



# Cornell Bowers CIS

## College of Computing and Information Science

# Convolutional Neural Networks

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CS4782: Intro to Deep Learning

# Thanks to:

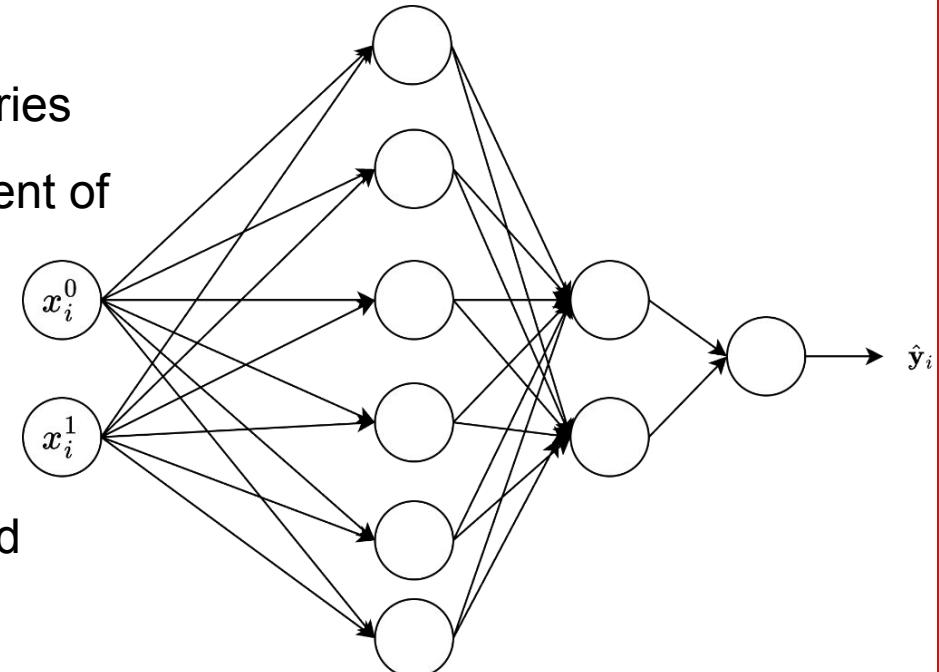
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Stephanie Ginting  
Alexander Scotte

# Logistics

- **HW1** has been released
  - Due next Thursday (February 13)
  - Homework clarifications are listed as pinned posts under HW1 on Ed
- CS 5782 - **Quiz 1** will be released today
  - 20 min duration - make sure to start well before it's due
  - Submission Due: Thursday 11:59 PM
- **Coding Assignment 1** to be released this week.
- Office hours are listed on the course website
- Post questions on Ed

# So far...

- MLPs learn complex decision boundaries
- Optimization algorithms use the gradient of the loss to find network parameters
- Different training strategies like regularization, early stopping and normalization can improve training and generalization



# Image Classification



input image

classification →

“dog”

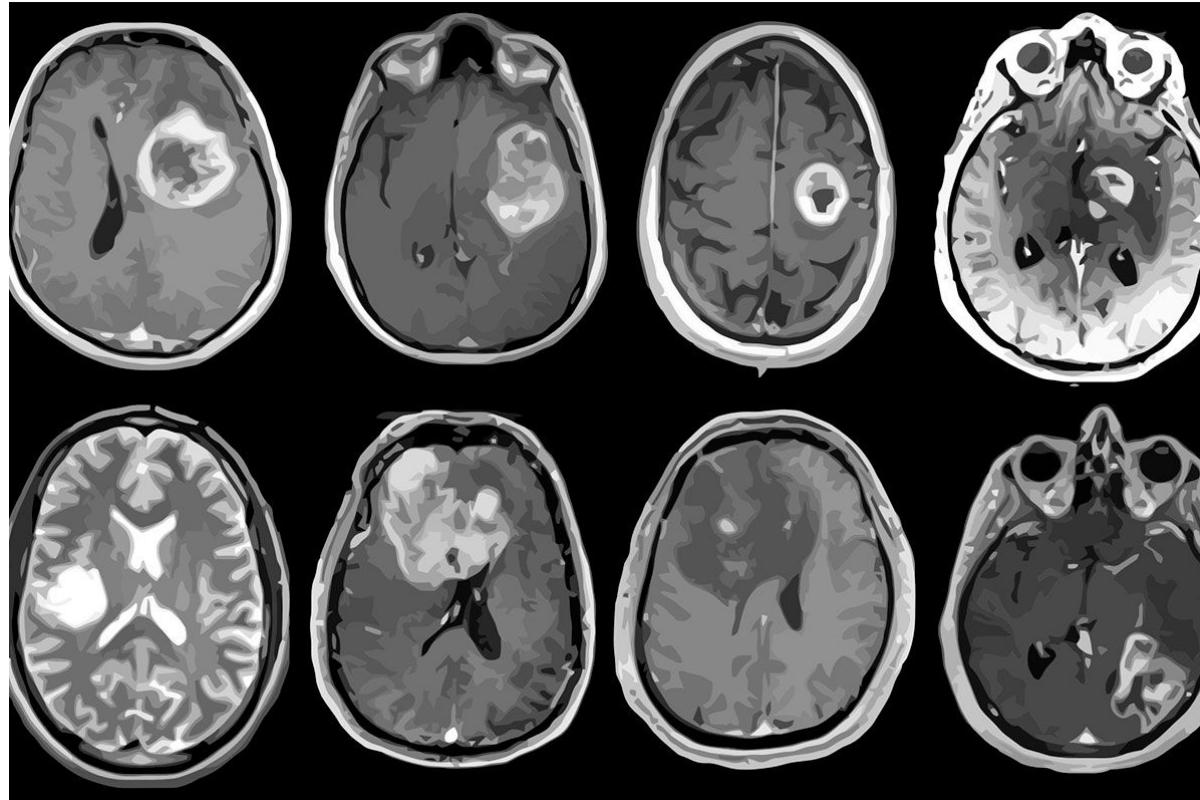


input image

classification →

“cat”

# Applications in Medicine



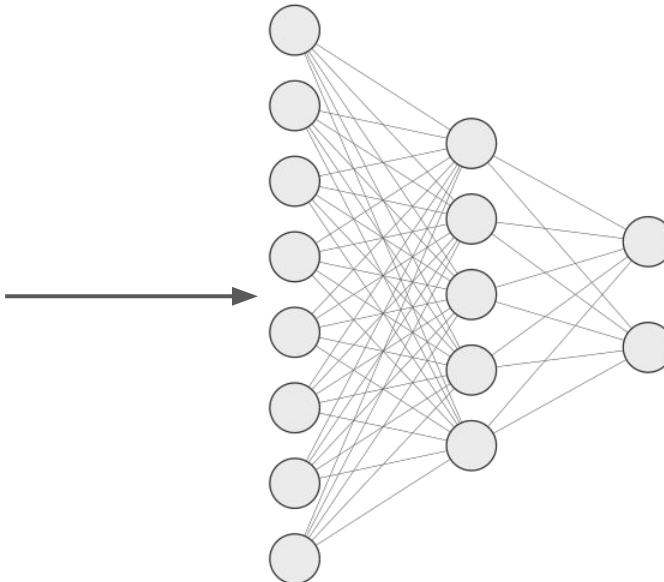
# Applications in Autonomous Driving



# Why not use a Multi-Layer Perceptron?

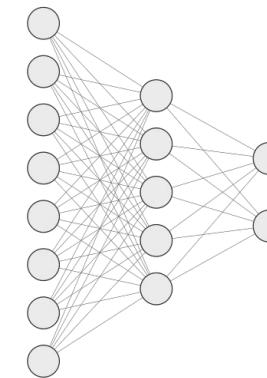
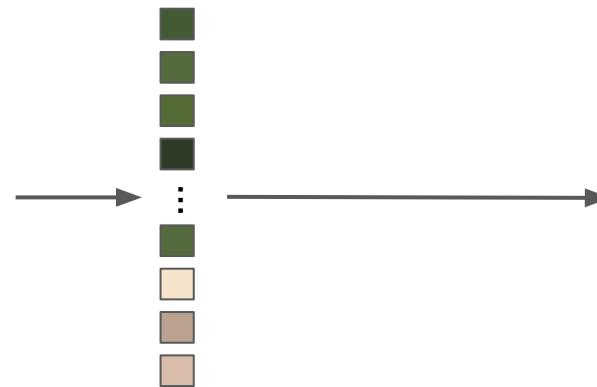
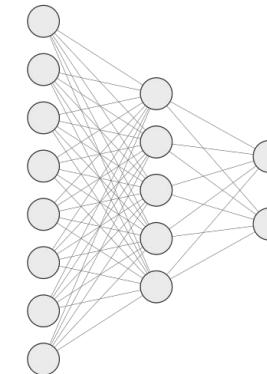
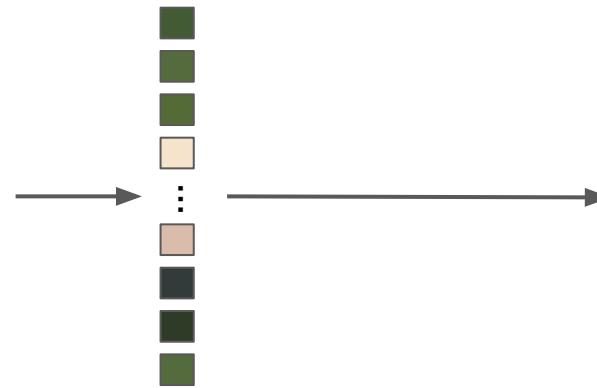


flatten

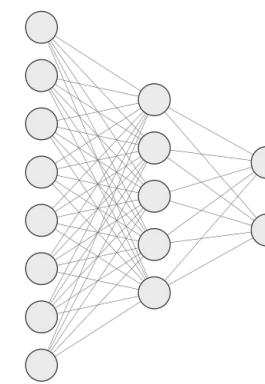
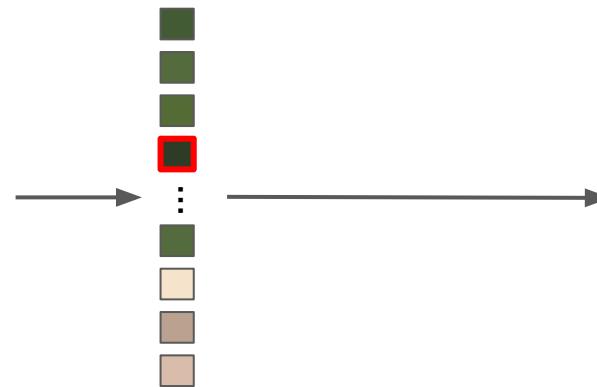
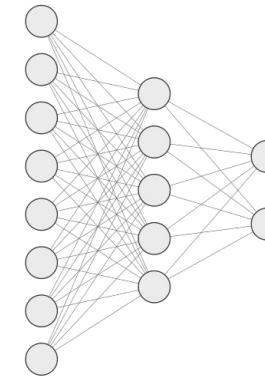
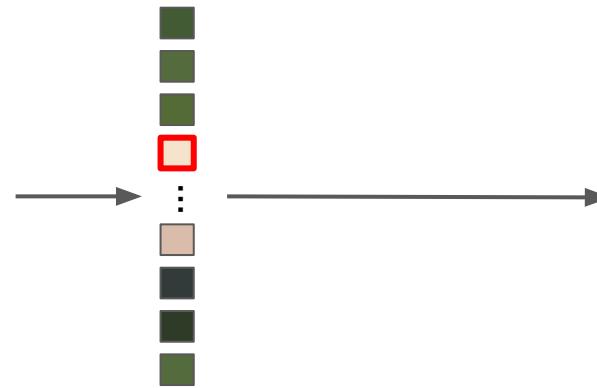


Which pixels were next to each other?

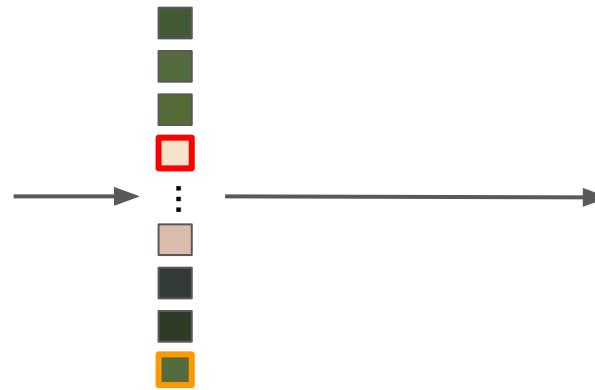
# Why not use a Multi-Layer Perceptron?



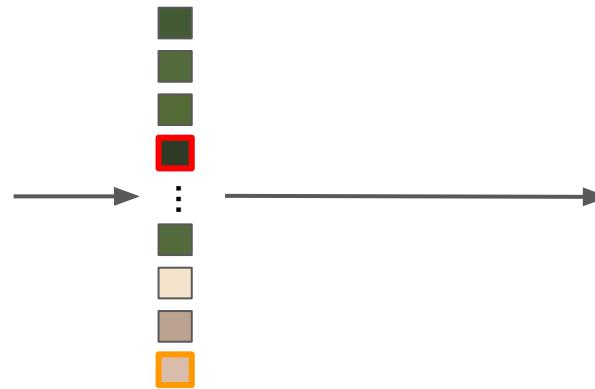
# Why not use a Multi-Layer Perceptron?



# Why not use a Multi-Layer Perceptron?



many pixels = many parameters



# Convolutional Filters

1	1	1	0	0
0	0	1	1	0
0	1	0	1	1
1	1	0	0	0
1	0	0	1	1

“image”

\*

0	1	0
1	0	1
0	1	0

convolutional filter

# Convolutional Filters

1 x0	1 x1	1 x0	0	0
0 x1	0 x0	1 x1	1	0
0 x0	1 x1	0 x0	1	1
1	1	0	0	0
1	0	0	1	1

“image”

\*

0	1	0
1	0	1
0	1	0

convolutional filter

=

3		

# Convolutional Filters

1	1 x0	1 x1	0 x0	0
0	0 x1	1 x0	1 x1	0
0	1 x0	0 x1	1 x0	1
1	1	0	0	0
1	0	0	1	1

“image”

$*$       convolutional filter      =

0	1	0
1	0	1
0	1	0

3	2	

# Convolutional Filters

1	1	1 x0	0 x1	0 x0
0	0	1 x1	1 x0	0 x1
0	1	0 x0	1 x1	1 x0
1	1	0	0	0
1	0	0	1	1

“image”

$*$       convolutional filter      =

0	1	0
1	0	1
0	1	0

3	2	2

# Convolutional Filters

1	1	1	0	0
0 x0	0 x1	1 x0	1	0
0 x1	1 x0	0 x1	1	1
1 x0	1 x1	0 x0	0	0
1	0	0	1	1

“image”

\*

0	1	0
1	0	1
0	1	0

convolutional filter

=

3	2	2
1		

# Convolutional Filters

1	1	1	0	0
0	0 $\times 0$	1 $\times 1$	1 $\times 0$	0
0	1 $\times 1$	0 $\times 0$	1 $\times 1$	1
1	1 $\times 0$	0 $\times 1$	0 $\times 0$	0
1	0	0	1	1

“image”

$*$

0	1	0
1	0	1
0	1	0

convolutional filter

=

3	2	2
1	3	

# Convolutional Filters

1	1	1	0	0
0	0	1 x0	1 x1	0 x0
0	1	0 x1	1 x0	1 x1
1	1	0 x0	0 x1	0 x0
1	0	0	1	1

“image”

$*$

0	1	0
1	0	1
0	1	0

convolutional filter

=

3	2	2
1	3	2

# Convolutional Filters

1	1	1	0	0
0	0	1	1	0
0 x0	1 x1	0 x0	1	1
1 x1	1 x0	0 x1	0	0
1 x0	0 x1	0 x0	1	1

“image”

\*

0	1	0
1	0	1
0	1	0

convolutional filter

=

3	2	2
1	3	2
2		

# Convolutional Filters

1	1	1	0	0
0	0	1	1	0
0	$1_{x0}$	$0_{x1}$	$1_{x0}$	1
1	$1_{x1}$	$0_{x0}$	$0_{x1}$	0
1	$0_{x0}$	$0_{x1}$	$1_{x0}$	1

“image”

$*$

0	1	0
1	0	1
0	1	0

convolutional filter

=

3	2	2
1	3	2
2	1	

# Convolutional Filters

1	1	1	0	0
0	0	1	1	0
0	1	$0_{x0}$	$1_{x1}$	$1_{x0}$
1	1	$0_{x1}$	$0_{x0}$	$0_{x1}$
1	0	$0_{x0}$	$1_{x1}$	$1_{x0}$

“image”

$*$

0	1	0
1	0	1
0	1	0

convolutional filter

=

3	2	2
1	3	2
2	1	2

# Convolutional Filters

1	1	1	0	0
0	0	1	1	0
0	1	0	1	1
1	1	0	0	0
1	0	0	1	1

“image”

$$\begin{matrix} & \ast & \\ \begin{matrix} 0 & 1 & 0 \\ 1 & 0 & 1 \\ 0 & 1 & 0 \end{matrix} & = & \begin{matrix} 3 & 2 & 2 \\ 1 & 3 & 2 \\ 2 & 1 & 2 \end{matrix} \end{matrix}$$

convolutional filter

can learn this!

# Convolutional Filters

- ❖ Aggregates information from local window around pixel
- ❖ Translational invariance
- ❖ Reduce number of parameters needed to be learned

The diagram illustrates the convolution operation between an "image" and a "convolutional filter".

The "image" is a 5x5 matrix:

1	1	1	0	0
0	0	1	1	0
0	1	0	1	1
1	1	0	0	0
1	0	0	1	1

The "convolutional filter" is a 3x3 matrix:

0	1	0
1	0	1
0	1	0

The result of the convolution is a 3x3 output matrix:

3	2	2
1	3	2
2	1	2

The operation is represented by the formula:  $\text{image} * \text{convolutional filter} = \text{output}$ .

# Discuss with your Neighbor!

Match the following convolutional filters with the output they produce.

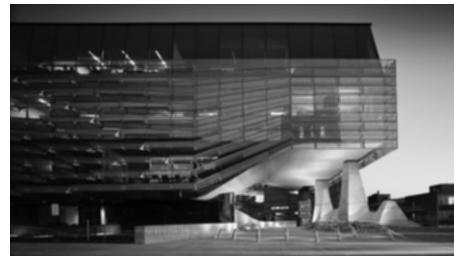
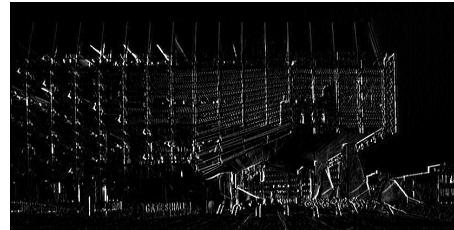


input image

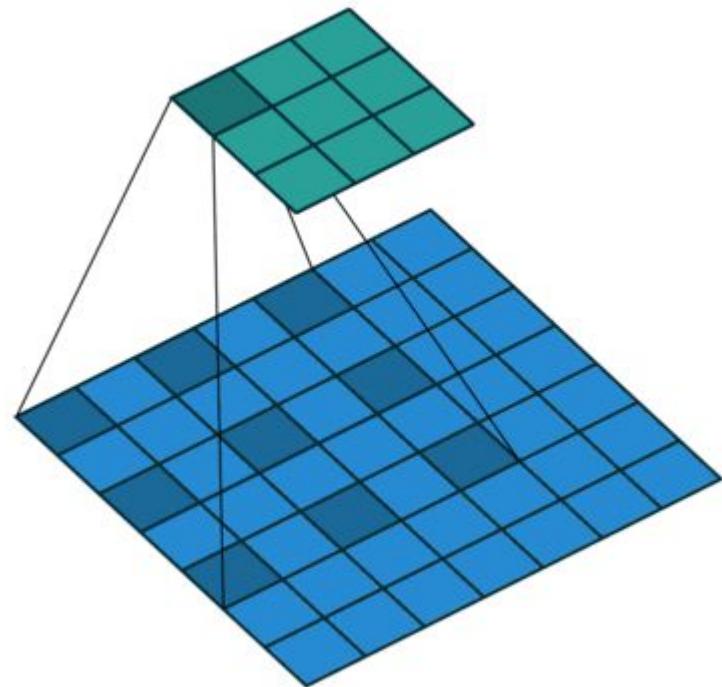
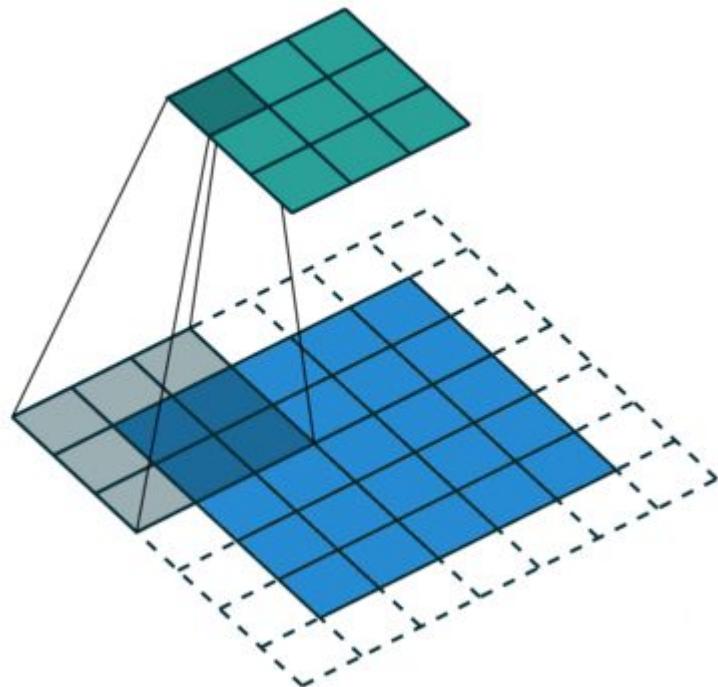
-1	-1	-1
0	0	0
1	1	1

-1	0	1
-1	0	1
-1	0	1

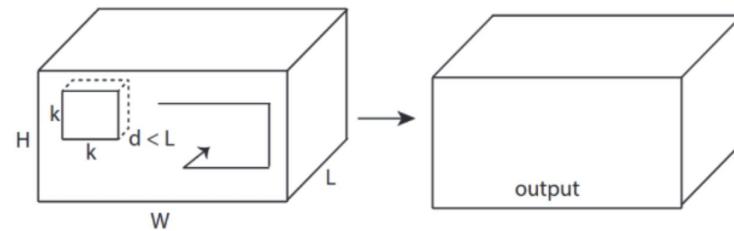
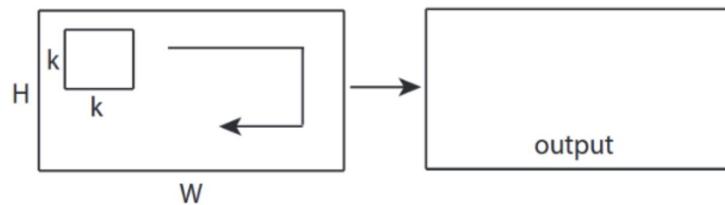
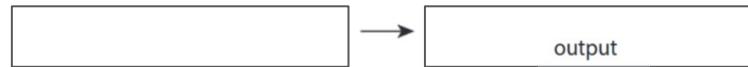
1/9	1/9	1/9
1/9	1/9	1/9
1/9	1/9	1/9



# Dilated Convolutions

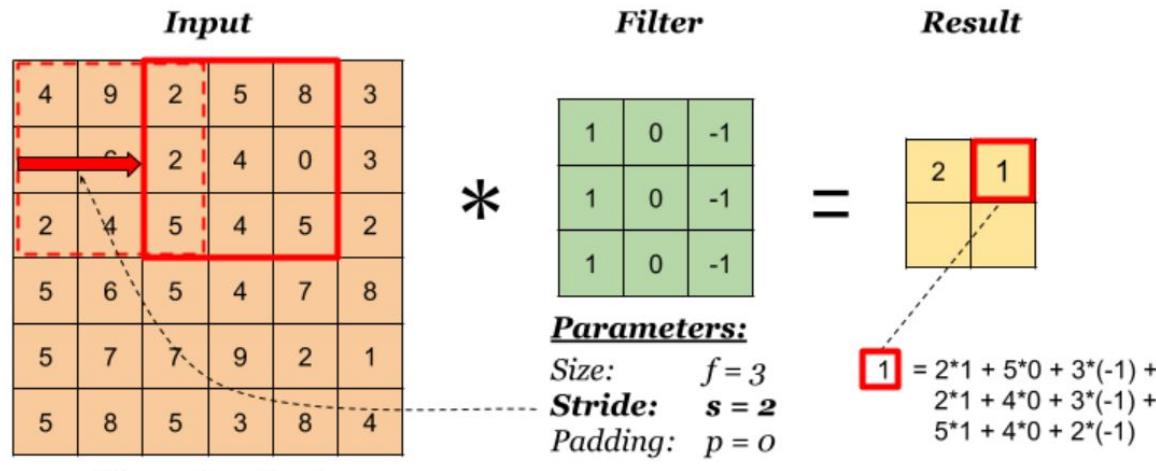


# 1D and 3D Convolutions



# CNNs - Stride

- ❖ Stride controls how many units the filter / the receptive field shift at a time
- ❖ The size of the output image shrinks more as the stride becomes larger
- ❖ The receptive fields overlap less as the stride becomes larger

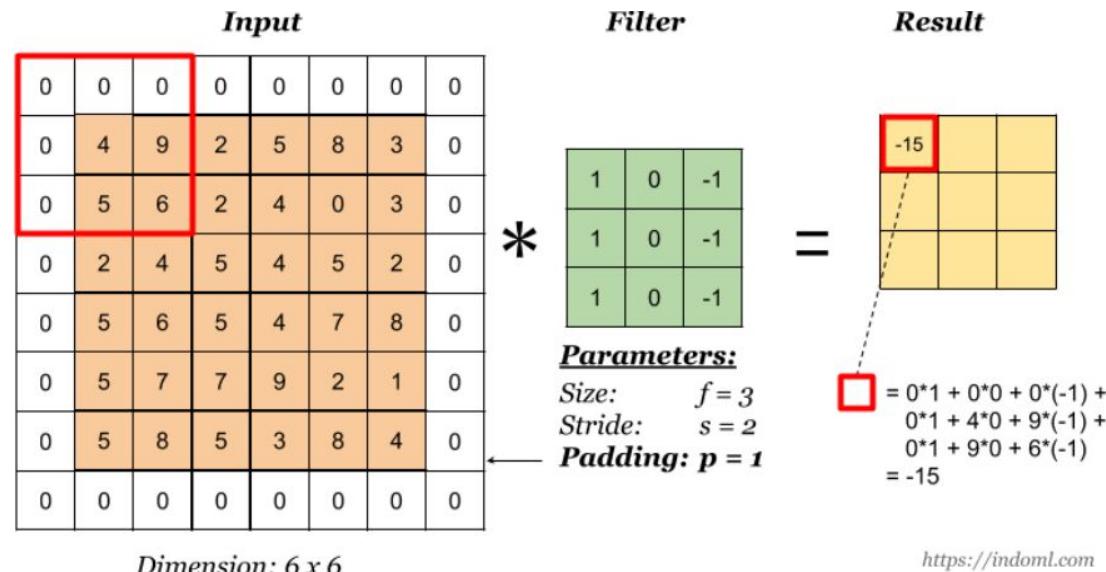


<https://indoml.com>

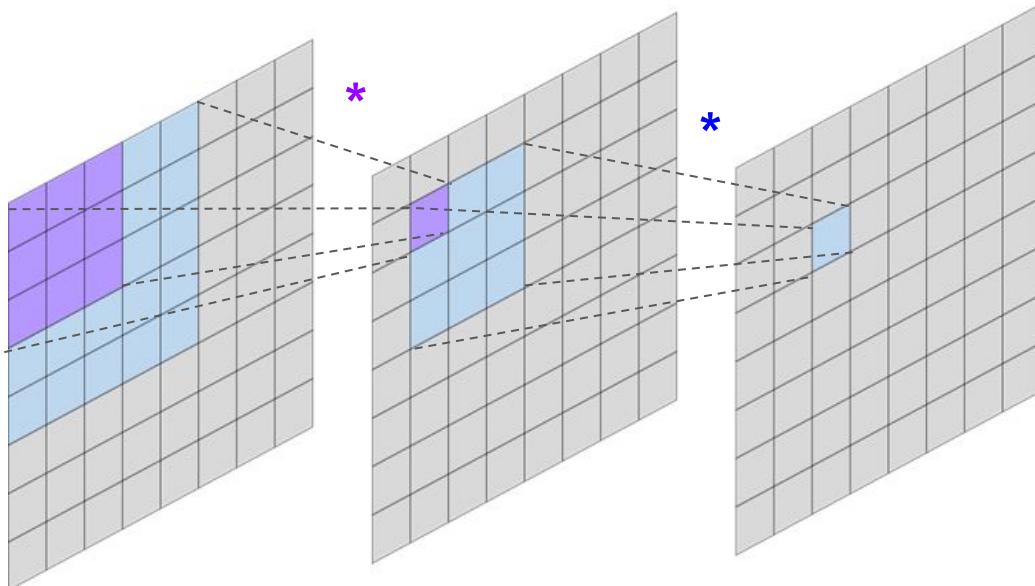
Filter with stride (s) = 2

# CNNs - Padding

- ❖ Padding adds layers of zeros (or other number) around image border
- ❖ Prevents image shrinking and loss of information from image boundary



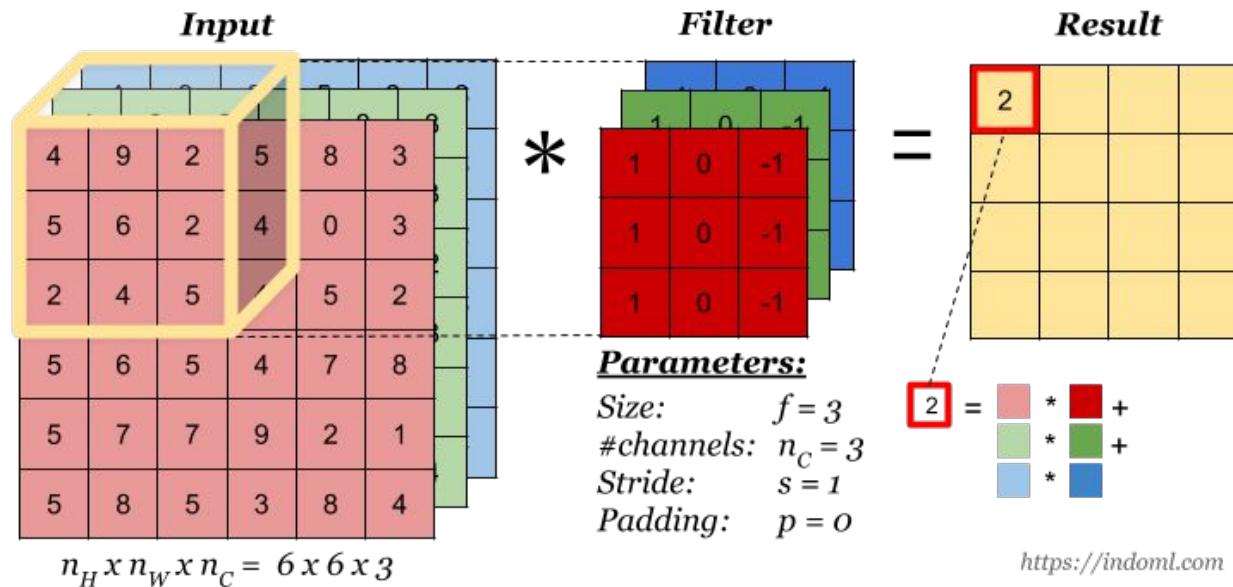
# Stacking Convolutions



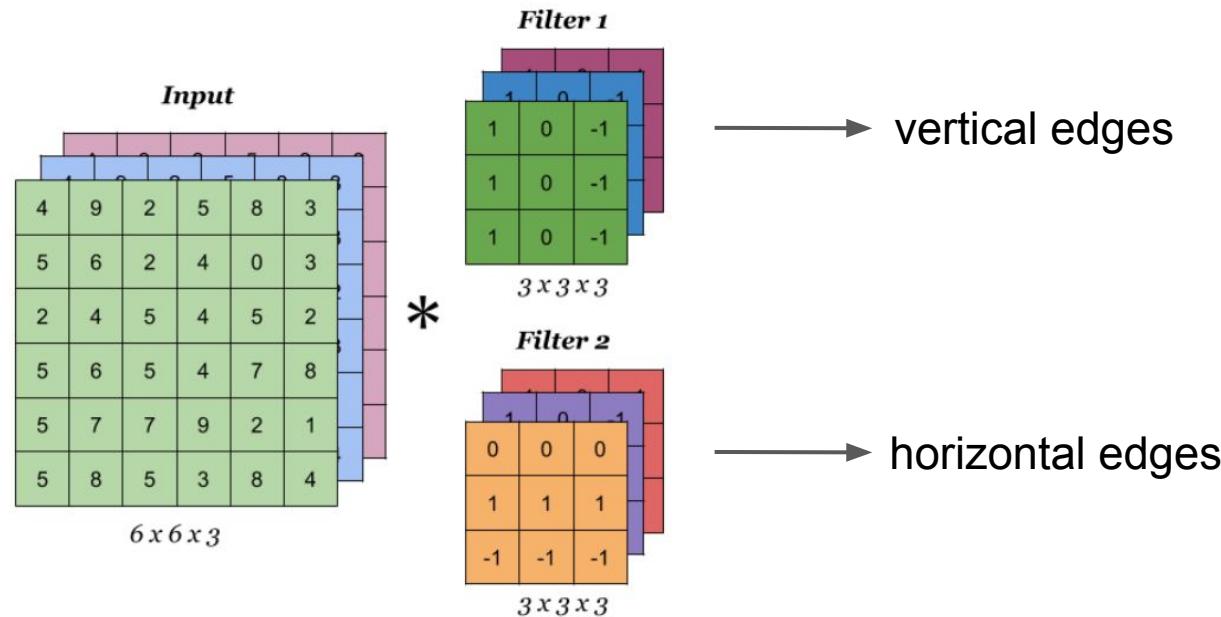
- ❖ Size of receptive field increases with each layer
- ❖ Capture more complex features

# Convolution Over Volumes

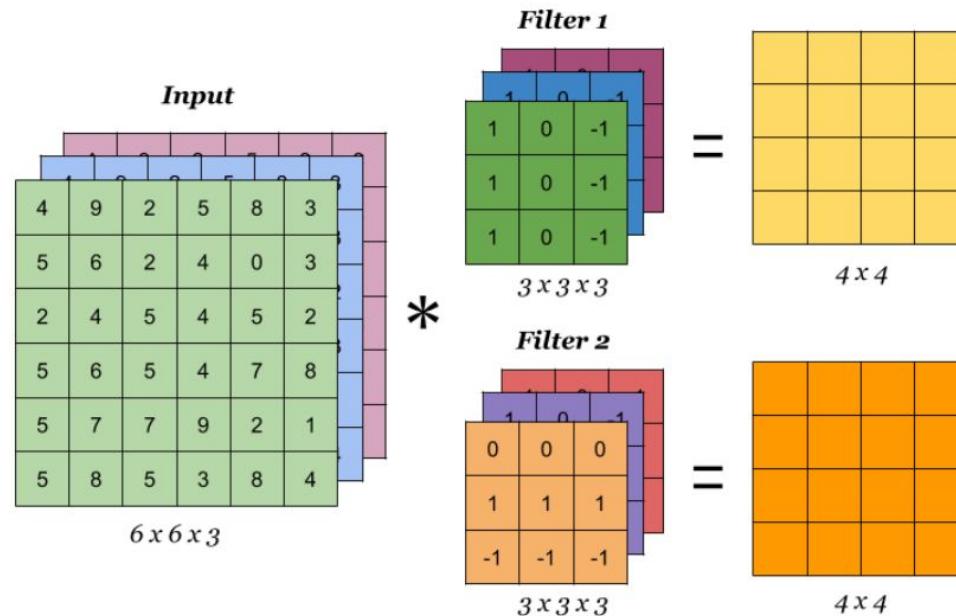
What if our input image has more than one channel?



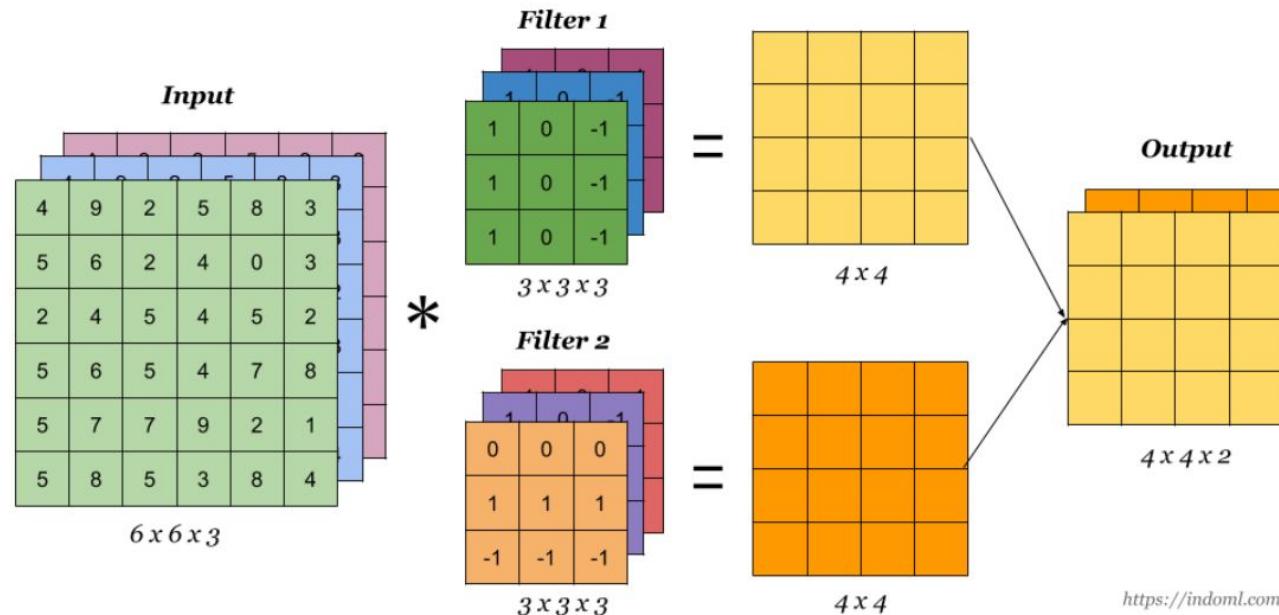
# Convolution Operation with Multiple Filters



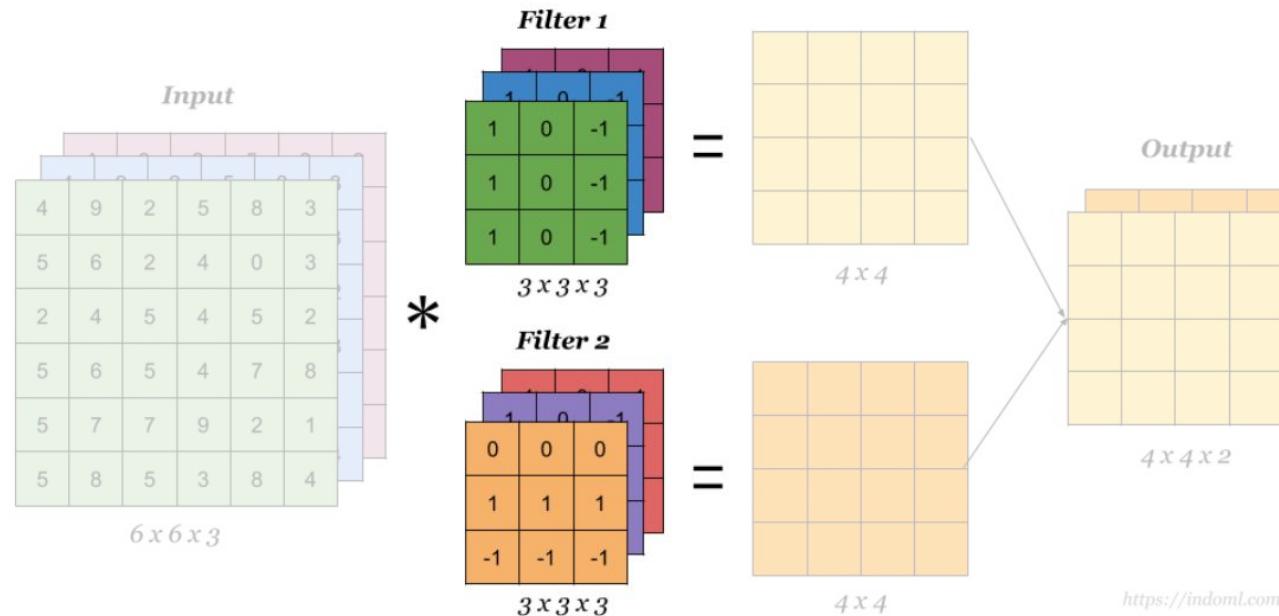
# Convolution Operation with Multiple Filters



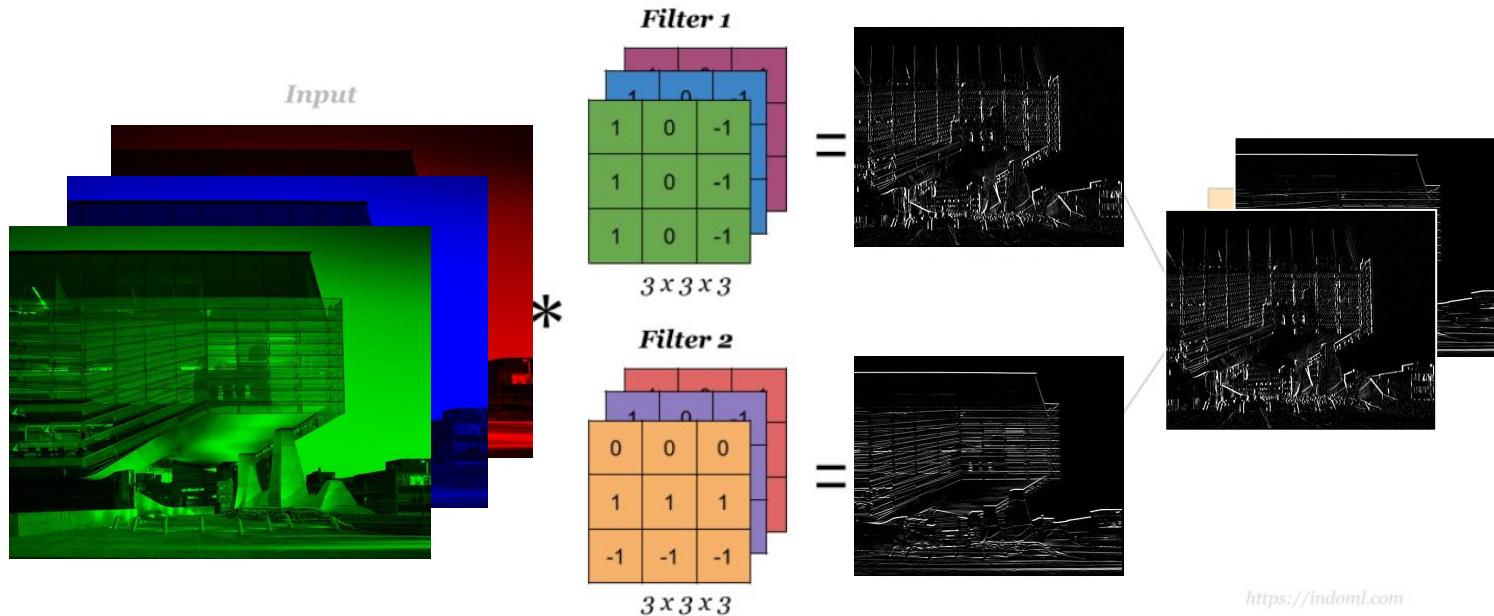
# Convolution Operation with Multiple Filters



# Convolution Operation with Multiple Filters

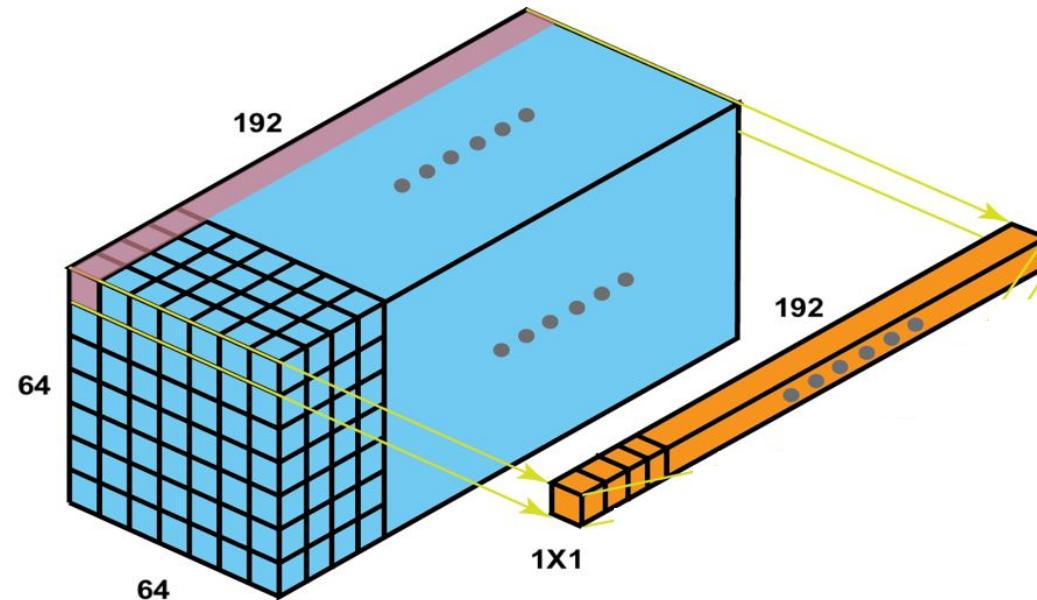


# Convolution Operation with Multiple Filters



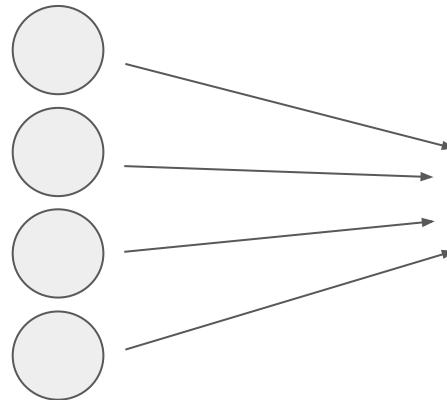
## Discuss: 1x1 Convolutions

What is the result of convolving a  $64 \times 64 \times 192$  dimensional cube with a  $1 \times 1$  filter?

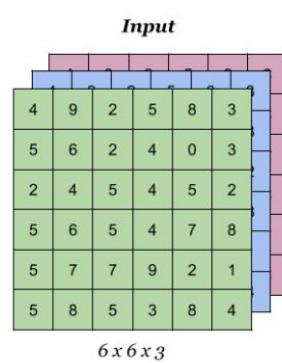


# Convolution Layer

MLP Layer

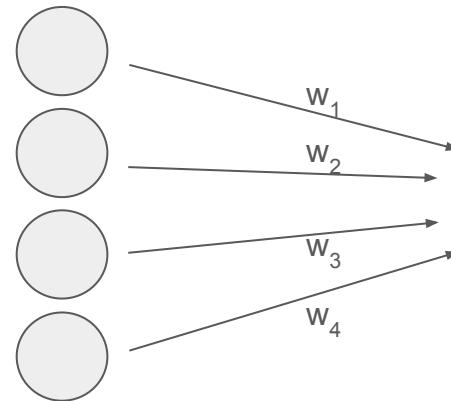


Convolution  
Layer

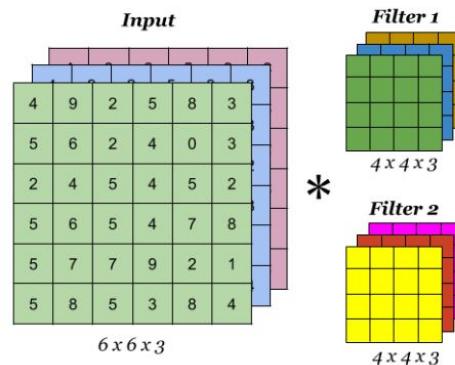


# Convolution Layer

MLP Layer

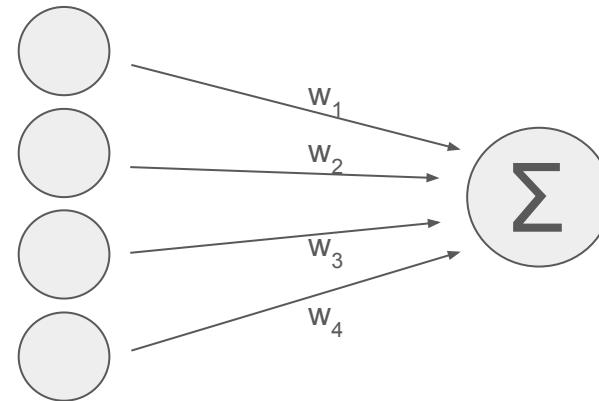


Convolution  
Layer

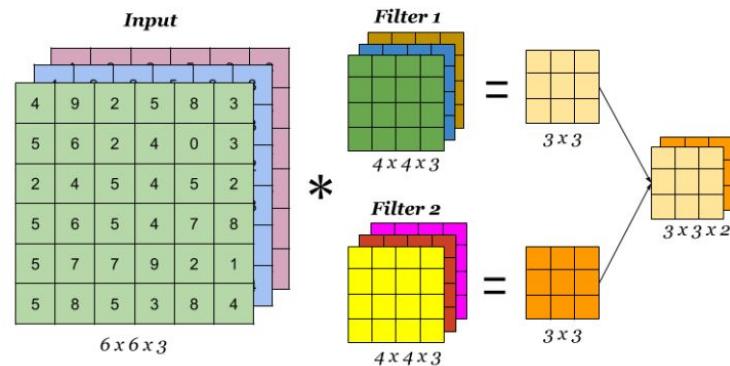


# Convolution Layer

MLP Layer

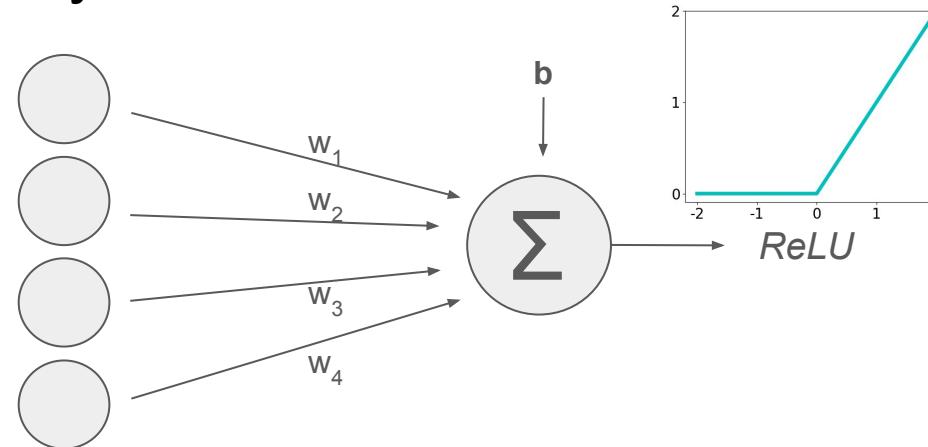


Convolution  
Layer

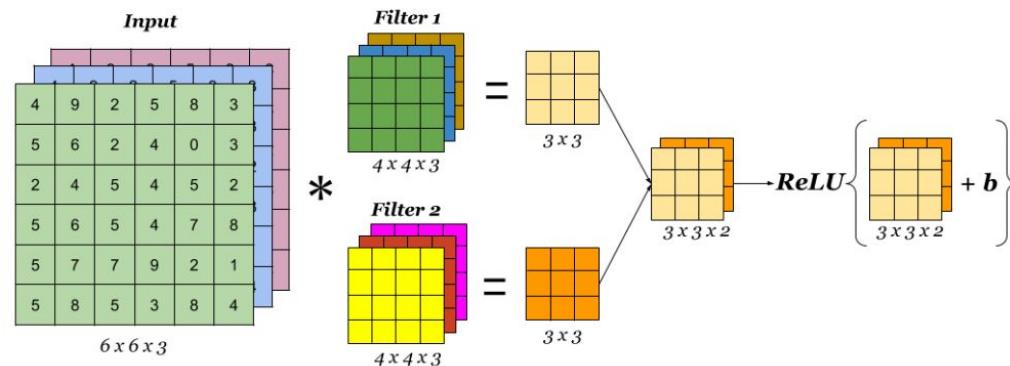


# Convolution Layer

MLP Layer

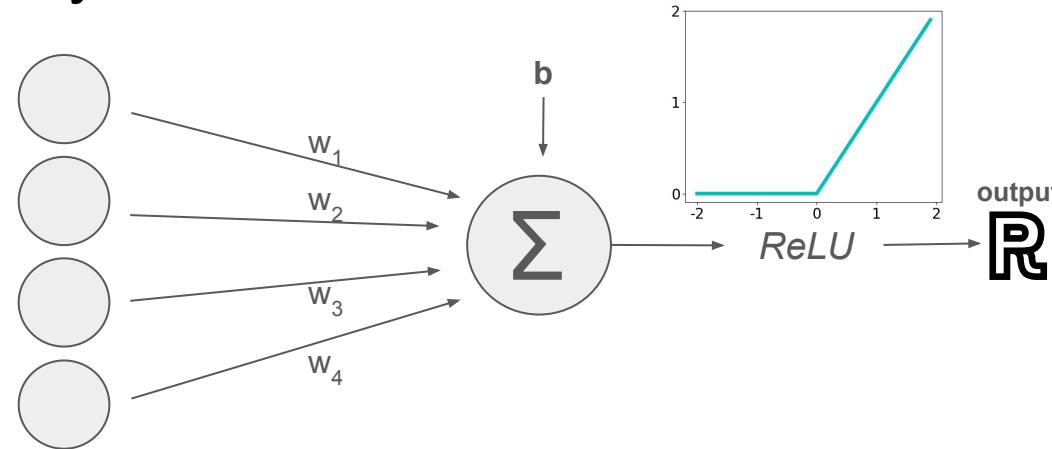


Convolution  
Layer

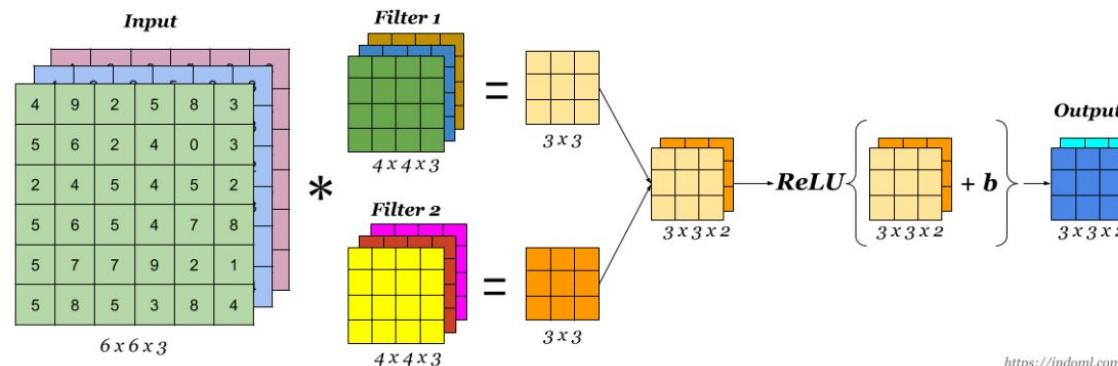


# Convolution Layer

MLP Layer



Convolution  
Layer

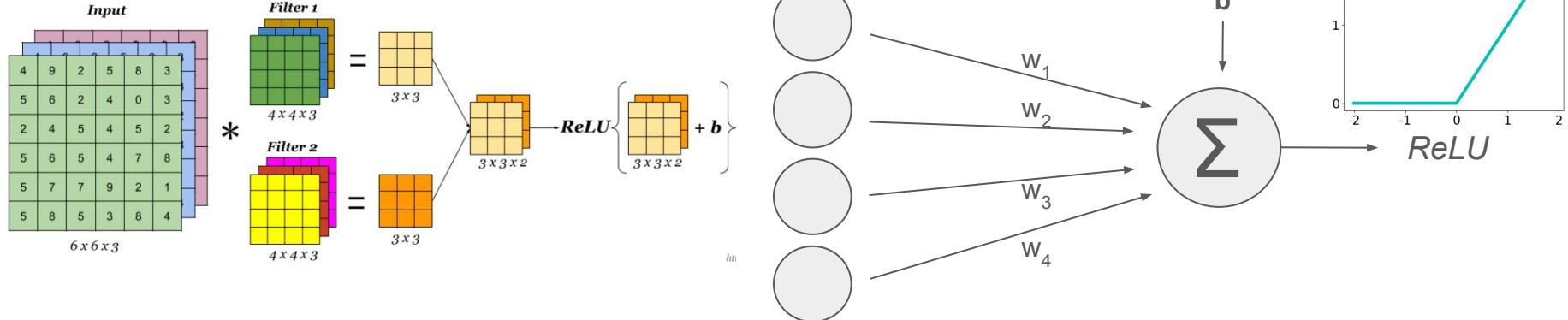


# CNN/MLP Equivalence

Differences in a convolution layer:

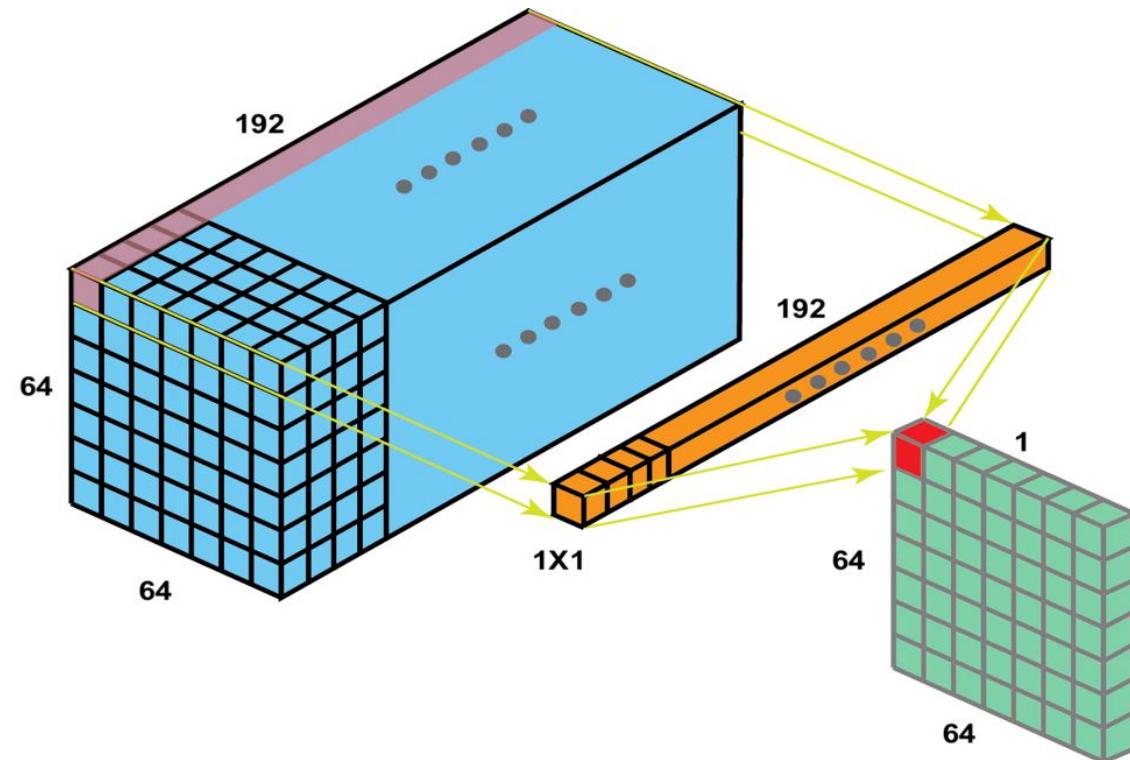
- neurons are connected to a local region
- Weights are shared across multiple parameters

CONV layers can be converted to Fully connected layers and vice versa!

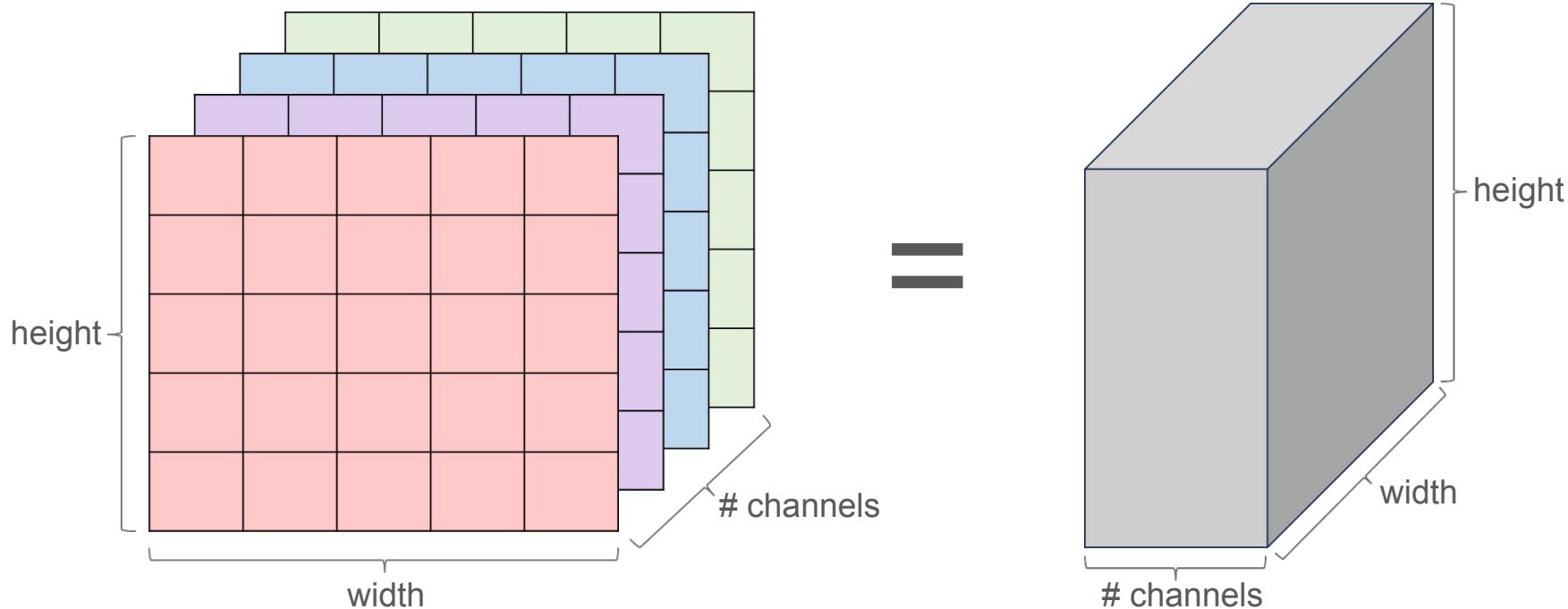


# Discuss: Trade-offs between CNNs and MLPs

How would this image change if you used an MLP instead of a 1x1 convolution filter to produce a (64x64x1) feature map? Hint: think about parameter counts and feature interactions.



# CNN Layer Output Visualization



# Convolutional Neural Networks (CNNs)

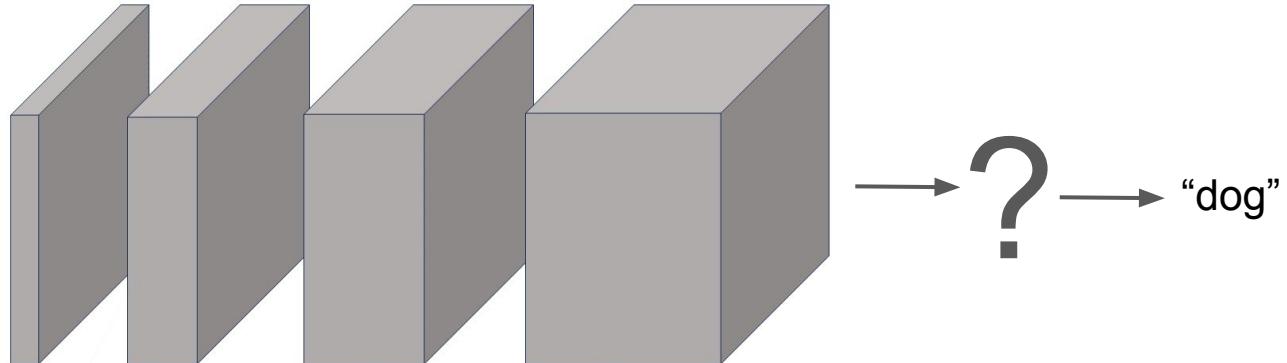
## ✓ Convolutions

Maintain spatial relation between pixels

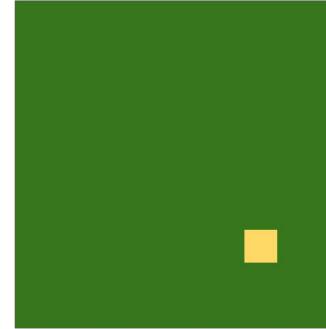
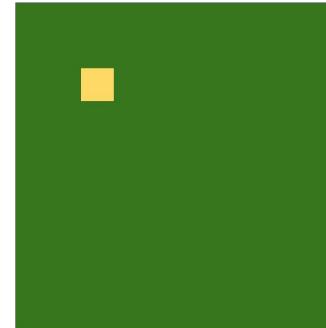
Reduce number of parameters through weight sharing



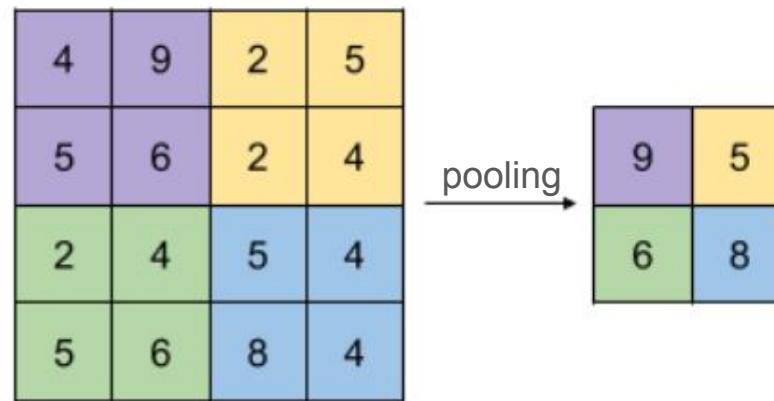
input image



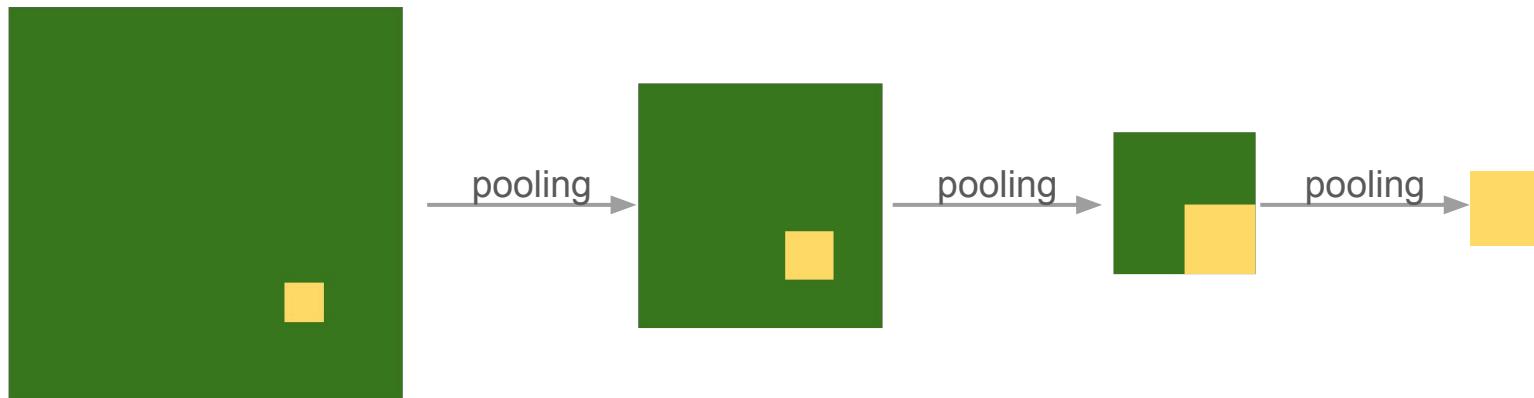
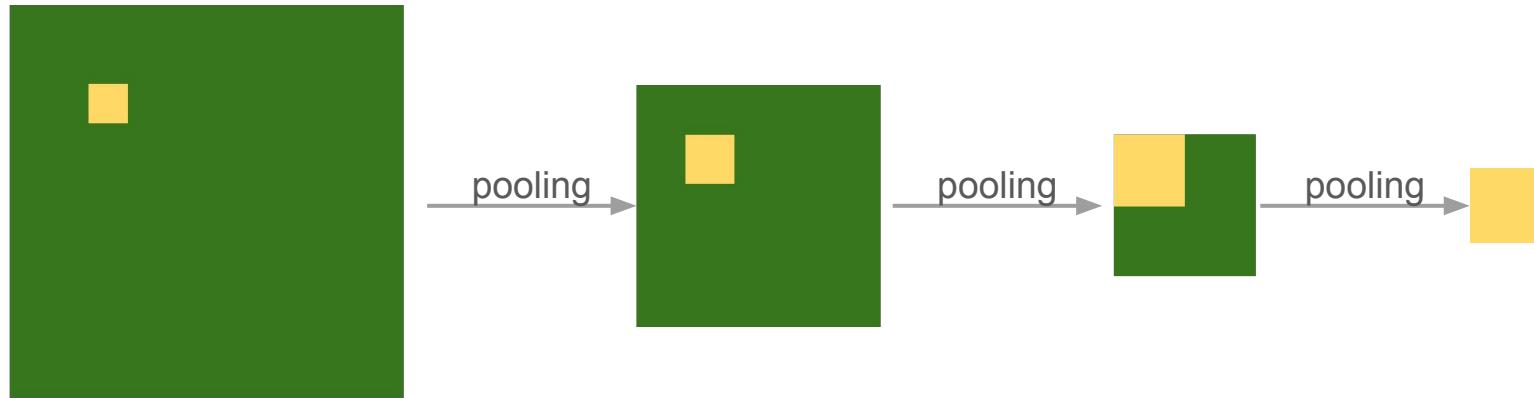
# Ensuring translational invariance



# Max Pooling

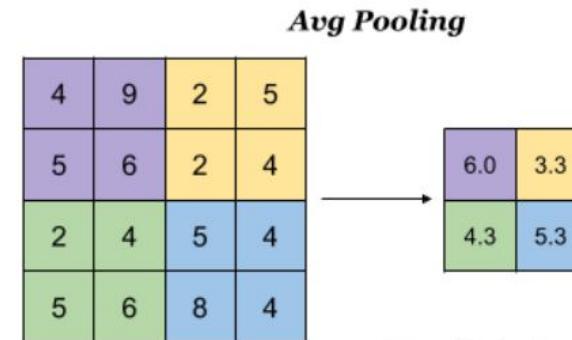
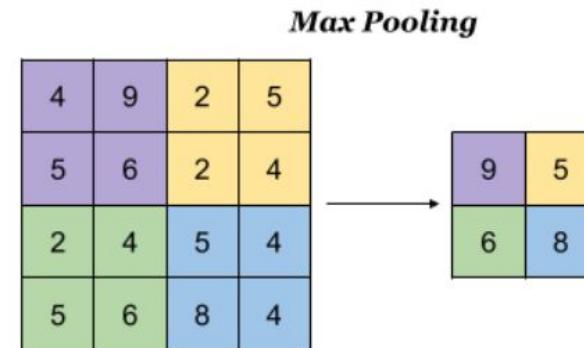


# CNNs - Pooling



# CNNs - Pooling

- ❖ Down sample feature maps that highlight the most present feature in the patch
- ❖ Improve efficiency by reducing computations with downsampling
- ❖ Increase receptive field size



# Convolutional Neural Networks (CNNs)

## Convolutions

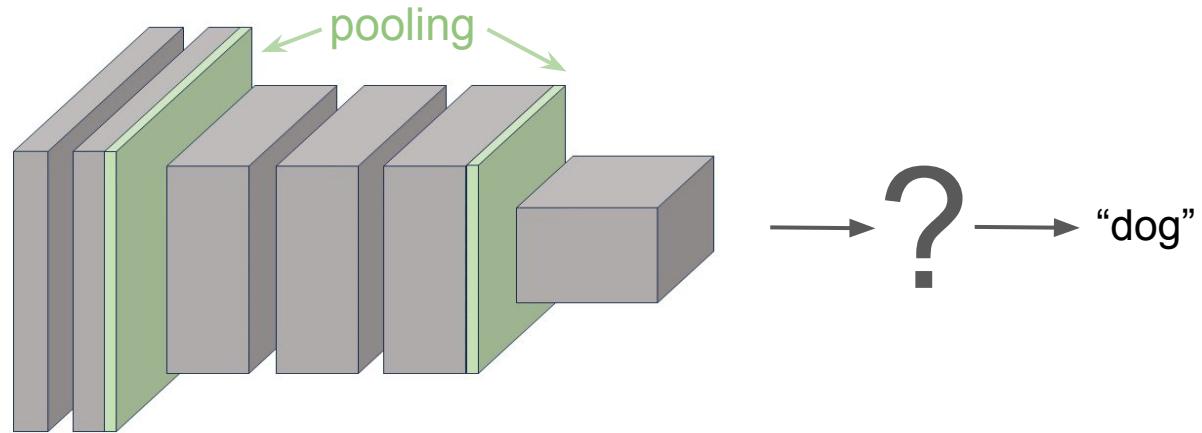
Maintain spatial relation between pixels

Reduce number of parameters through weight sharing

## Pooling

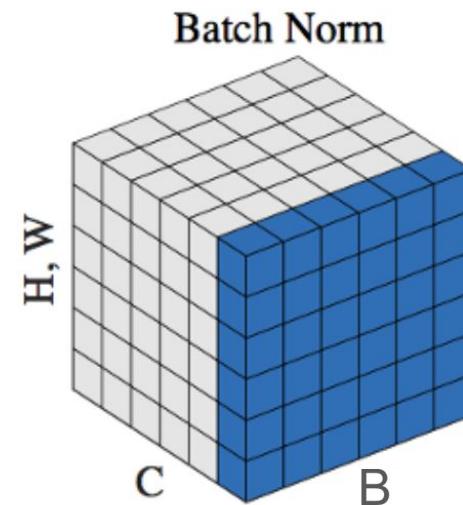
Captures key information from across different areas of the feature maps

Together with convolutions allows for translational invariance



# Normalization

- ❖ Normalize channels to mean 0 and variance 1 across each training batch
- ❖ Increases speed of training by enabling the use of larger learning rates
- ❖ Improves stability of training



# The Batch Normalization Algorithm

**Input:** Values of  $x$  over a mini-batch:  $\mathcal{B} = \{x_1 \dots m\}$ ;

Parameters to be learned:  $\gamma, \beta$

**Output:**  $\{y_i = \text{BN}_{\gamma, \beta}(x_i)\}$

$$\mu_{\mathcal{B}} \leftarrow \frac{1}{m} \sum_{i=1}^m x_i \quad // \text{mini-batch mean}$$

$$\sigma_{\mathcal{B}}^2 \leftarrow \frac{1}{m} \sum_{i=1}^m (x_i - \mu_{\mathcal{B}})^2 \quad // \text{mini-batch variance}$$

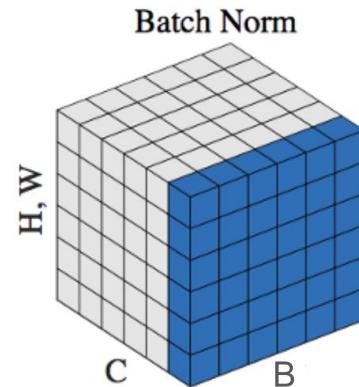
$$\hat{x}_i \leftarrow \frac{x_i - \mu_{\mathcal{B}}}{\sqrt{\sigma_{\mathcal{B}}^2 + \epsilon}} \quad // \text{normalize}$$

$$y_i \leftarrow \gamma \hat{x}_i + \beta \equiv \text{BN}_{\gamma, \beta}(x_i) \quad // \text{scale and shift}$$

**Algorithm 1:** Batch Normalizing Transform, applied to activation  $x$  over a mini-batch.

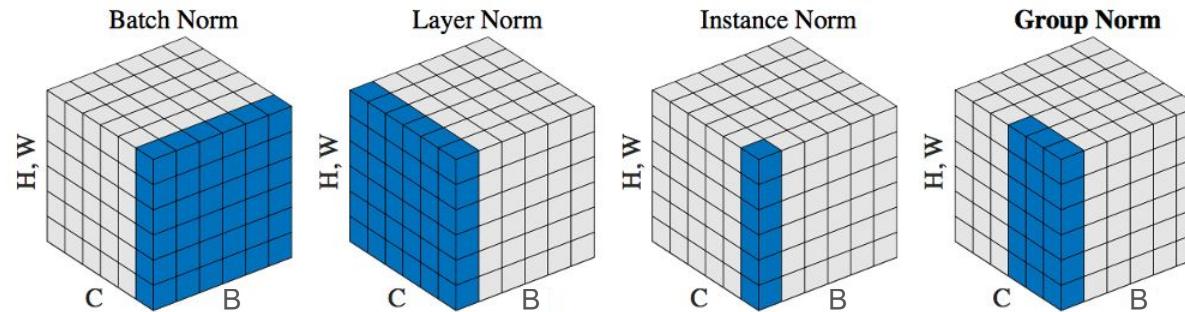
# Discuss!

What is the dimension of the mean when you compute the batch norm of a volume of dimension  $(b \times c \times h \times w)$ ?



# Normalization Layers

- Normalization layers improve training stability
- Can train with larger learning rates
  - Faster training
- A large learning rate acts as an implicit regularizer
  - Better generalization
- Normalization can also be applied across different dimensions for different use cases



# Convolutional Neural Networks (CNNs)

 Convolutions

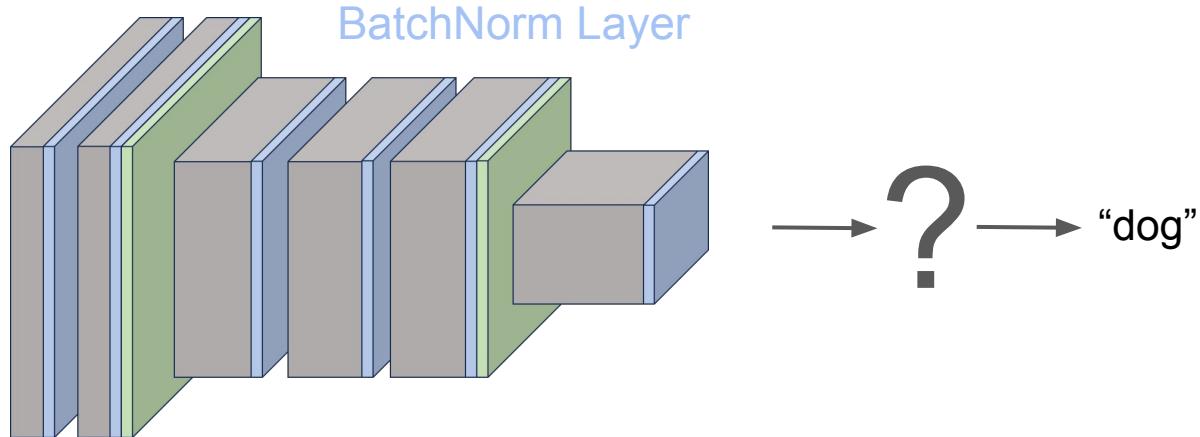
Maintain spatial relation between pixels  
Reduce number of parameters through weight sharing

 Pooling

Captures key information from across different areas of the feature maps  
Together with convolutions allows for translational invariance

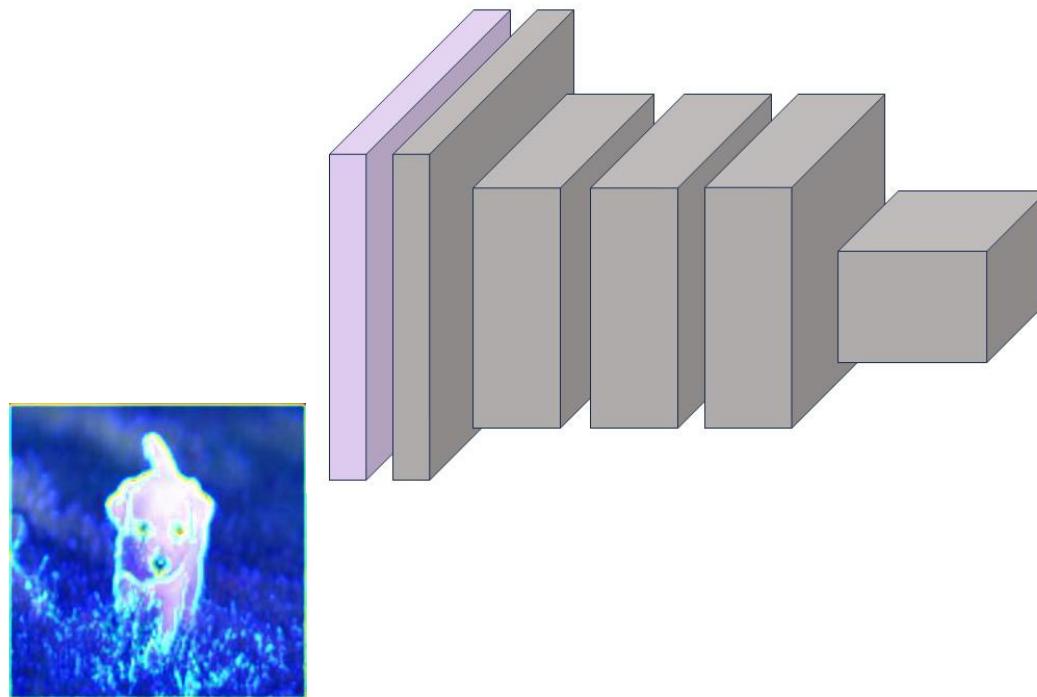
 BatchNorm

Increases speed and stability of training

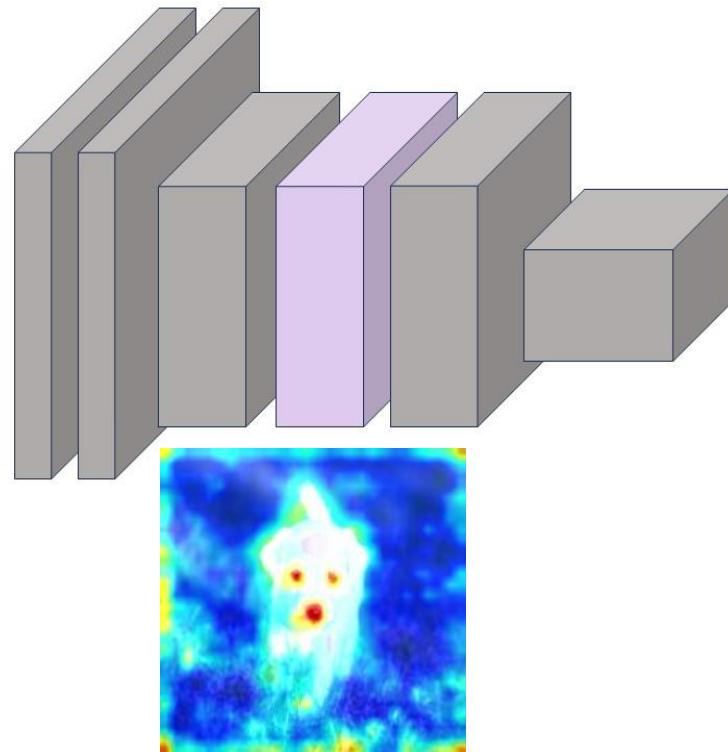


input image

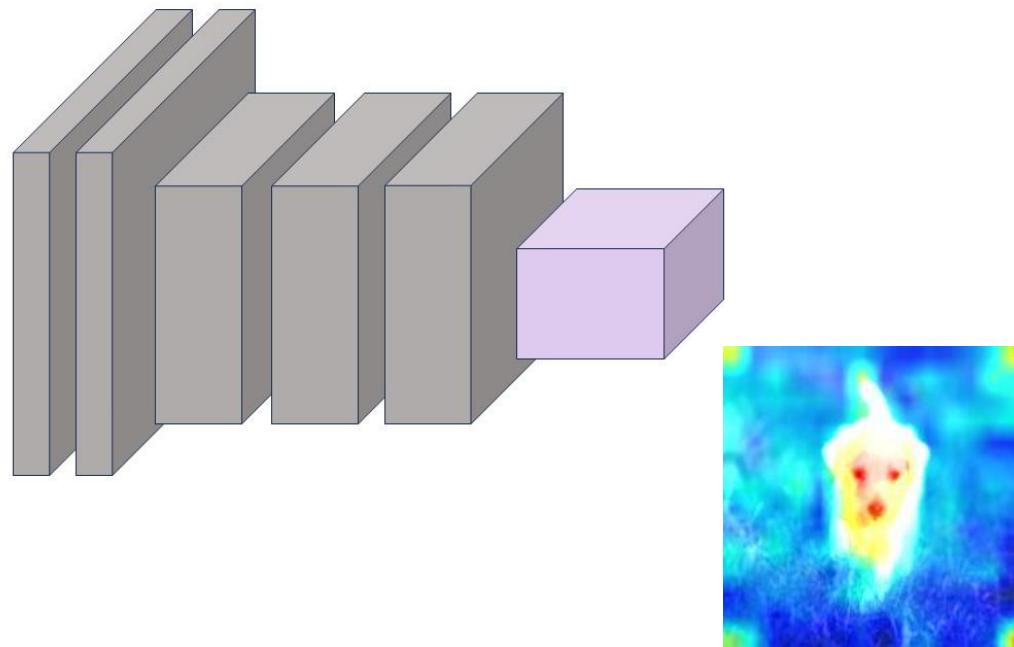
# Convolutional Neural Networks (CNNs)



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 Convolutions

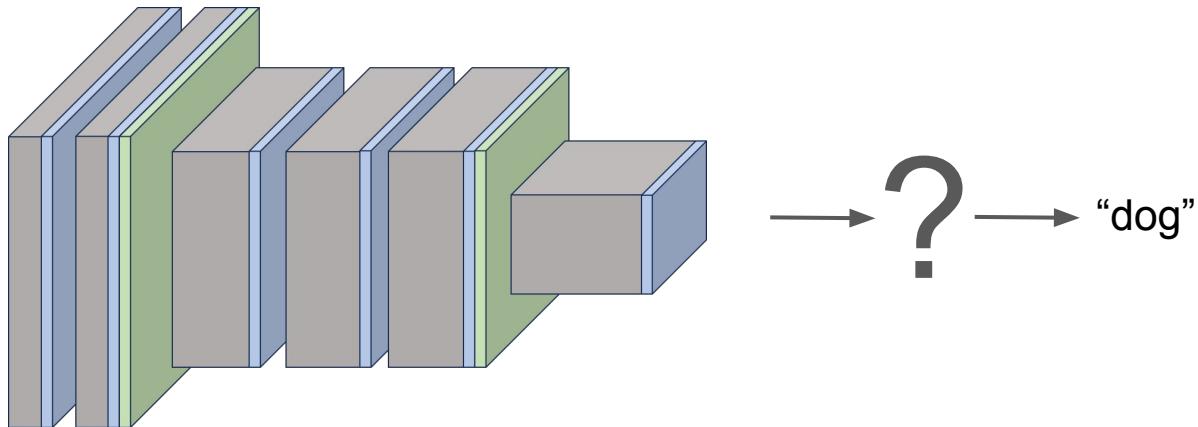
Maintain spatial relation between pixels  
Reduce number of parameters through weight sharing

 Pooling

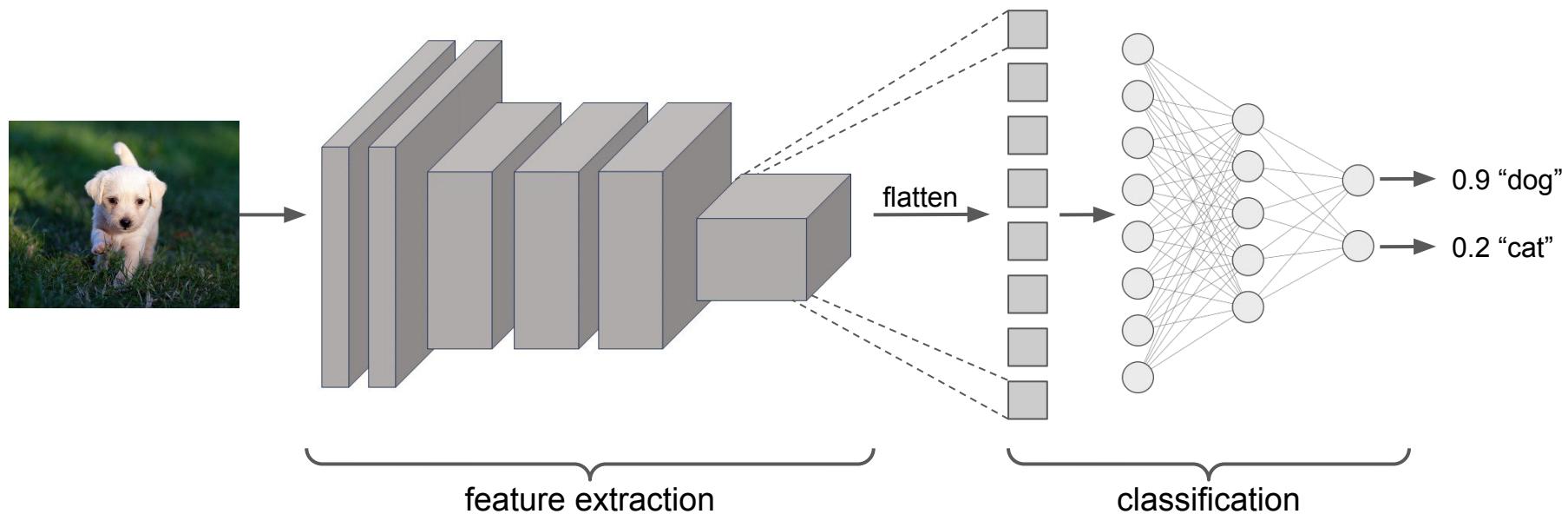
Captures key information from across different areas of the feature maps  
Together with convolutions allows for translational invariance

 BatchNorm

Increases speed and stability of training



# Image Classification



# Practical Guide

- Input image dimensions is divisible by 2
- Small conv filters (3x3 or 5x5)
- Zero padding is used to maintain spatial resolution
- Max pooling for downsampling
- Pooling layers have a receptive field of 2 and stride of 2

# Summary

- CNNs are primarily designed to process and analyze visual data, such as images and videos.
- Key components: convolution layers, pooling layers, activation functions, normalization layers
- Advantages:
  - Translational Invariance
  - Parameter sharing
  - Feature learning
- Can be trained with backprop
- Used for tasks such as segmentation, classification, object detection, etc.