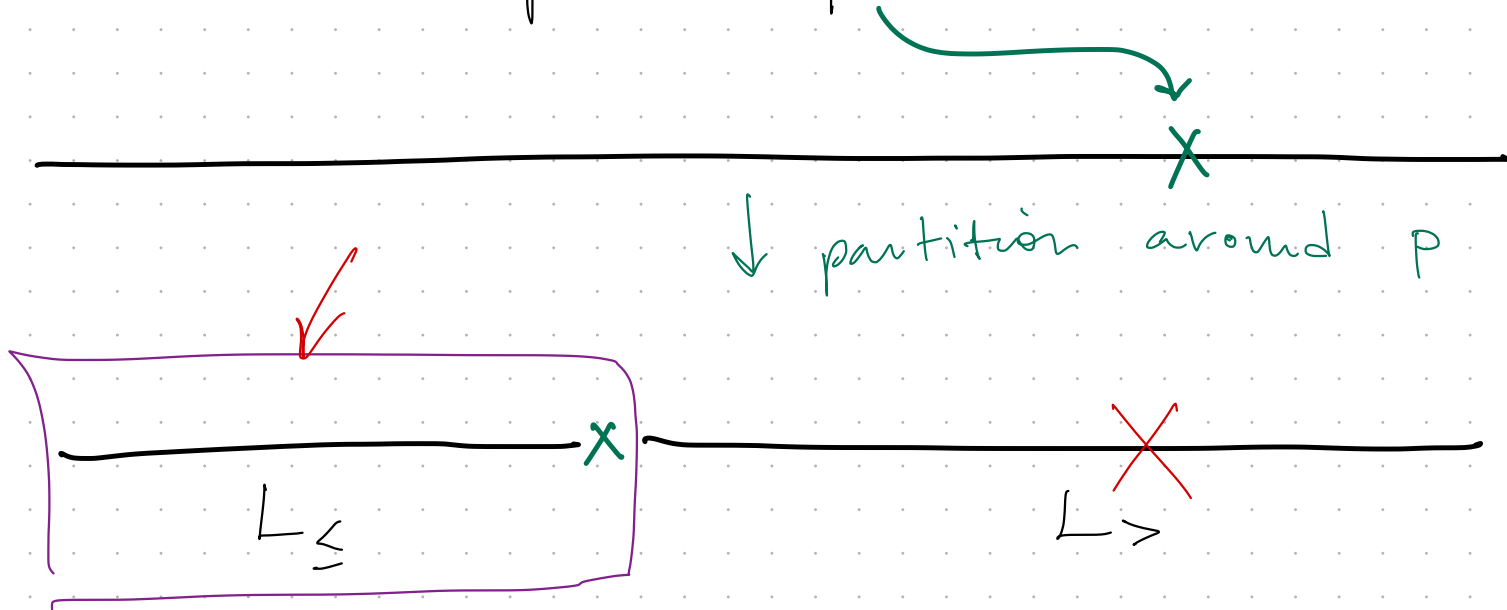
Choose a "pivot"  $P$ .



# Selection without Sorting

## Divide

Choose a "pivot"  $p$ .



## Conquer

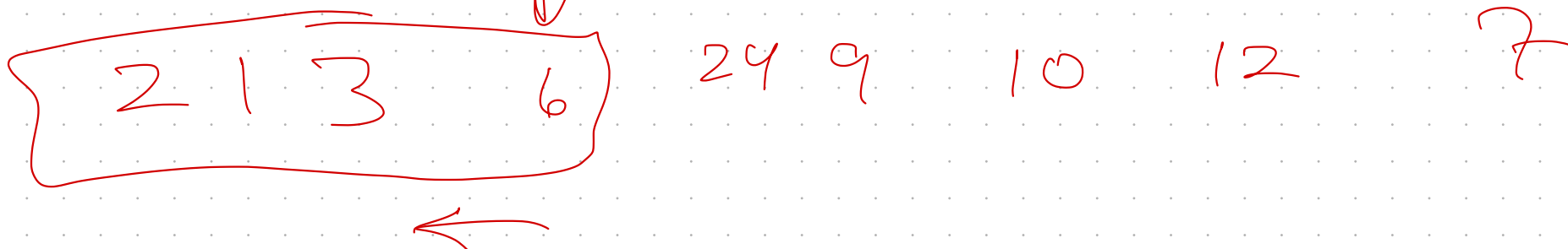
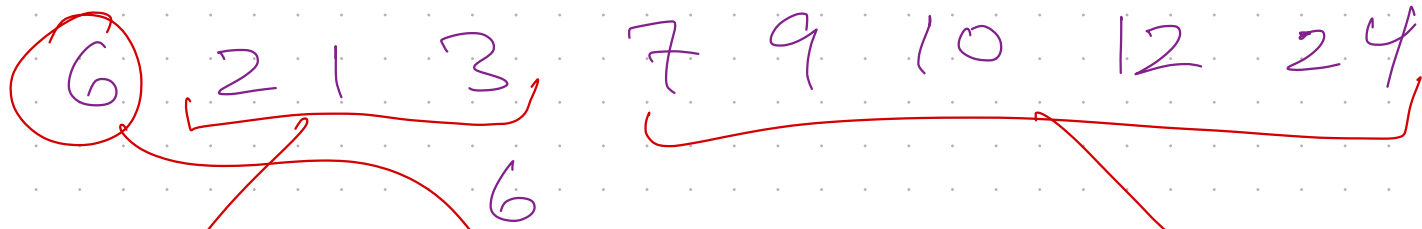
To find  $k^{\text{th}}$  elem, consider  $l = |L_{\leq}|$   
compared to  $k$ .



$h = 3^{\text{rd}}$



$i \in L : i \leq p$



Select ( $L, k$ )

Choose pivot  $p \in L$ .

$L_{\leq} \leftarrow \langle i \in L : i \leq p \rangle$

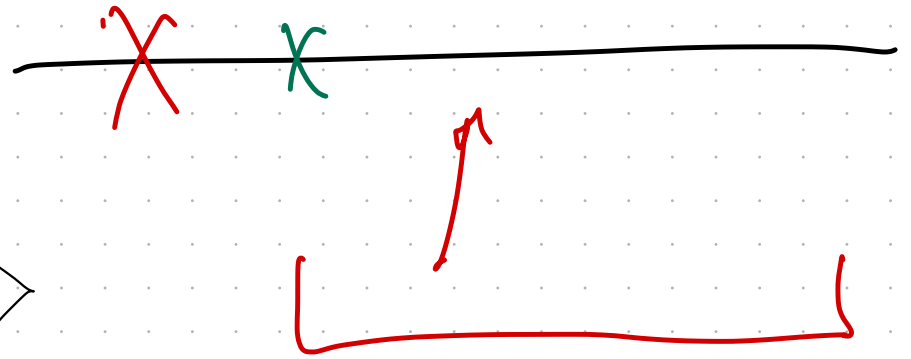
$L_{>} \leftarrow \langle j \in L : j > p \rangle$

let  $l = |L_{\leq}|$

if  $l = k$  : Return  $p$  // pivot was  $k^{\text{th}}$  elem

if  $l > k$  : Return Select ( $L_{\leq}, k$ )

else : Return Select ( $L_{>}, k - l$ )



Select ( $L, k$ )

Running Time?

Choose pivot  $p \in L$ .

$L_{\leq} \leftarrow \langle i \in L : i \leq p \rangle$

$L_{>} \leftarrow \langle j \in L : j > p \rangle$

} Linear scan through  $L$   
 $O(n)$

let  $l = |L_{\leq}|$

if  $l = k$  : Return  $p$  // pivot was  $k^{\text{th}}$  elem

if  $l > k$  : Return Select ( $L_{\leq}, k$ )

else : Return Select ( $L_{>}, k-l$ )

$T(|L_{\leq}|)$  or  $T(|L_{>}|)$

Which Pivot?

Suppose we choose the pivot to always be  $L[1]$ .

What is the worst-case Running Time?



## Which Pivot?

Suppose we choose the pivot to always be  $L[1]$ .

What is the worst-case Running Time?

Select( $L, n$ )

$\langle 1, 2, 3, 4, \dots, n \rangle$

$L_1 = \langle 1 \rangle$

$L_2 = \langle 2, 3, 4, \dots, n \rangle$

Which Pivot?

Suppose we choose the pivot to always be  $L[1]$ .

What is the worst-case Running Time?

Select( $L, n$ )

$\langle 1, 2, 3, 4, \dots, n \rangle$

$$T(n) = c \cdot n + T(n-1)$$

## Which Pivot?

Suppose we choose the pivot to always be  $L[1]$ .

What is the worst-case Running Time?

Select( $L, n$ )

$\langle 1, 2, 3, 4, \dots, n \rangle$

$$T(n) = c \cdot n + T(n-1)$$

$$= \sum_{j=0}^{n-1} c \cdot (n-j)$$

$$= \Omega(n^2)$$

$\swarrow$   
 $cn + c(n-1) + \dots$

Which Pivot?

Suppose we choose the pivot to always be  $L[n]$ .

What is the worst-case Running Time?



## Which Pivot?

Suppose we choose the pivot to always be  $L[n]$ .

What is the worst-case Running Time?

Select( $L, 1$ )

$\langle 1, 2, 3, 4, \dots, n \rangle$

$$T(n) = c \cdot n + T(n-1)$$

$$= \sum_{j=0}^{n-1} c \cdot (n-j)$$

$$= \Omega(n^2).$$

Theorem For any deterministic pivot selection  
(that does not depend on  $L$ )

the worst-case running time of Select is  $\Omega(n^2)$ .

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Pivot that depends on  $L$

ideal: pivot on the median

$$\begin{aligned} T(n) &= c \cdot n + T(n/2) \\ &= 2cn = O(n). \end{aligned}$$



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Pivot that depends on  $L$

ideal : pivot on the median

$$\begin{aligned} T(n) &= c \cdot n + T(n/2) \\ &= 2cn = O(n). \end{aligned}$$

actual : median-of-medians

(Blum, Floyd, Pratt, Rivest, Tarjan 1973)

Theorem For any deterministic pivot selection  
(that does not depend on  $L$ )

the worst-case running time of Select is  $\Omega(n^2)$ .

---

## Randomized Pivot

↳ Many pivots are good

↳ Upper bound the expected RT.

# Randomized Algorithms.

\* Allow algorithm to "flip coins"

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### Basic Randomness Primitives

\* Choose random bit  $B \in \{0,1\}$  w.p.  $1/2$

# Randomized Algorithms.

\* Allow algorithm to "flip coins"

## Basic Randomness Primitives

\* Choose random bit  $B \in \{0, 1\}$  w.p.  $1/2$

\* Given  $n$ , choose  $p \in \{1, \dots, n\}$

uniformly at random

$$P_r[p=i] = \frac{1}{n} \quad \forall i \in \{1, \dots, n\}$$

# Deterministic Algorithms

\* We design an algorithm  $A$

\* Adversary choose input to  $A$

↳ designed to give worst-case RT

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↳ designed to give worst-case RT

# Randomized Algorithms

\* We design an algorithm  $A$

\* Adversary choose input to  $A$

\* Algorithm "flips coin" while running

↳ may give improved expected RT

## Randomized Select (L, k)

Choose pivot  $p$  Uniformly At Random from  $L$

$$L_{\leq} \leftarrow \langle i \in L : i \leq p \rangle$$

$$L_{>} \leftarrow \langle j \in L : j > p \rangle$$

$$\text{let } l = |L_{\leq}|$$

if  $l = k$  : Return  $p$  // pivot was  $k^{\text{th}}$  elem

if  $l > k$  : Return Select( $L_{\leq}$ ,  $k$ )

else : Return Select( $L_{>}$ ,  $k - l$ )



# Expected Running Time Analysis

① Define a set of "good" pivots.

↳ Reduce the problem size significantly

② Show "good" pivots occur regularly  
in expectation

③ By linearity of expectation

Expected running time bounded

in terms of expected number  
of pivots.