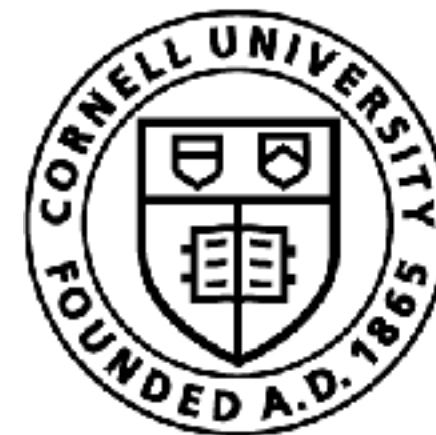


# Sim2Real for Reals

Sanjiban Choudhury



Cornell Bowers CIS  
**Computer Science**

# The story thus far ...



Decision-making



Perception



Models of humans

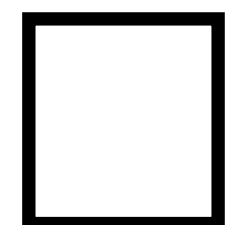


Practical Robot Learning



Offline RL

Today->



Sim-to-Real

# Today's class

- ❑ What are the challenges with sim2real?

Case study: OpenAI Dactyl Hand

- ❑ Teacher- $\rightarrow$ Student distillation

Case study: Visual Dexterity

- ❑ Imitation Learning with Privileged Information

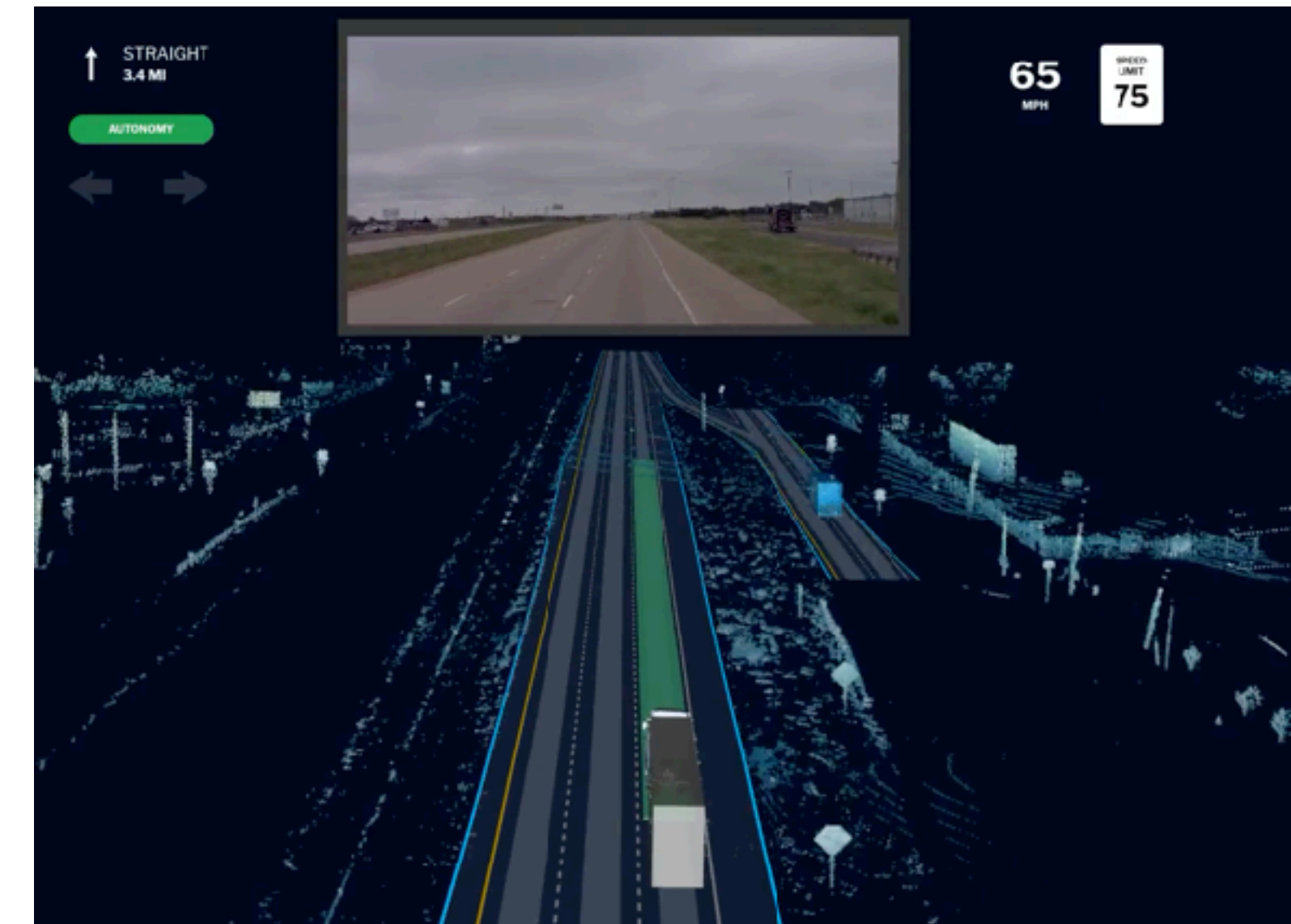
# We can't run online RL in the real world

Robots can't just try out random actions in the world!



# Simulations to the rescue!

We invested heavily in simulators for helicopters and self-driving to verify behaviors before deployment

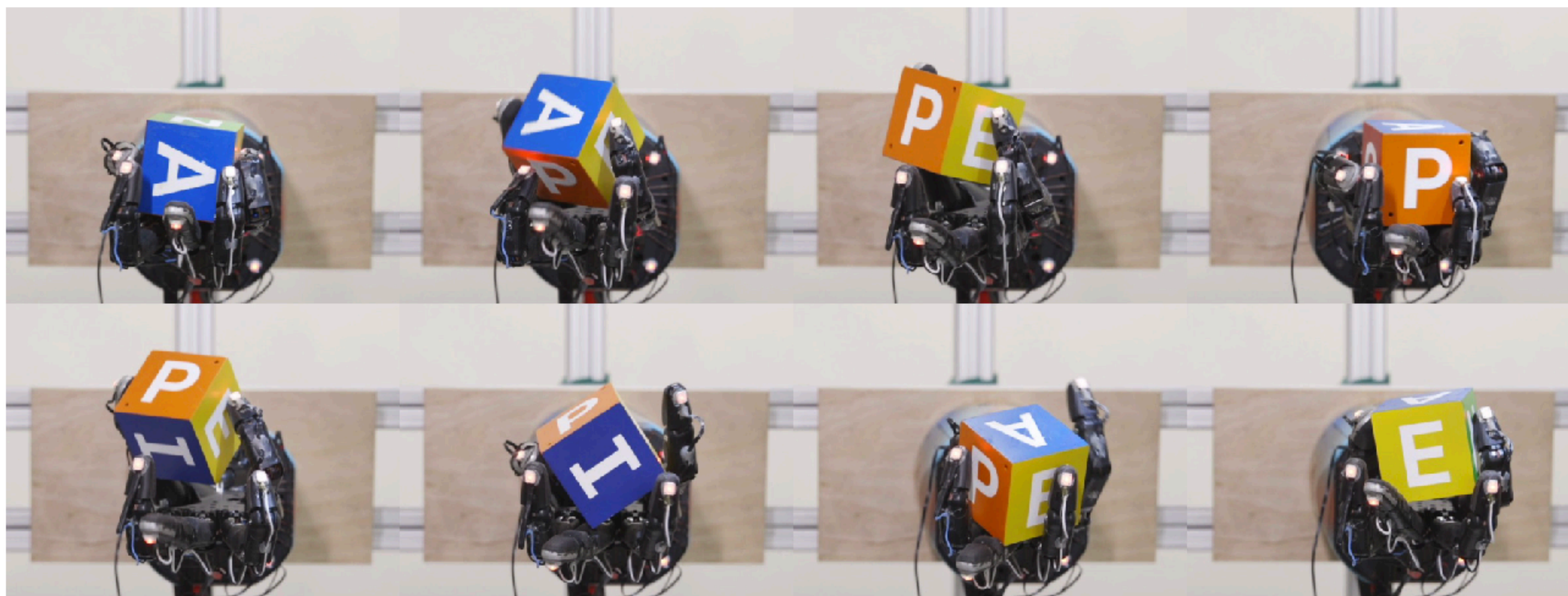


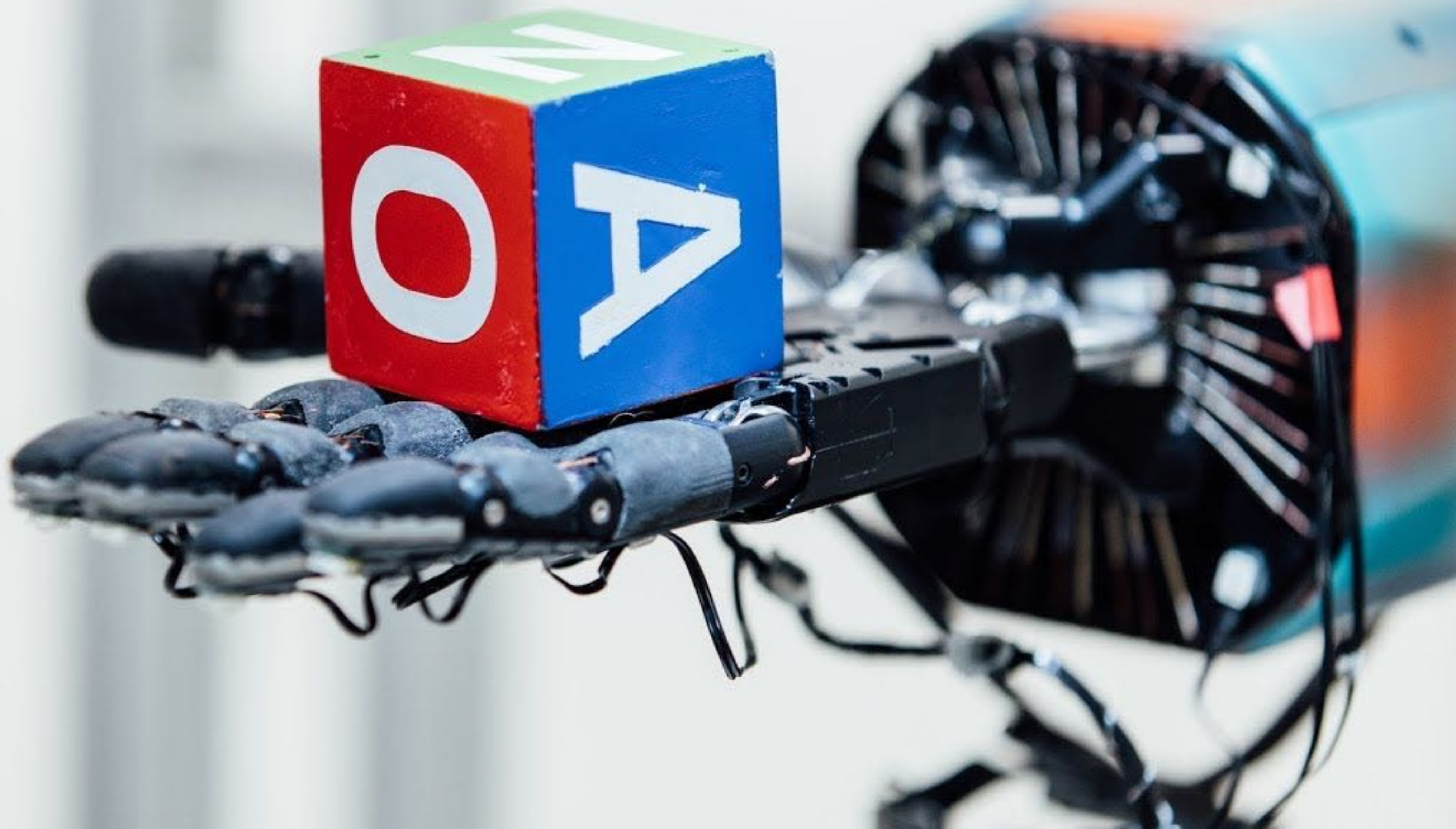
# Learning Dexterity

(Open AI)

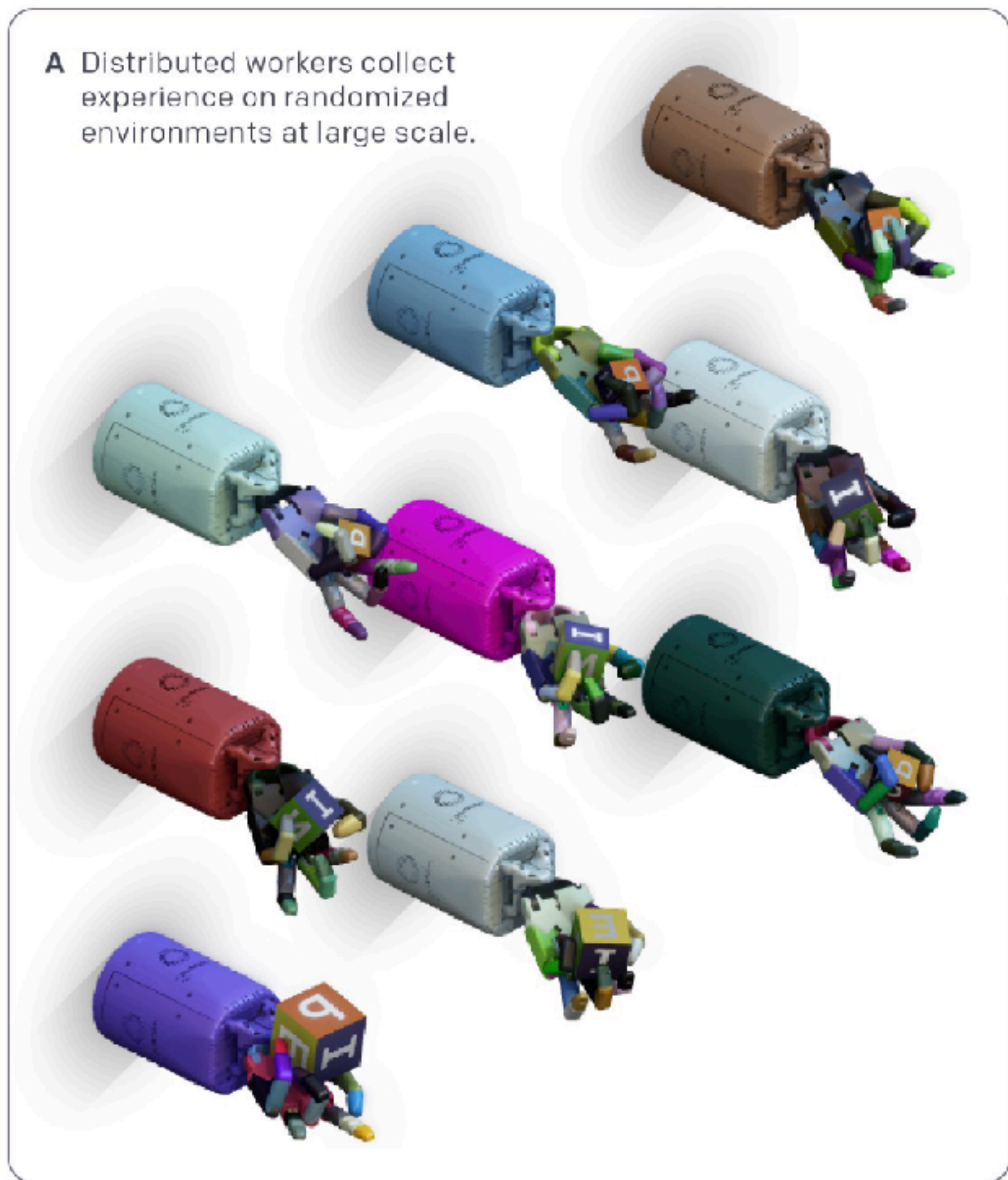
# Learning Dexterous In-Hand Manipulation

OpenAI\*, Marcin Andrychowicz, Bowen Baker, Maciek Chociej,  
Rafał Józefowicz, Bob McGrew, Jakub Pachocki, Arthur Petron,  
Matthias Plappert, Glenn Powell, Alex Ray, Jonas Schneider, Szymon Sidor,  
Josh Tobin, Peter Welinder, Lilian Weng, Wojciech Zaremba









**Sim**

Train a policy in simulation  
(RL)



**Real**

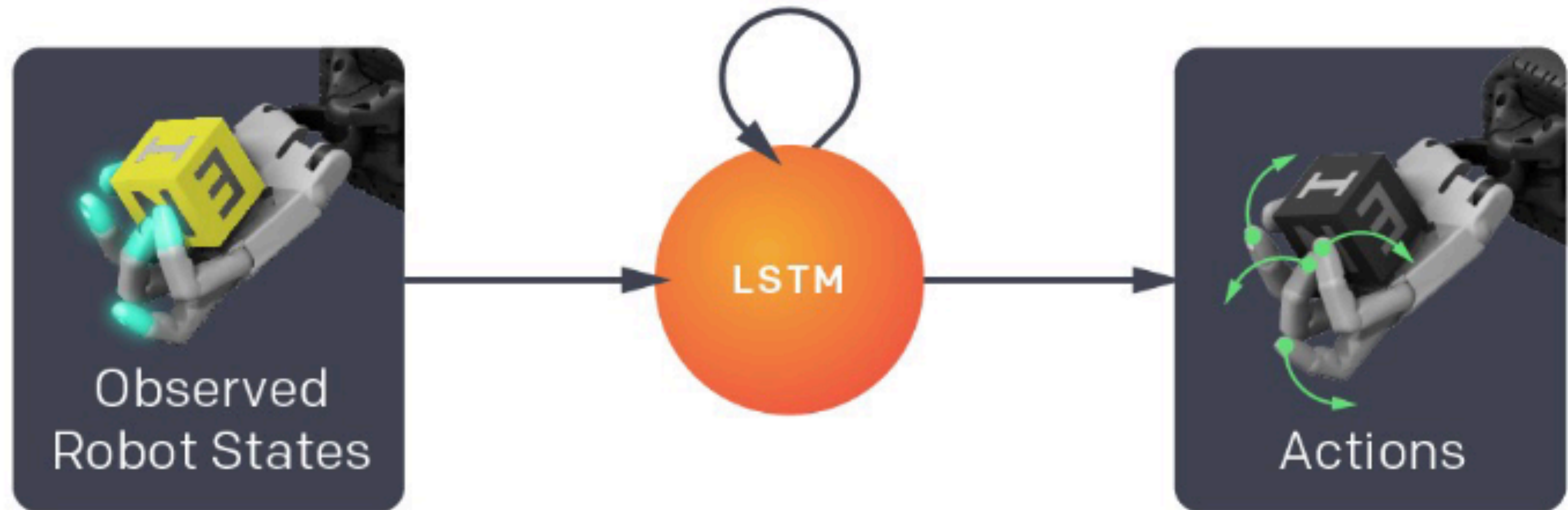
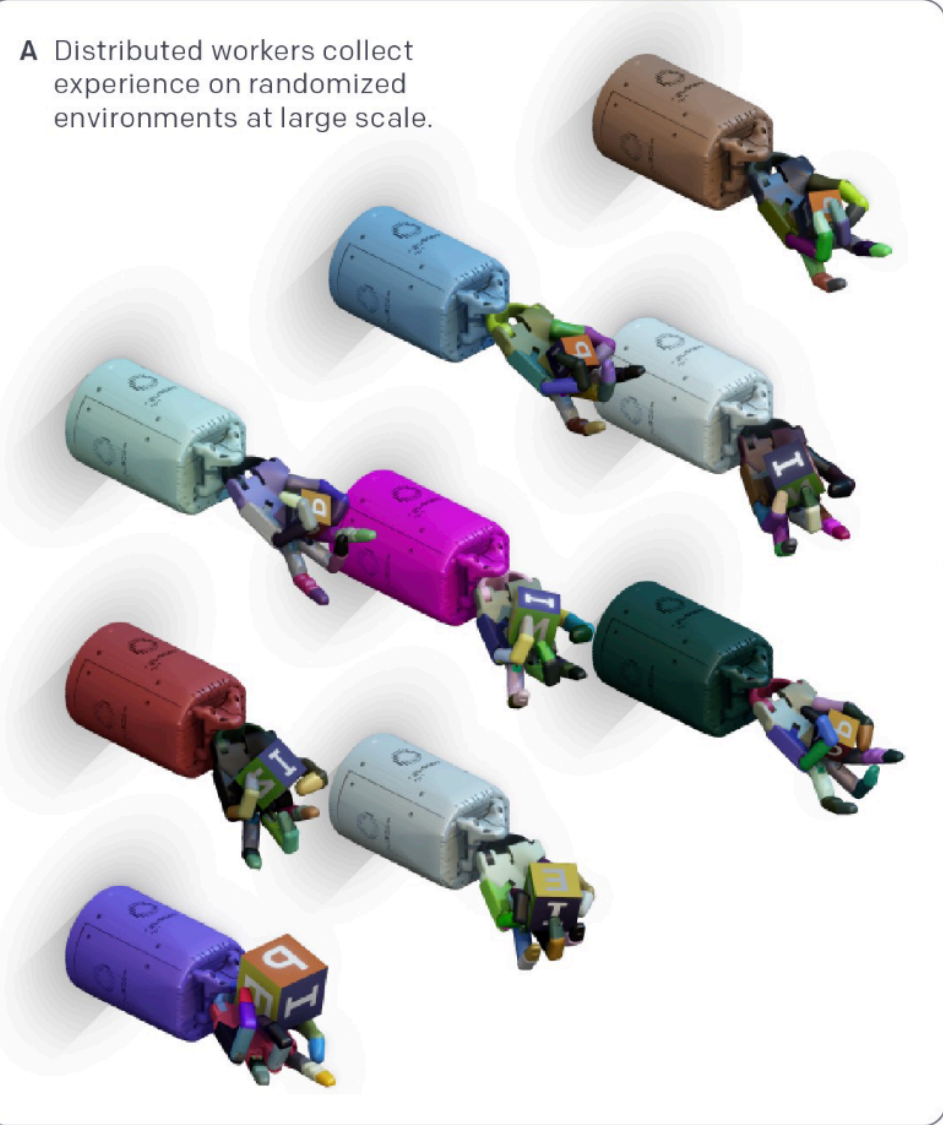
Test in real world

A Distributed workers collect experience on randomized environments at large scale.



**B** We train a control policy using reinforcement learning. It chooses the next action based on fingertip positions and the object pose.

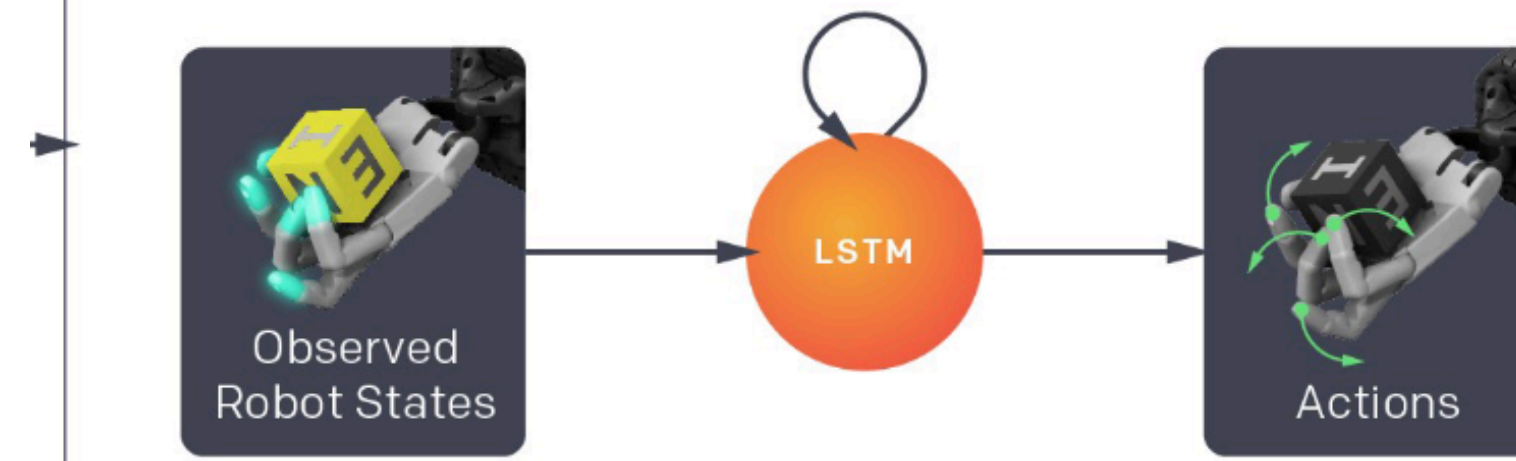
**A** Distributed workers collect experience on randomized environments at large scale.



A Distributed workers collect experience on randomized environments at large scale.

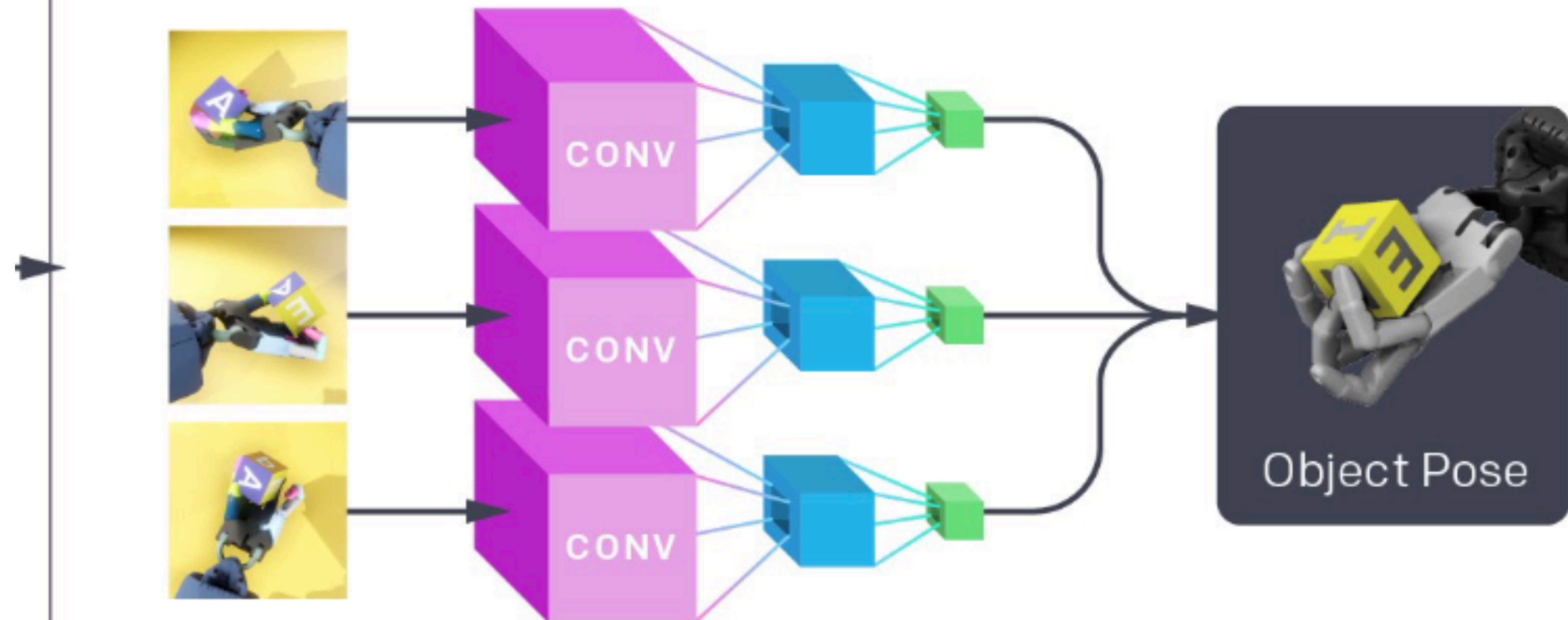


B We train a control policy using reinforcement learning. It chooses the next action based on fingertip positions and the object pose.

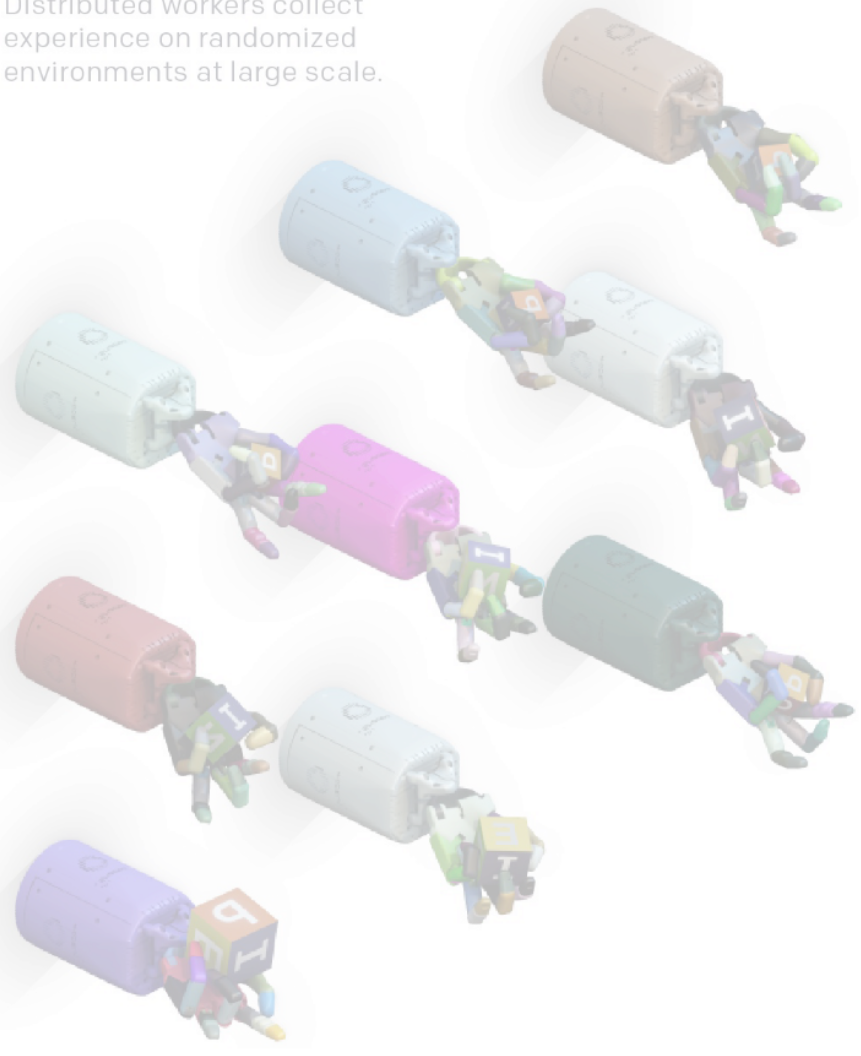


C We train a convolutional neural network to predict the object pose given three simulated camera images.

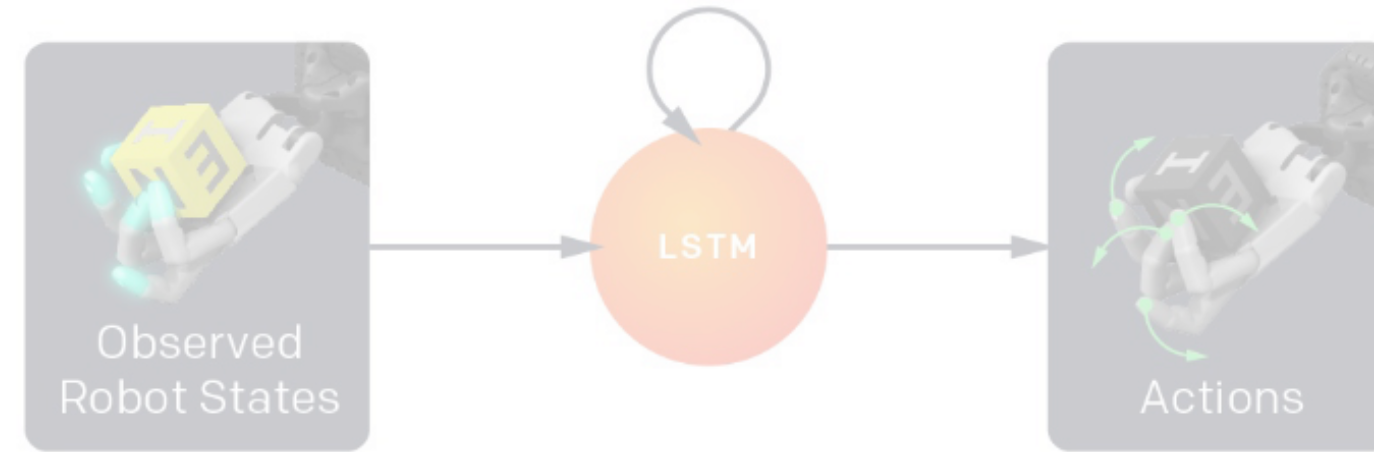
Sim



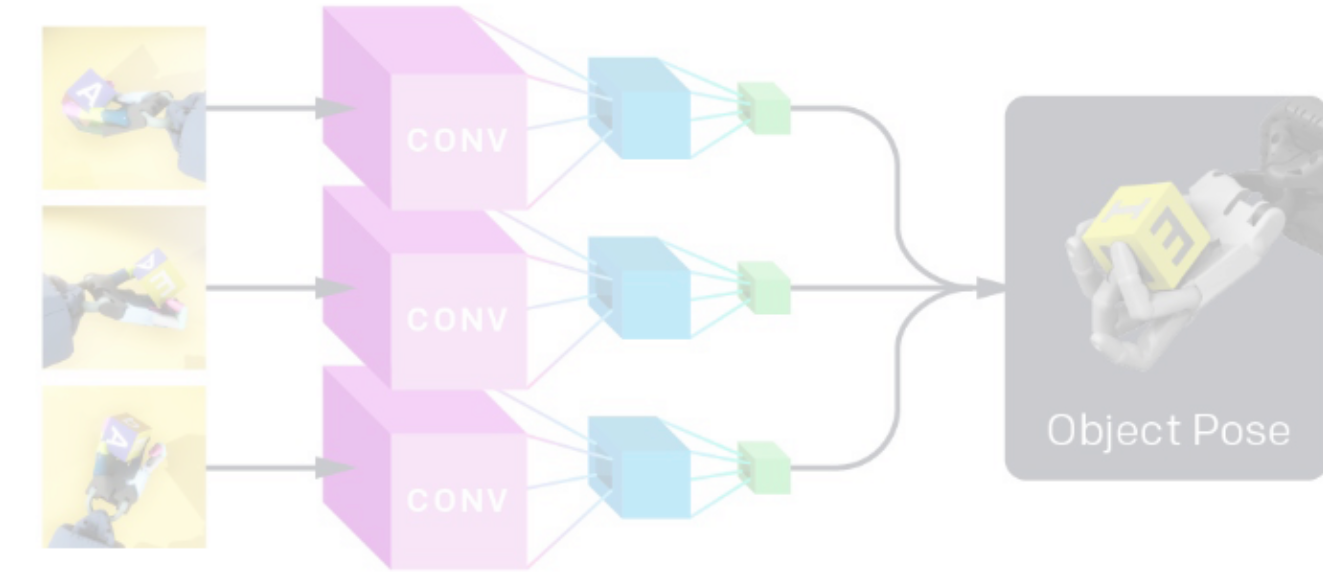
A Distributed workers collect experience on randomized environments at large scale.



B We train a control policy using reinforcement learning. It chooses the next action based on fingertip positions and the object pose.

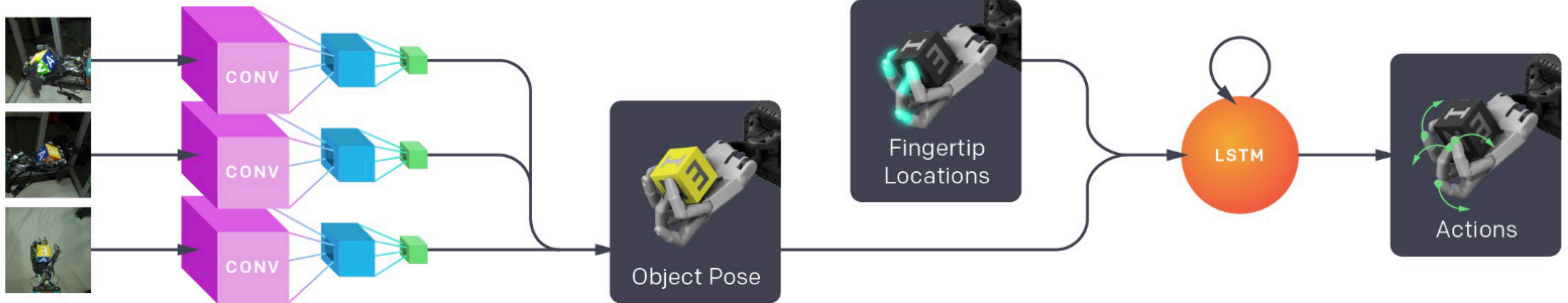


C We train a convolutional neural network to predict the object pose given three simulated camera images.



D We combine the pose estimation network and the control policy to transfer to the real world.

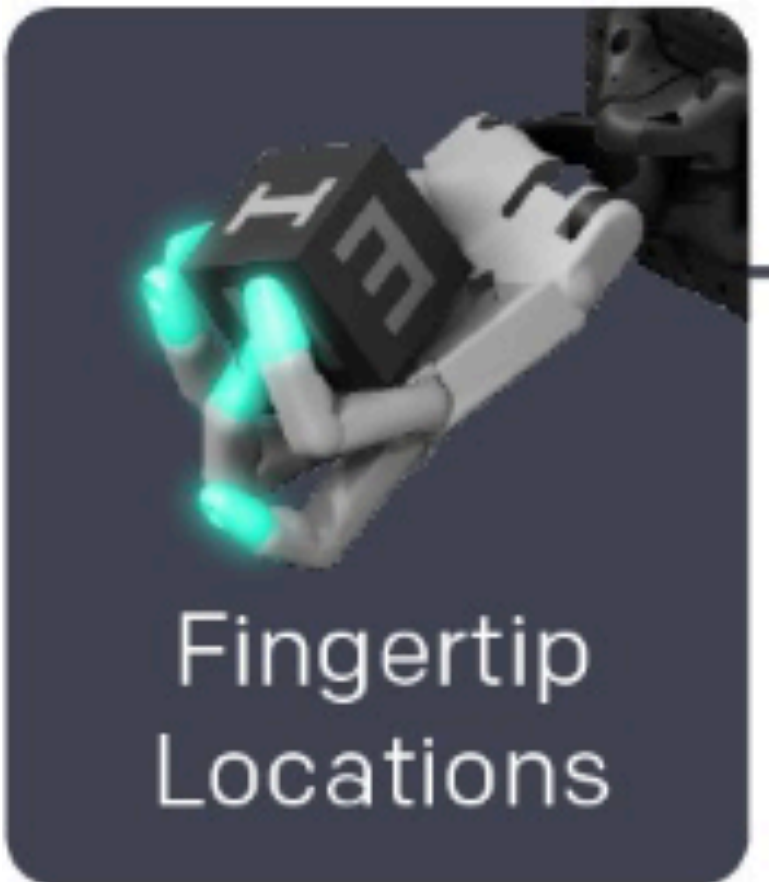
**Real**



*S*, *A*, *R*, *T*

S

, A , R , T



Question: Is the current object pose and fingertip location sufficient to capture state?

S

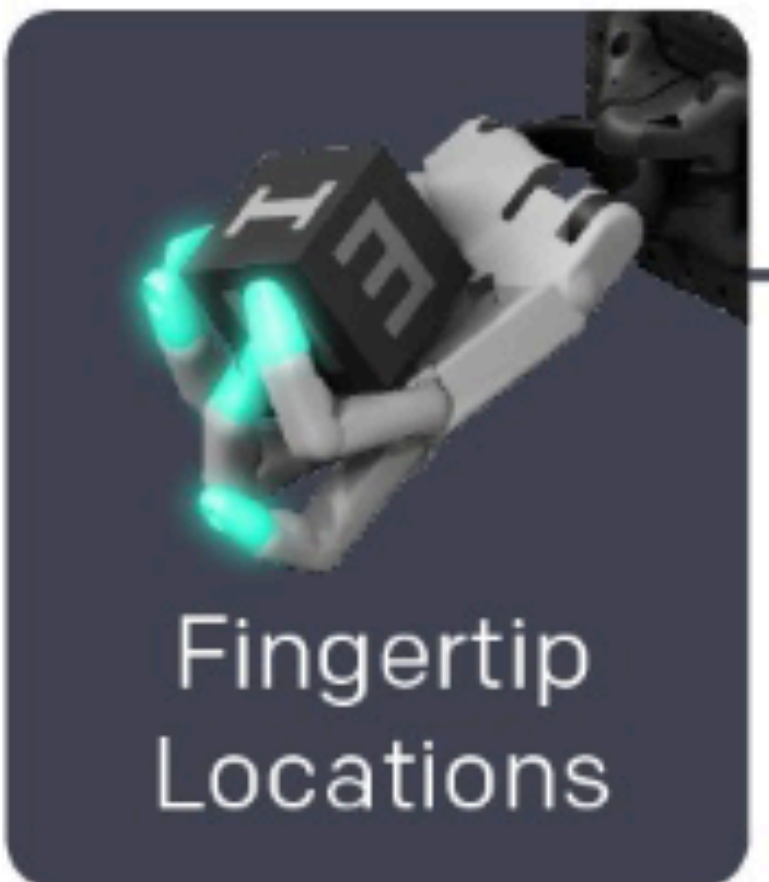
, A , R , T

No!

This is merely the current observation of a POMDP

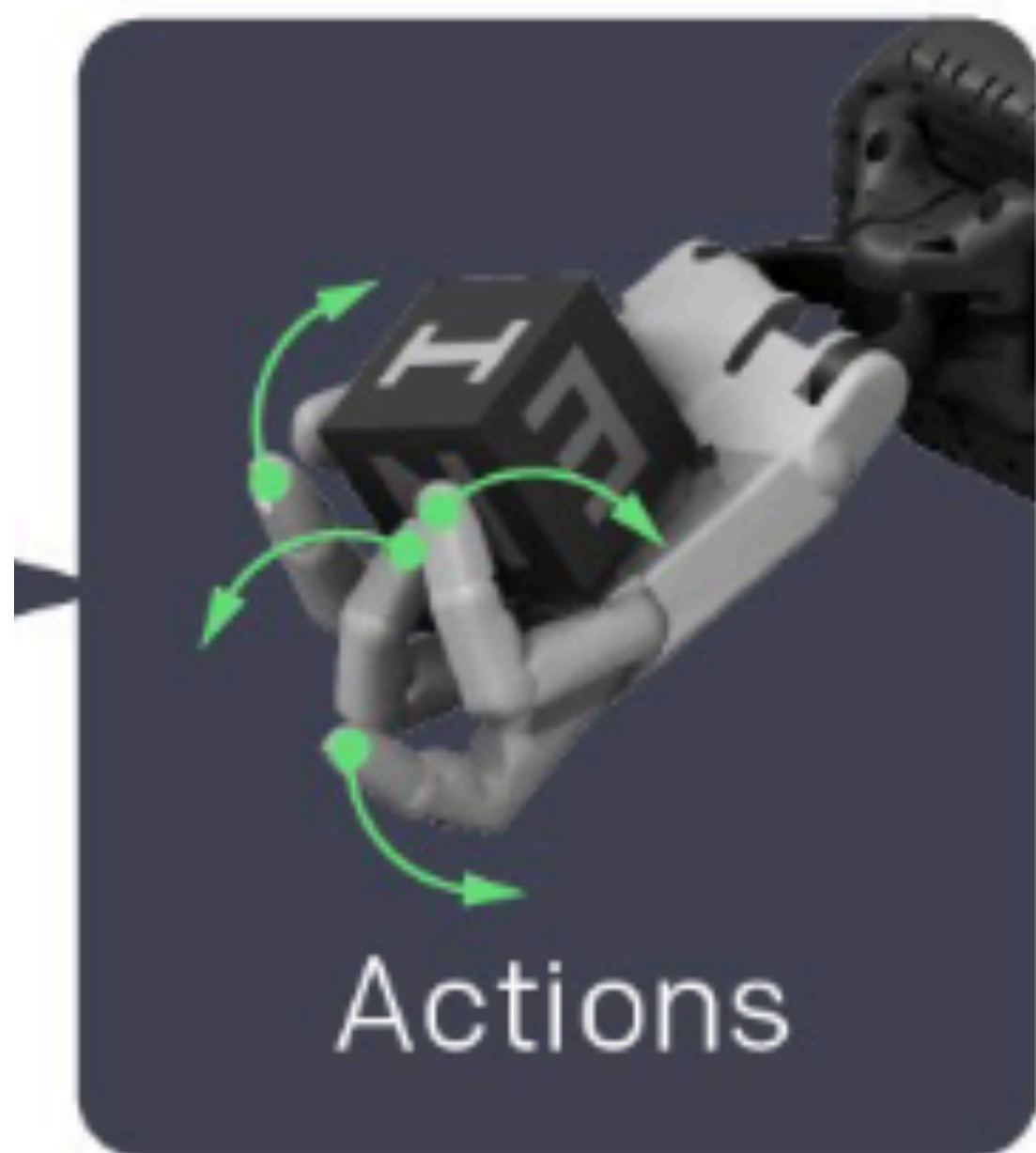
Need to keep a HISTORY

E.g. History of observations can reveal the weight of the object or how fast the index finger can move.





S, A, R, T



*S*, *A*, *R*, *T*

The reward given at timestep  $t$  is  $r_t = d_t - d_{t+1}$ , where  $d_t$  and  $d_{t+1}$  are the rotation angles between the desired and current object orientations before and after the transition, respectively. We give an additional reward of 5 whenever a goal is achieved and a reward of  $-20$  (a penalty) whenever the object is dropped. More information about the simulation environment can be found in Appendix C.1.

Activity!

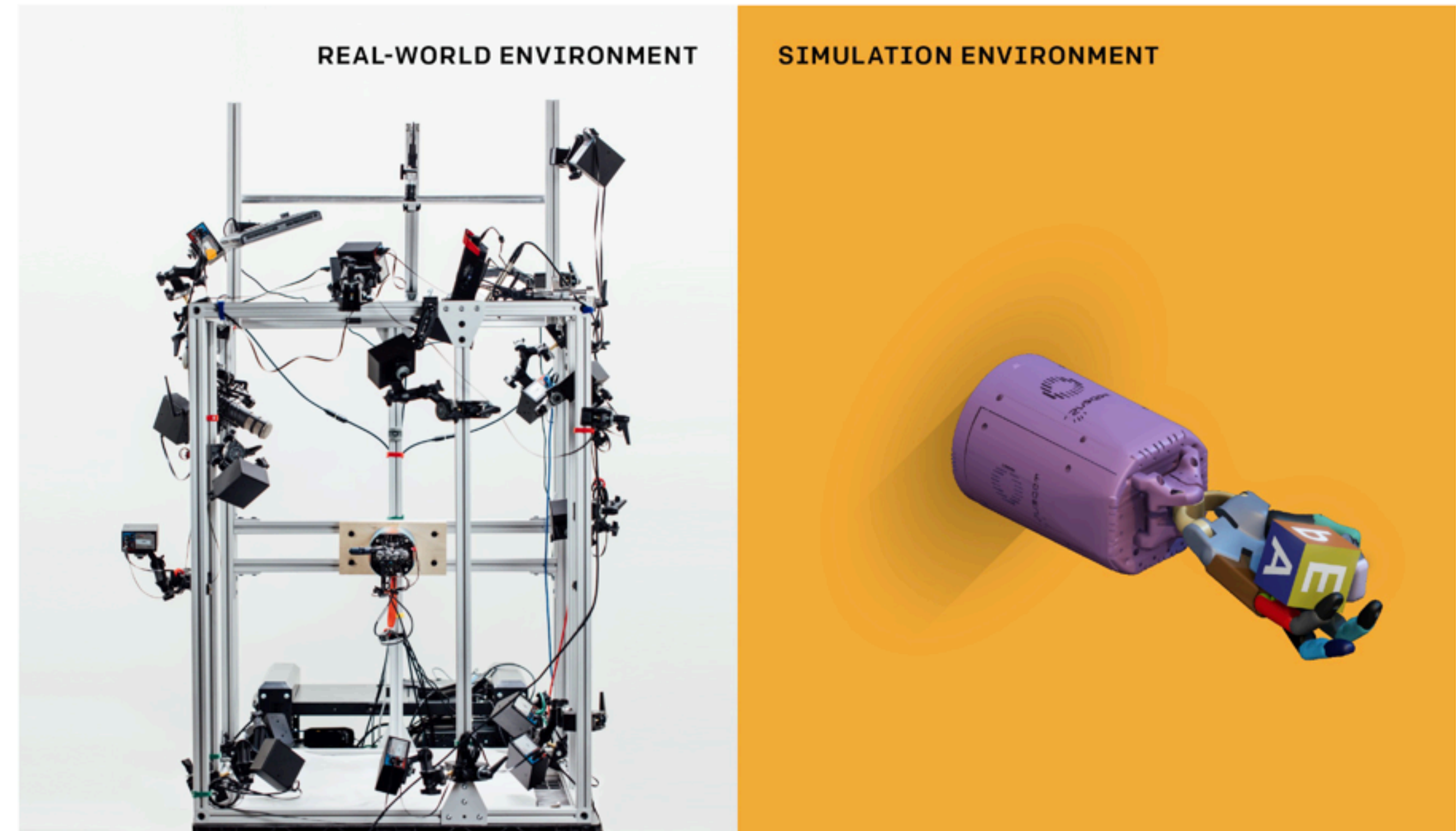


# Think-Pair-Share!

Think (30 sec): What are the challenges in going from sim2real?  
Ideas for overcoming these challenges?

Pair: Find a partner

Share (45 sec): Partners exchange  
ideas



# Sim2Real as Transferring MDPs

$$\hat{S}, A, R, \hat{\mathcal{T}} \xrightarrow{\quad} S, A, R, \mathcal{T}$$

Sim Real

There will be a mismatch in state representations and transition

Our policy needs to be robust to this mismatch

# Key Idea: Add in Randomization in Sim

## 1. Randomize the observation

**Observation noise.** To better mimic the kind of noise we expect to experience in reality, we add Gaussian noise to policy observations. In particular, we apply a correlated noise which is sampled once per episode as well as an uncorrelated noise sampled at every timestep.

# Key Idea: Add in Randomization in Sim

1. Randomize the observation
2. Randomize the physics

**Physics randomizations.** Physical parameters like friction are randomized at the beginning of every episode and held fixed. Many parameters are centered on values found during model calibration in an effort to make the simulation distribution match reality more closely. [Table 1](#) lists all physics parameters that are randomized.

# Key Idea: Add in Randomization in Sim

1. Randomize the observation
2. Randomize the physics
3. Unmodeled effects

**Unmodeled effects.** The physical robot experiences many effects that are not modeled by our simulation. To account for imperfect actuation, we use a simple model of motor backlash and introduce action delays and action noise before applying them in simulation. Our motion capture setup sometimes loses track of a marker temporarily, which we model by freezing the position of a simulated marker with low probability for a short period of time in simulation. We also simulate marker occlusion by freezing its simulated position whenever it is close to another marker or the object. To handle additional unmodeled dynamics, we apply small random forces to the object. Details on the concrete implementation are available in Appendix C.2.



# Key Idea: Add in Randomization in Sim

**Visual appearance randomizations.** We randomize the following aspects of the rendered scene: camera positions and intrinsics, lighting conditions, the pose of the hand and object, and the materials and textures for all objects in the scene. [Figure 4](#) depicts some examples of these randomized environments. Details on the randomized properties and their ranges are available in Appendix C.2.



1. Randomize the observation
2. Randomize the physics
3. Unmodeled effects
4. Visual randomization

# Today's class

- ☑ What are the challenges with sim2real?

Case study: OpenAI Dactyl Hand

- ☐ Teacher- $\rightarrow$ Student distillation

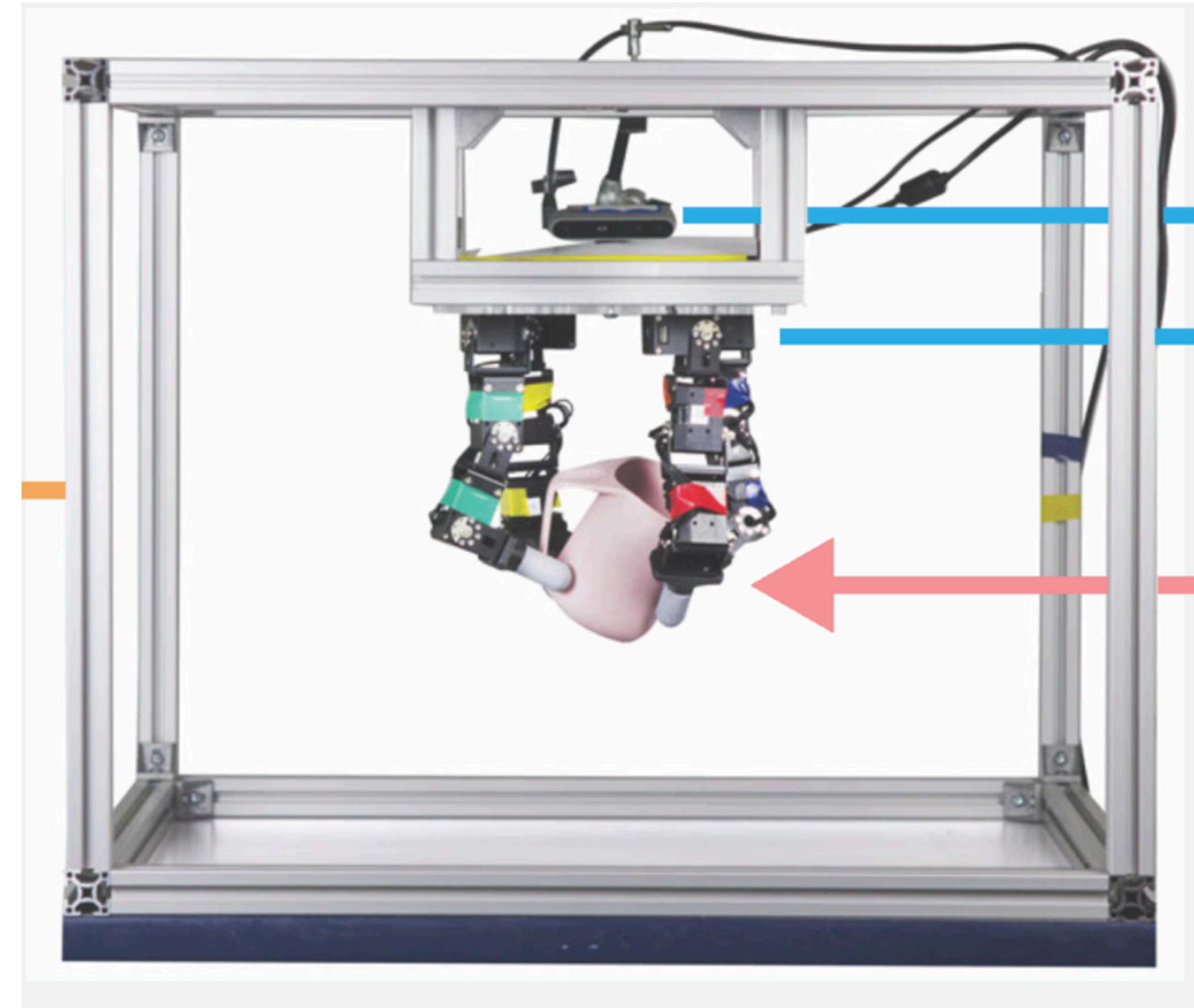
Case study: Visual Dexterity

- ☐ Imitation Learning with Privileged Information

What if we made the problem  
much much harder?

# Visual Dexterity: In-Hand Reorientation of Novel and Complex Object Shapes

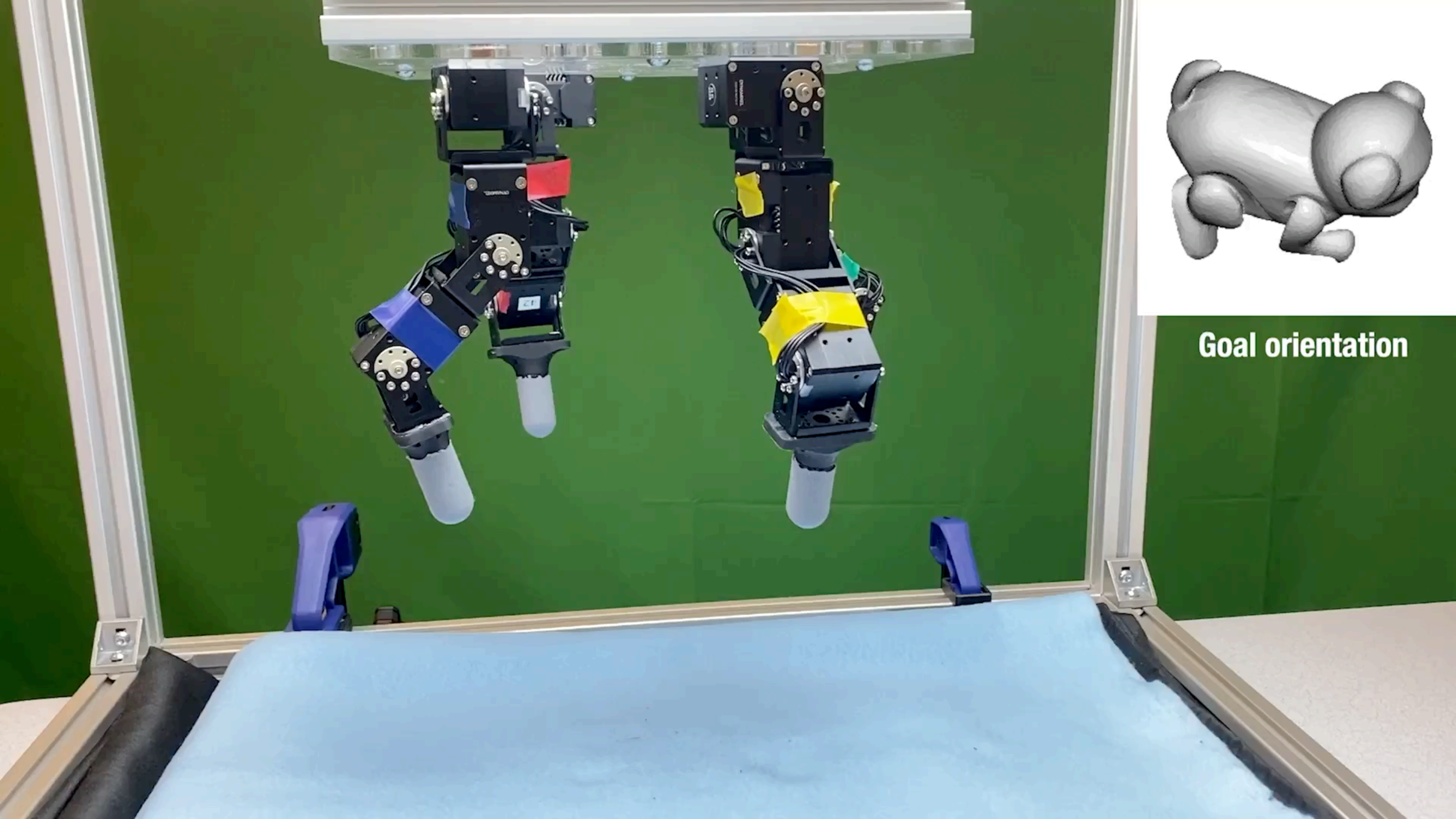
Tao Chen<sup>1,2</sup>, Megha Tippur<sup>2</sup>, Siyang Wu<sup>3</sup>, Vikash Kumar<sup>4</sup>,  
Edward Adelson<sup>2</sup>, Pulkit Agrawal<sup>\*1,2,5</sup>



Upside down object manipulation

From 12 cameras to 1 camera

Generalize to lots of different objects



**Goal orientation**

Activity!

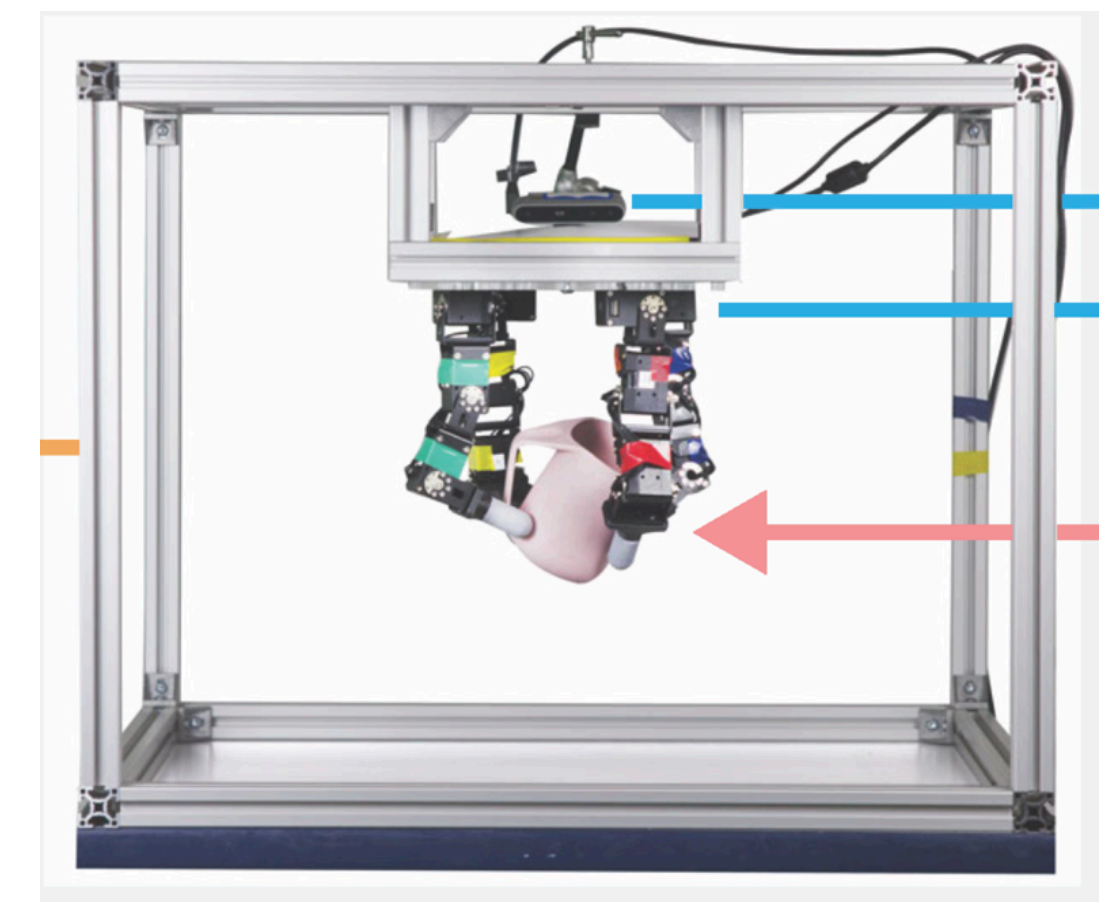


# Think-Pair-Share!

Think (30 sec): Why can't we apply OpenAI strategy to this setting? What are the challenges?

Pair: Find a partner

Share (45 sec): Partners exchange ideas





# The Challenge

Doing RL purely based on observation data (point clouds) is very challenging

The policy needs to learn 2 things simultaneously:

1. What are good visual features?
2. What are good actions?



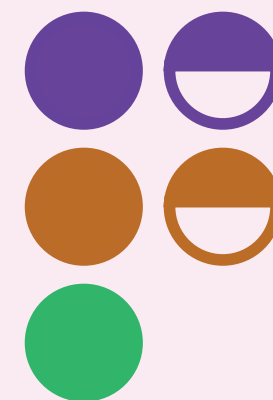
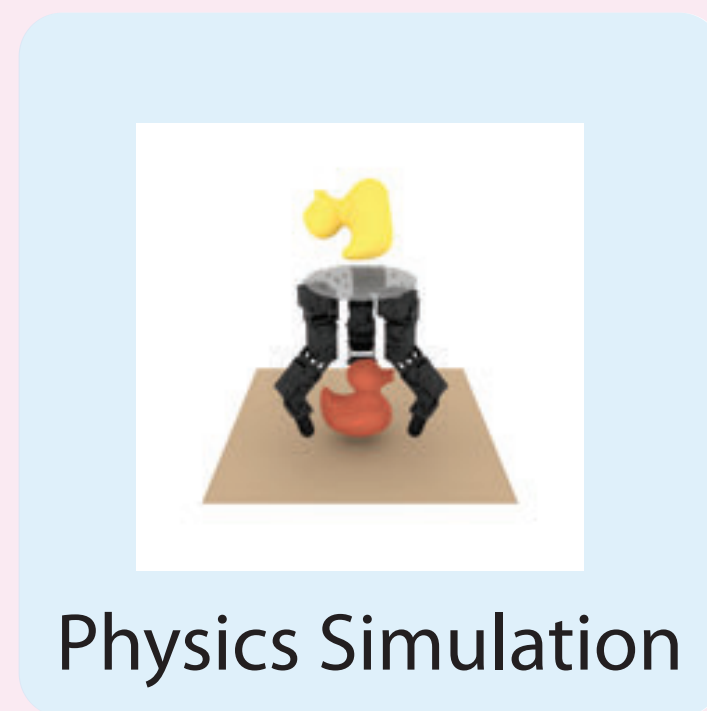
Can we train the RL using  
**privileged information** that is  
present in sim during training?

# RL with privileged information

- robot state (position)
- ◐ robot state (velocity)

- object pose
- ◐ object velocity

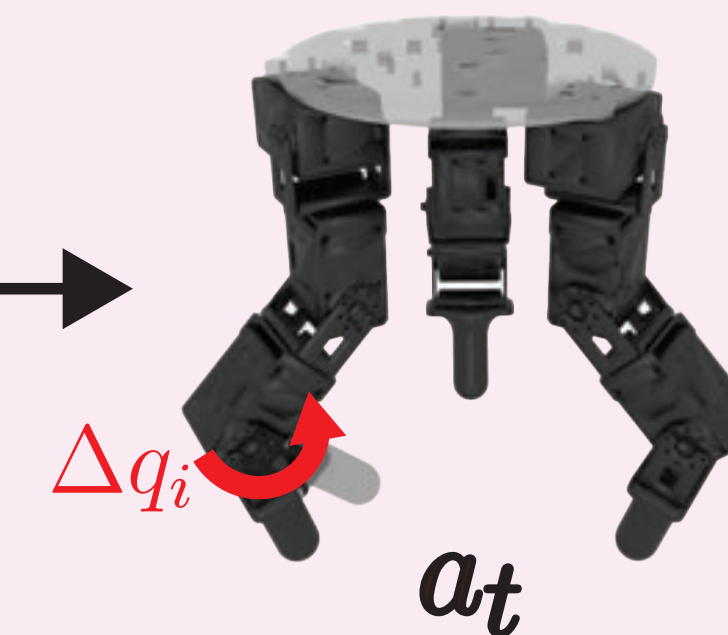
- goal orientation



Reinforcement Learning

Policy

$a_{t-1}$



But if we train a policy using privileged information in sim, how will we run it in real where we don't have privileged information?





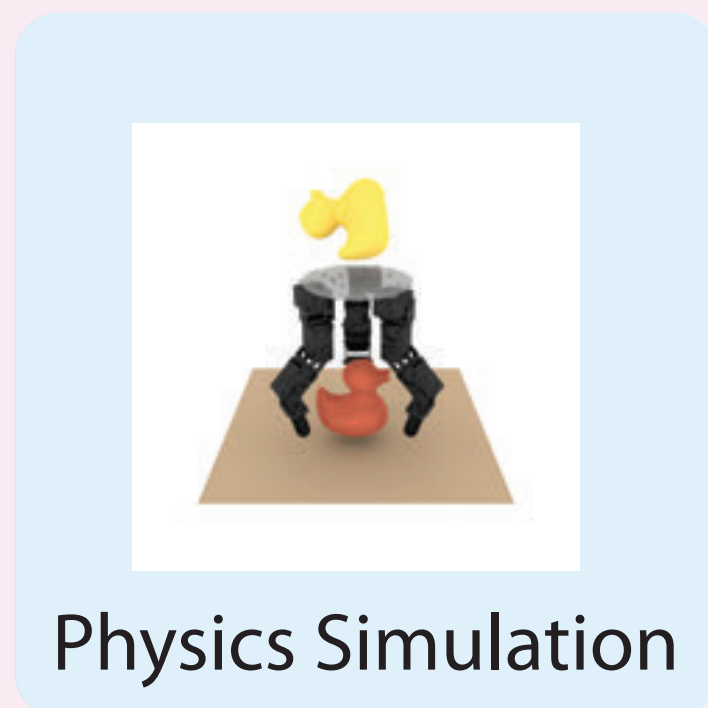
Can we train the RL using  
**privileged information** that is  
present in sim during training?

Can we **imitate** the RL policy with  
a policy that only has access to  
real sensor information?

- robot state (position)
- ◐ robot state (velocity)

- object pose
- ◐ object velocity

- goal orientation

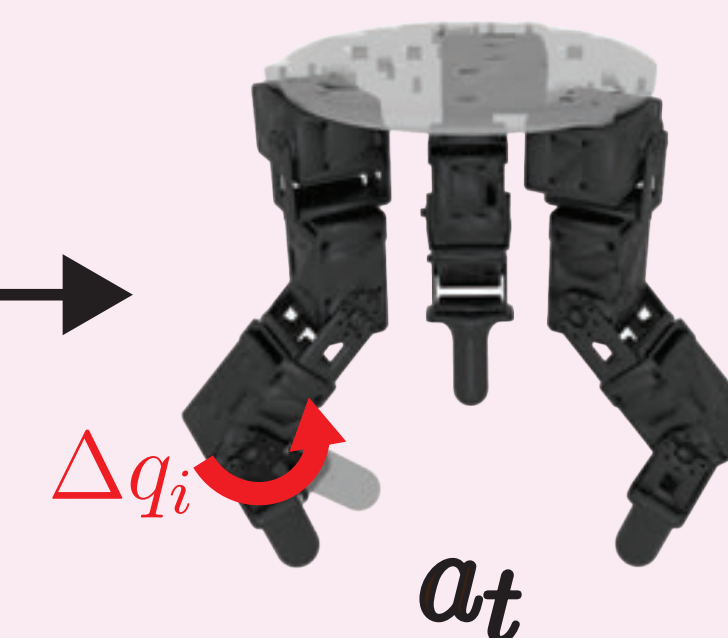


- 
- ◐
- 
- ◐
- 

Reinforcement Learning

Teacher Policy

$a_{t-1}$

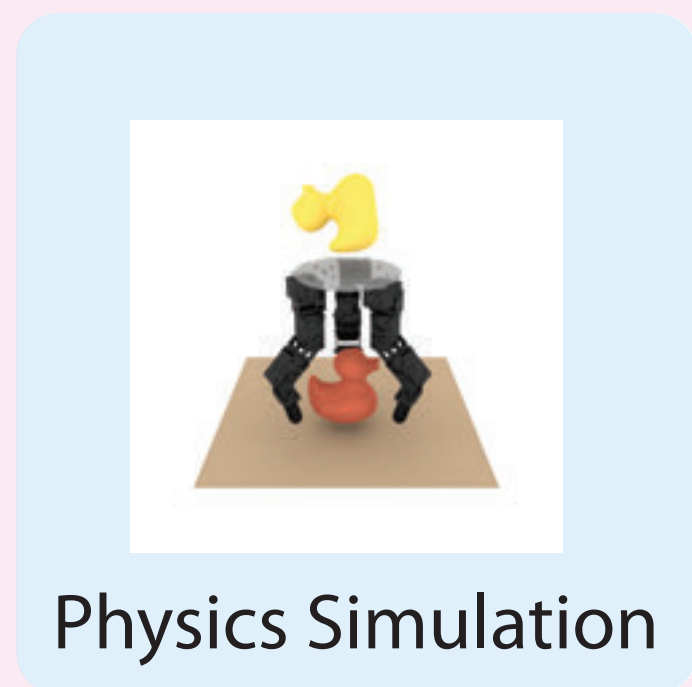


1. Teacher Policy Training

● robot state (position)  
○ robot state (velocity)

● object pose  
○ object velocity

● goal orientation

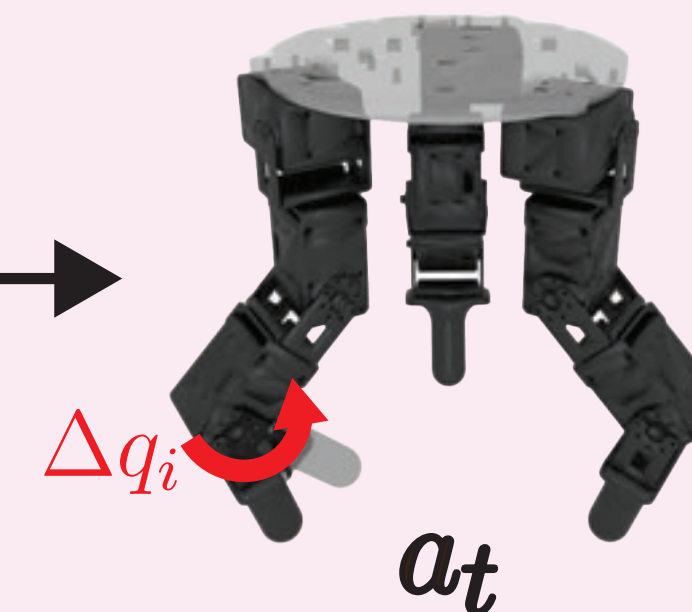


● ●  
● ●  
●

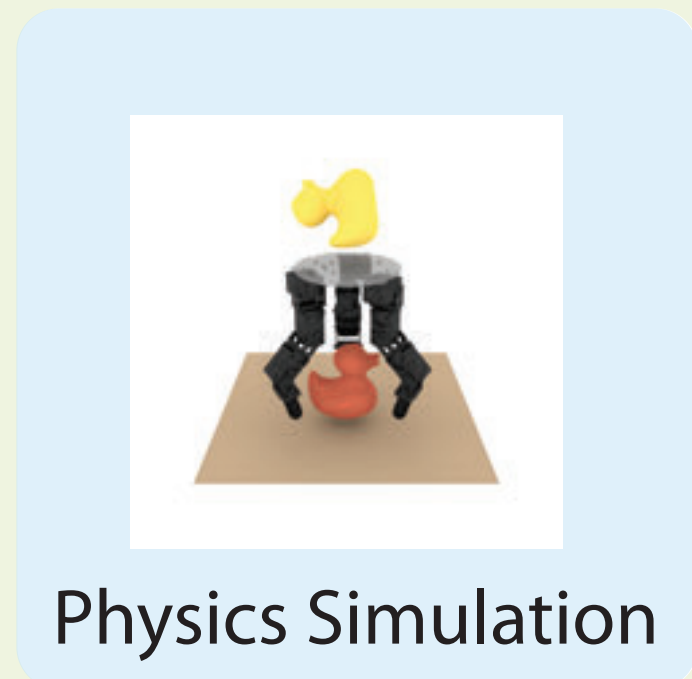
Reinforcement Learning

Teacher Policy

$a_{t-1}$



1. Teacher Policy Training



● ●  
● ●  
●

SE(3)  
Transformation

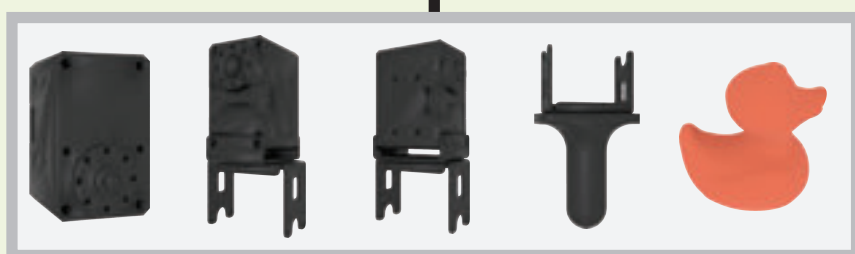


Imitation Learning

Student Policy

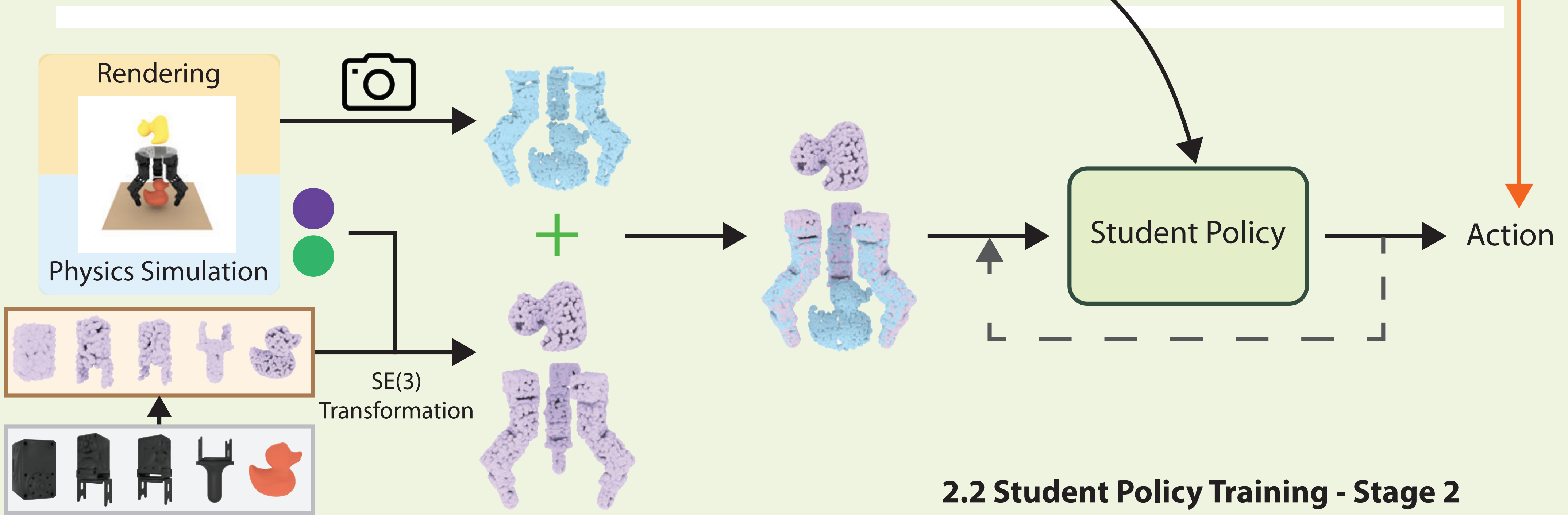
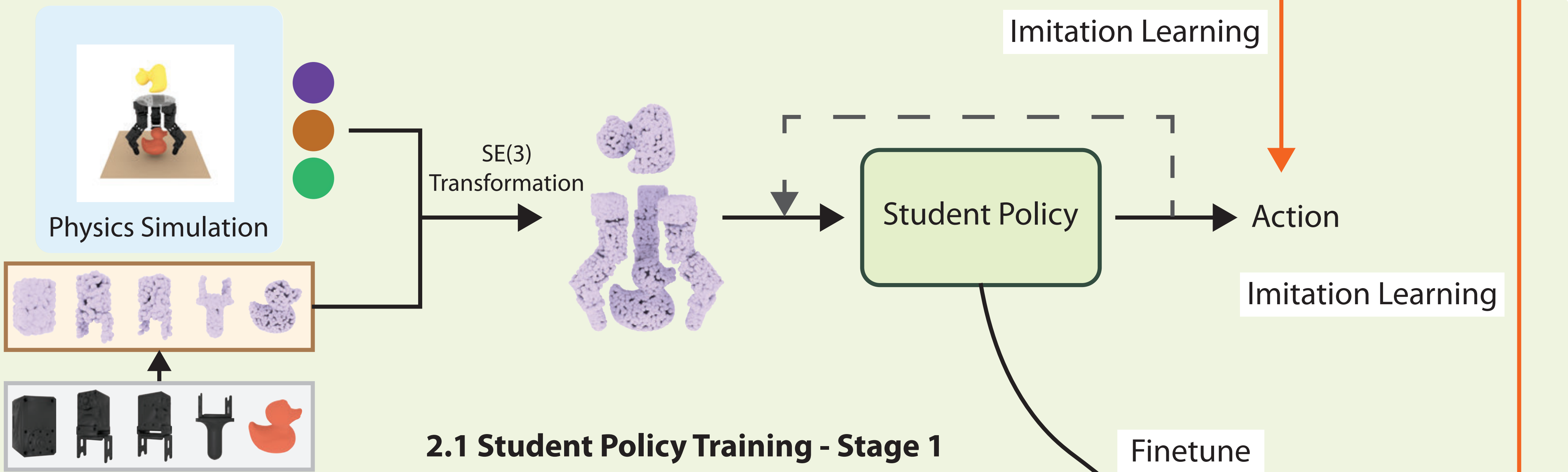
Action

Imitation Learning

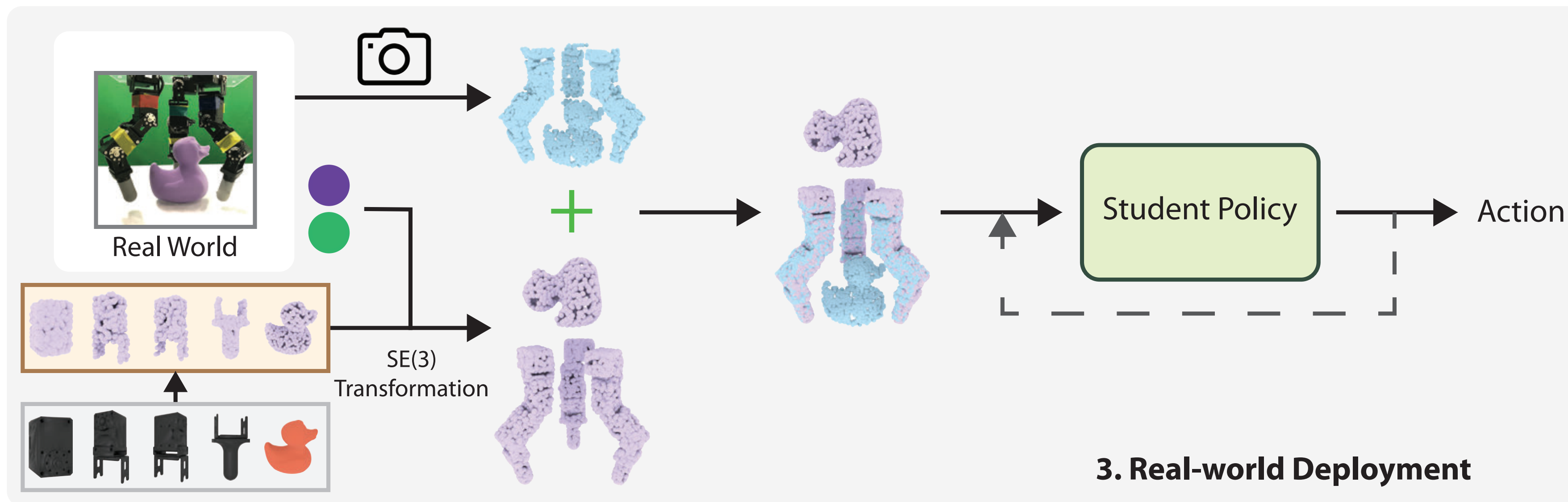
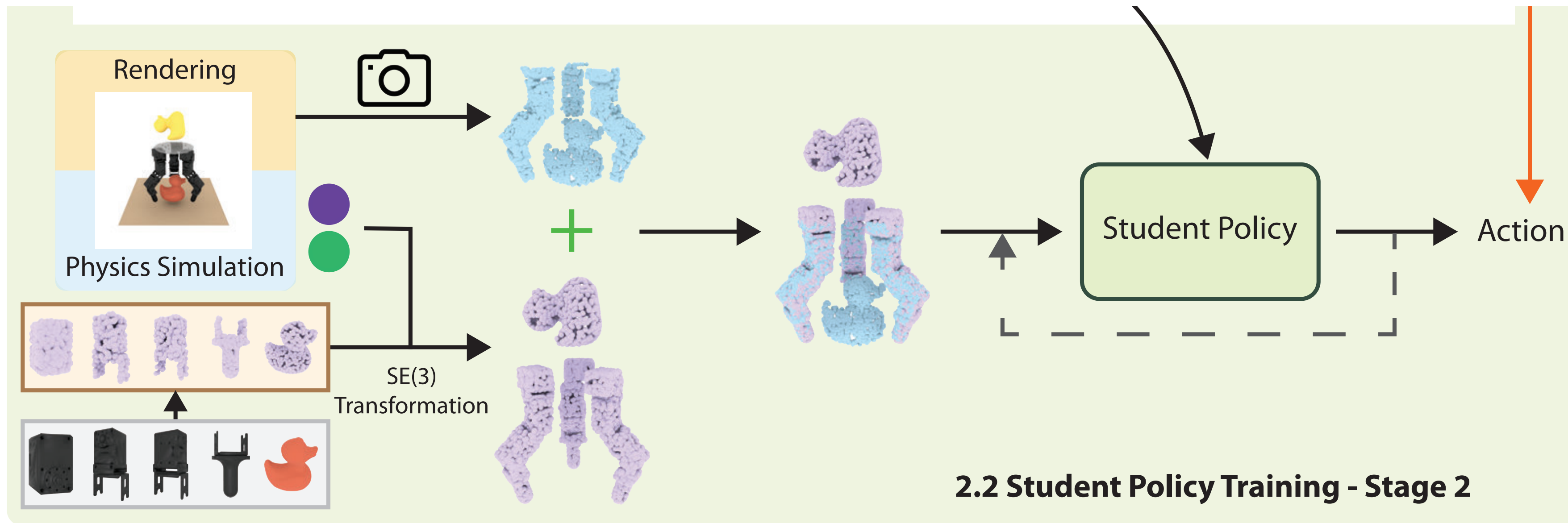


2.1 Student Policy Training - Stage 1

Finetune







# Today's class

- What are the challenges with sim2real?

Case study: OpenAI Dactyl Hand

- Teacher- $\rightarrow$ Student distillation

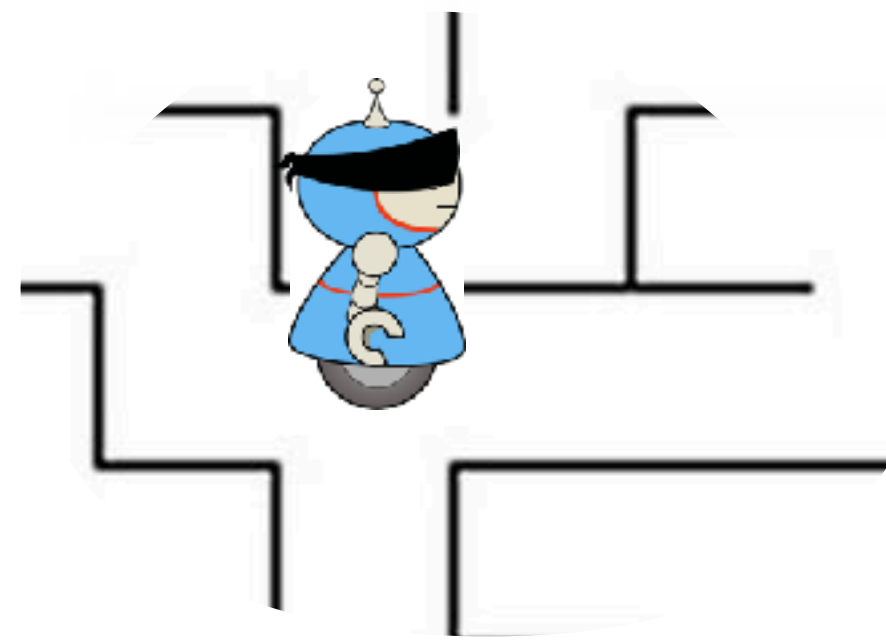
Case study: Visual Dexterity

- Imitation Learning with Privileged Information

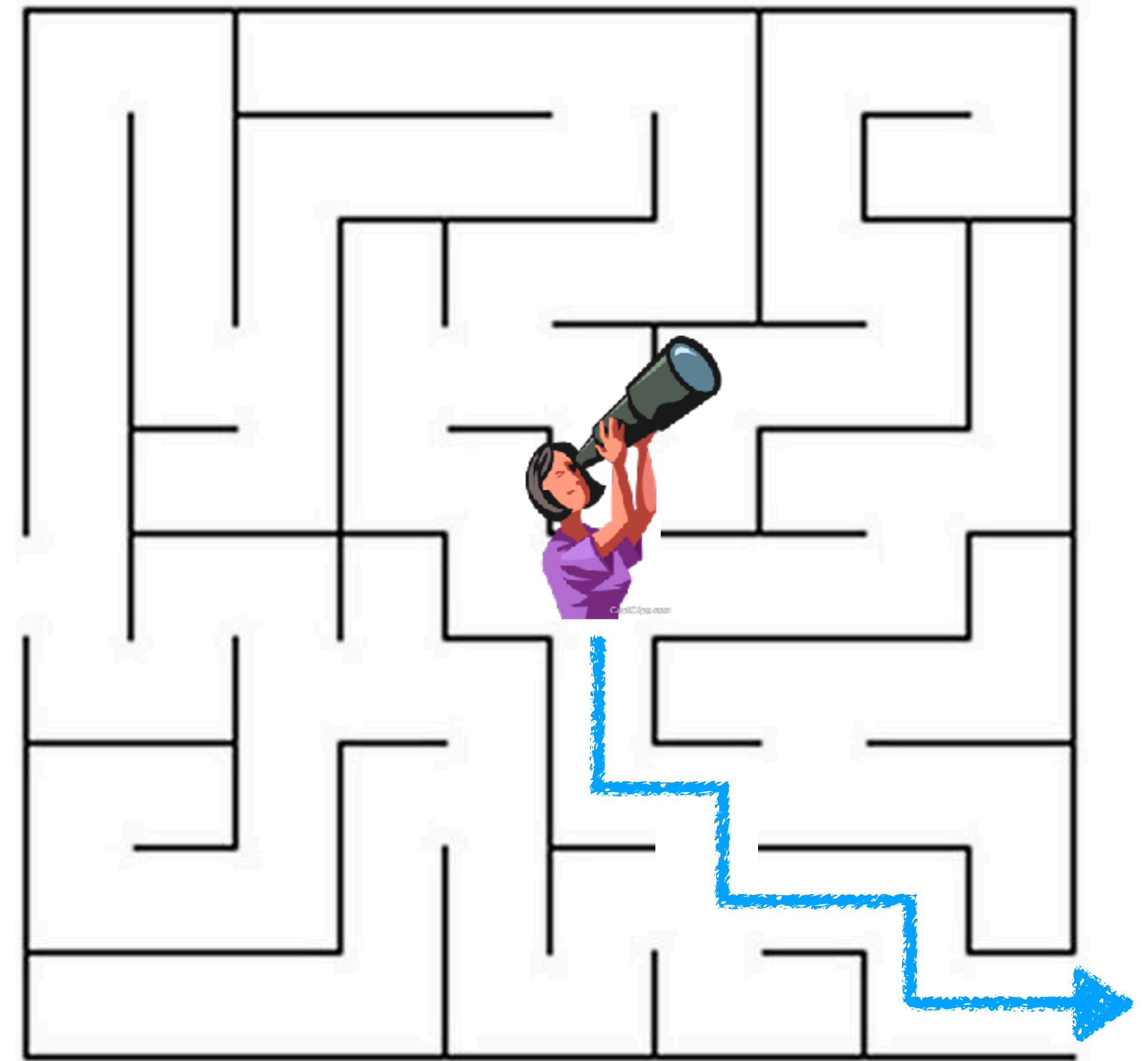
How should we imitate experts  
that have privileged  
information?



# Imitating Experts with Privileged Information



Imitate



Learner  
w/ limited sensing

Expert  
can see further

# Just do Behavior Cloning?

1. Collect data from experts (who know the context)

$$s_0^*, a_0^*, s_1^*, a_1^*, \dots, s_T^*$$

2. Train a policy that maps history to action

$$h_t^* = \{s_t^*, a_{t-1}^*, s_{t-1}^*, \dots, s_{t-k}^*\} \quad \pi : h_t^* \rightarrow a_t^*$$

Quiz!



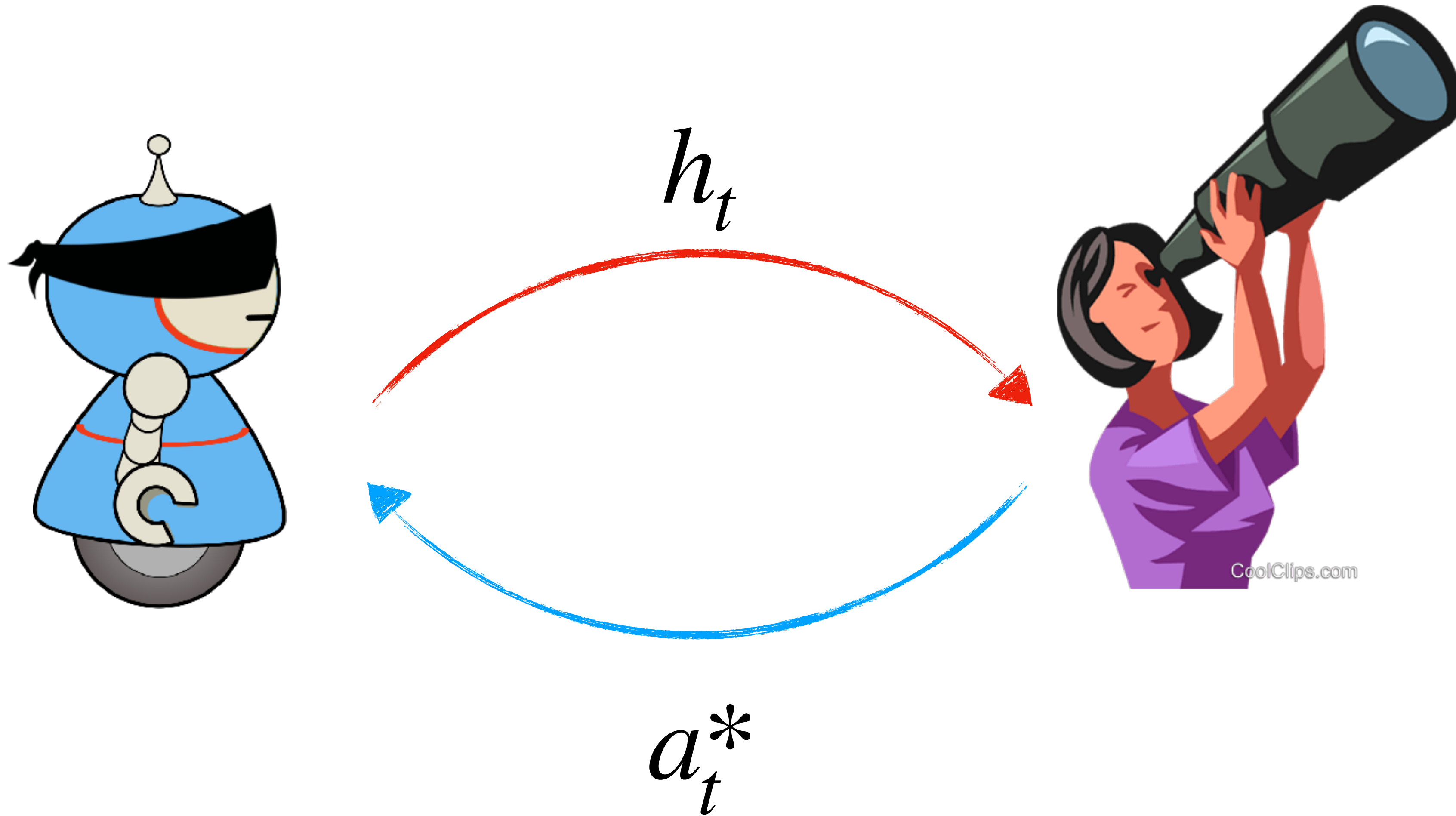
When poll is active respond at [PollEv.com/sc2582](https://PollEv.com/sc2582)

Send **sc2582** to **22333**

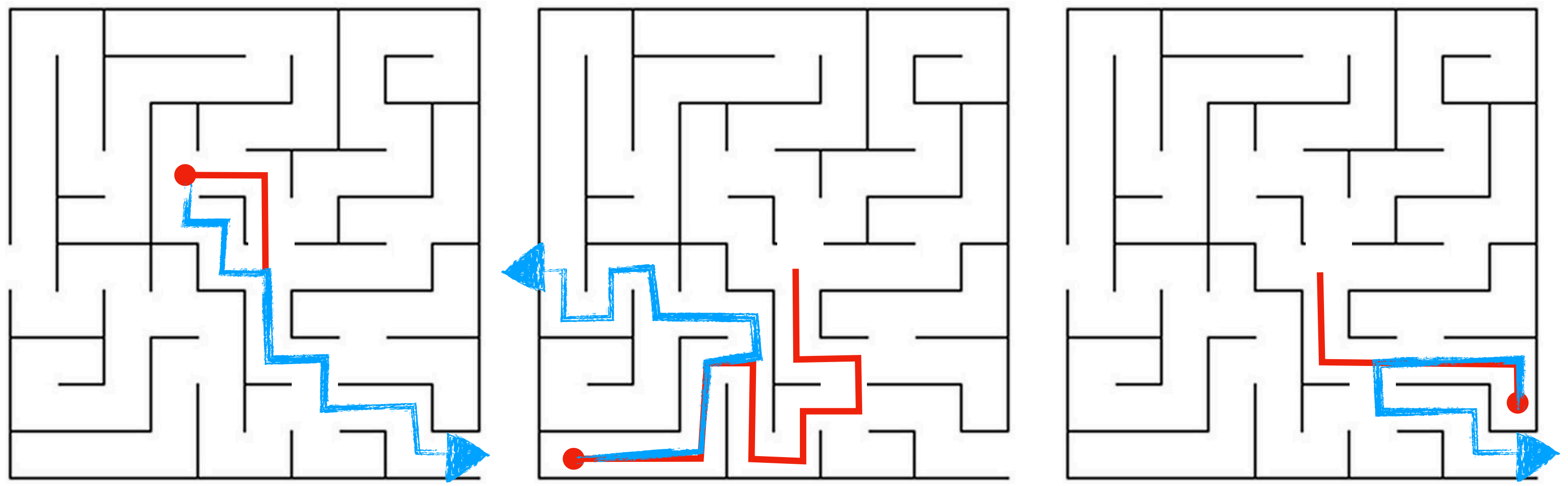
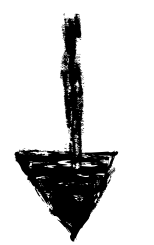
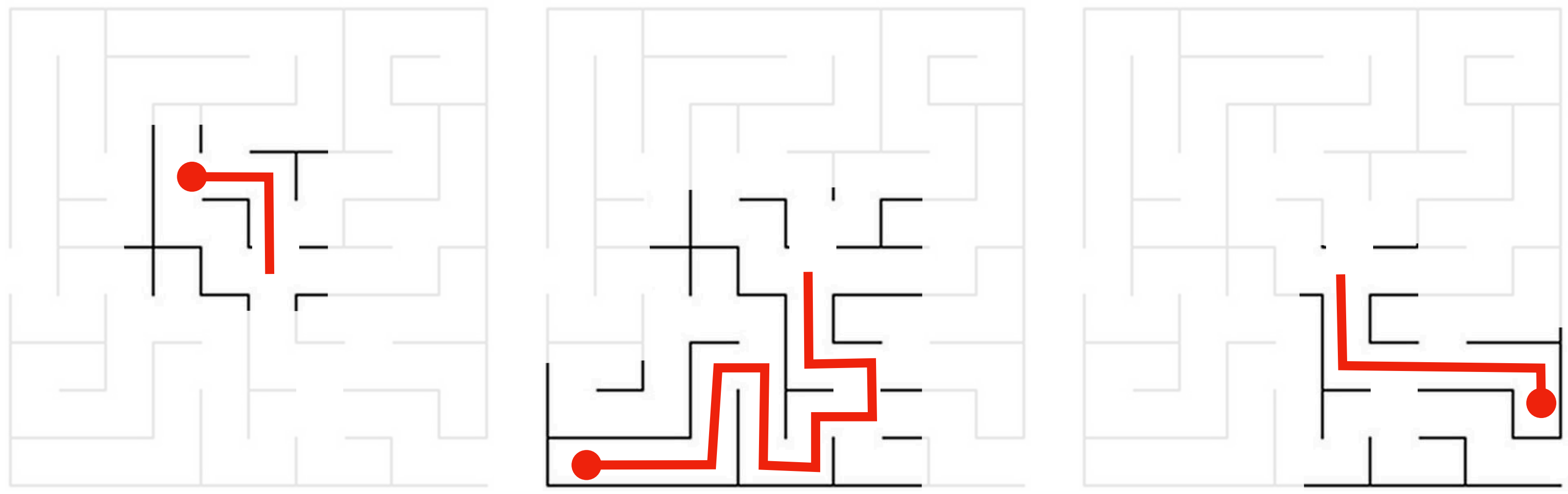
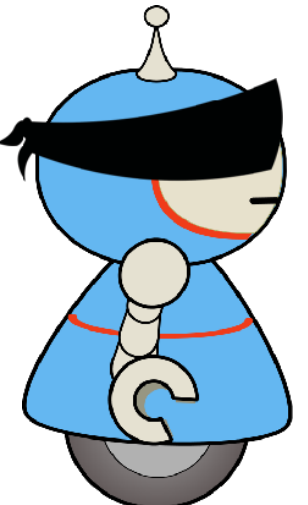




# Solution: **Interactively** query expert



# Solution: **Interactively** query expert

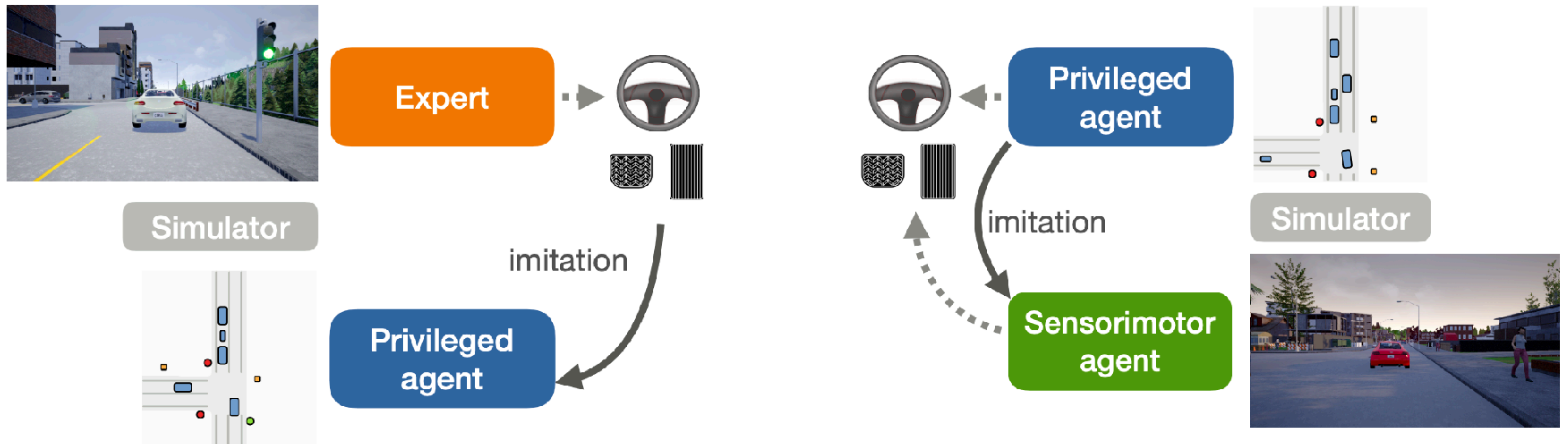


e.g DAGGER

1. Roll out learner
2. Query Expert
3. Aggregate Data  
and repeat!

Incredibly successful idea that  
has worked across a lot of  
application!

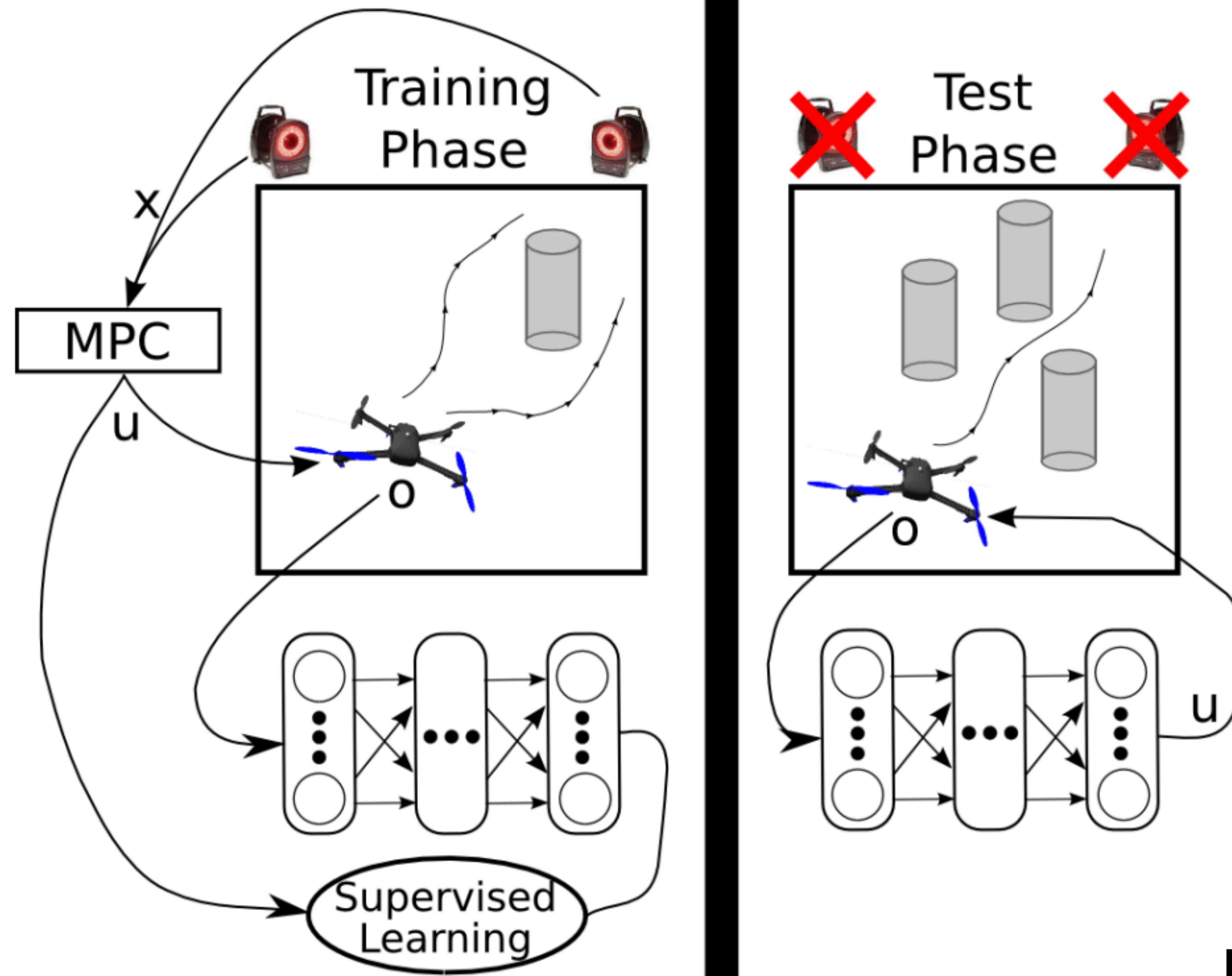
# Privileged Information: Self-driving



(a) Privileged agent imitates the expert

(b) Sensorimotor agent imitates the privileged agent

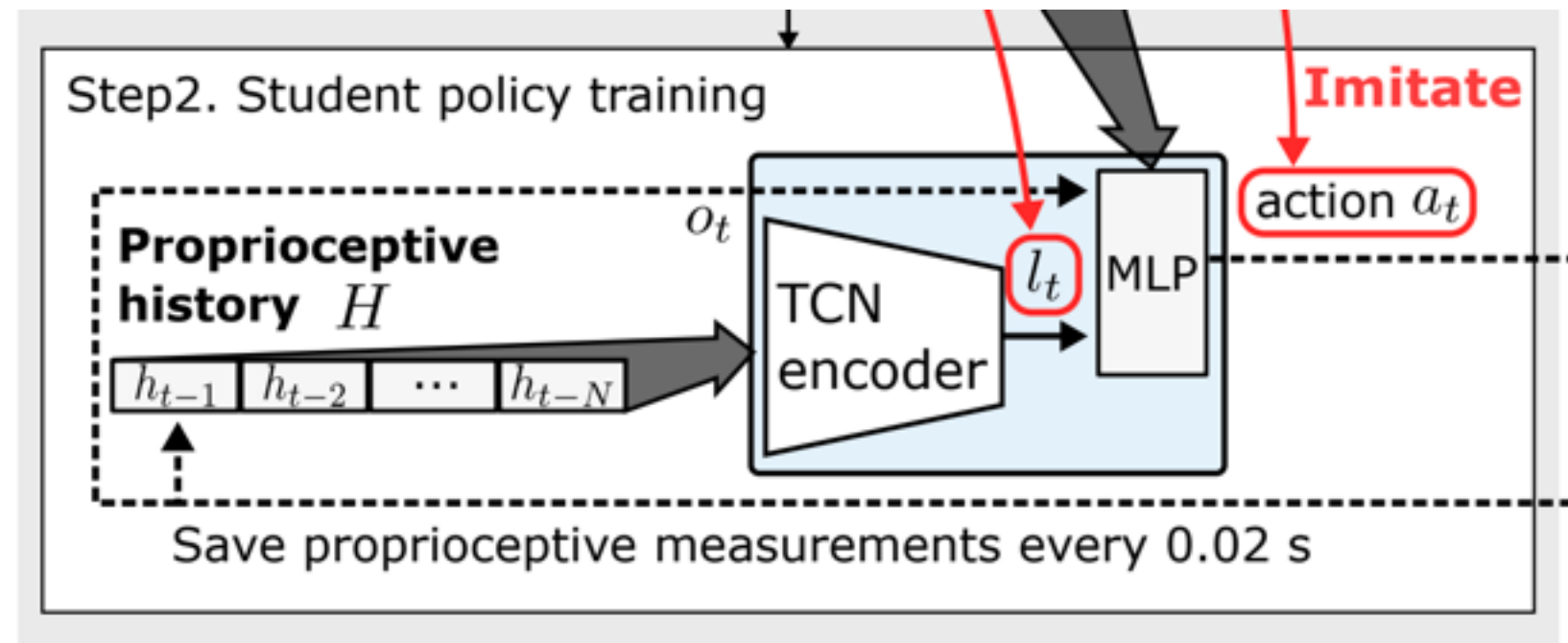
# Privileged Information: UAV Navigation



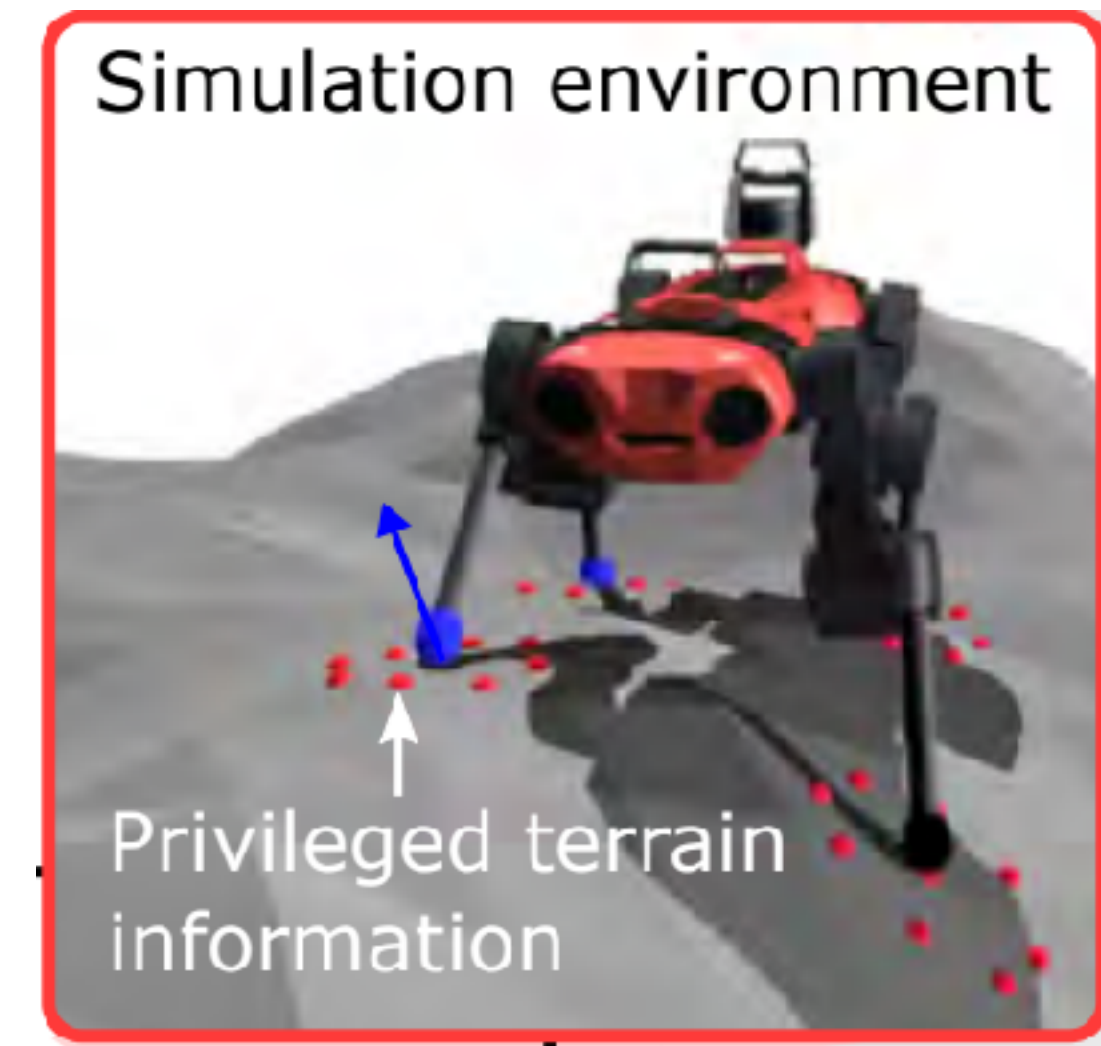
[Zhang et al. 2016]

# Privileged Information: Legged Locomotion

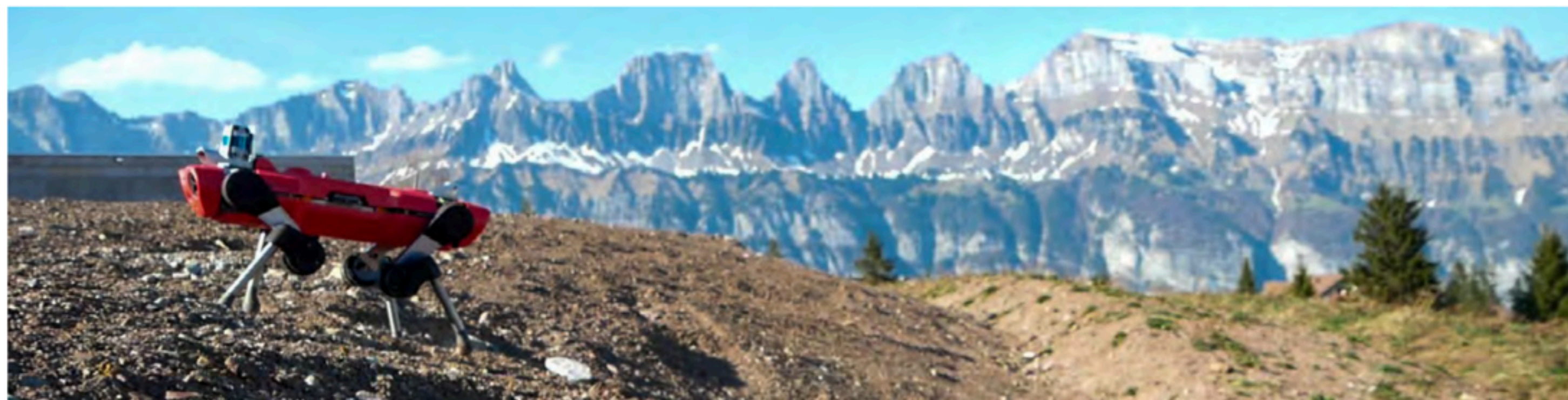
Student Policy



Imitate

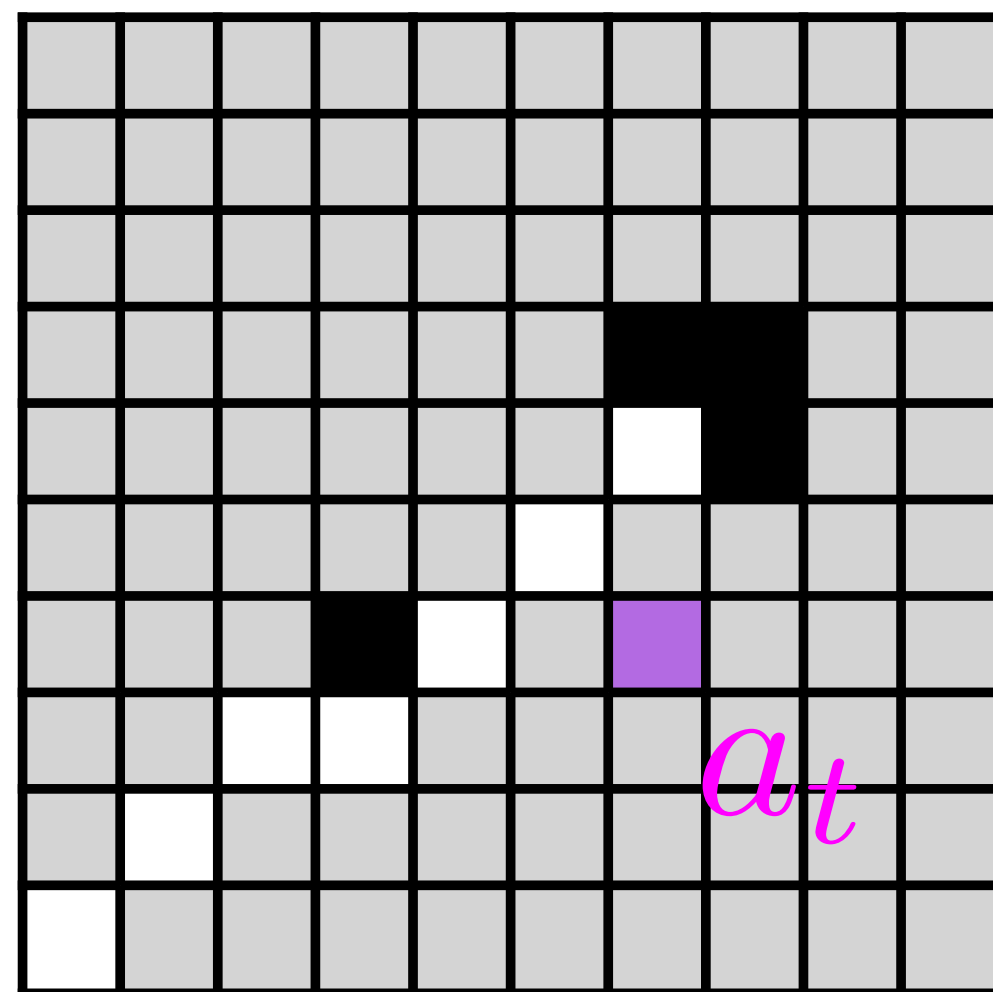


Teacher Policy



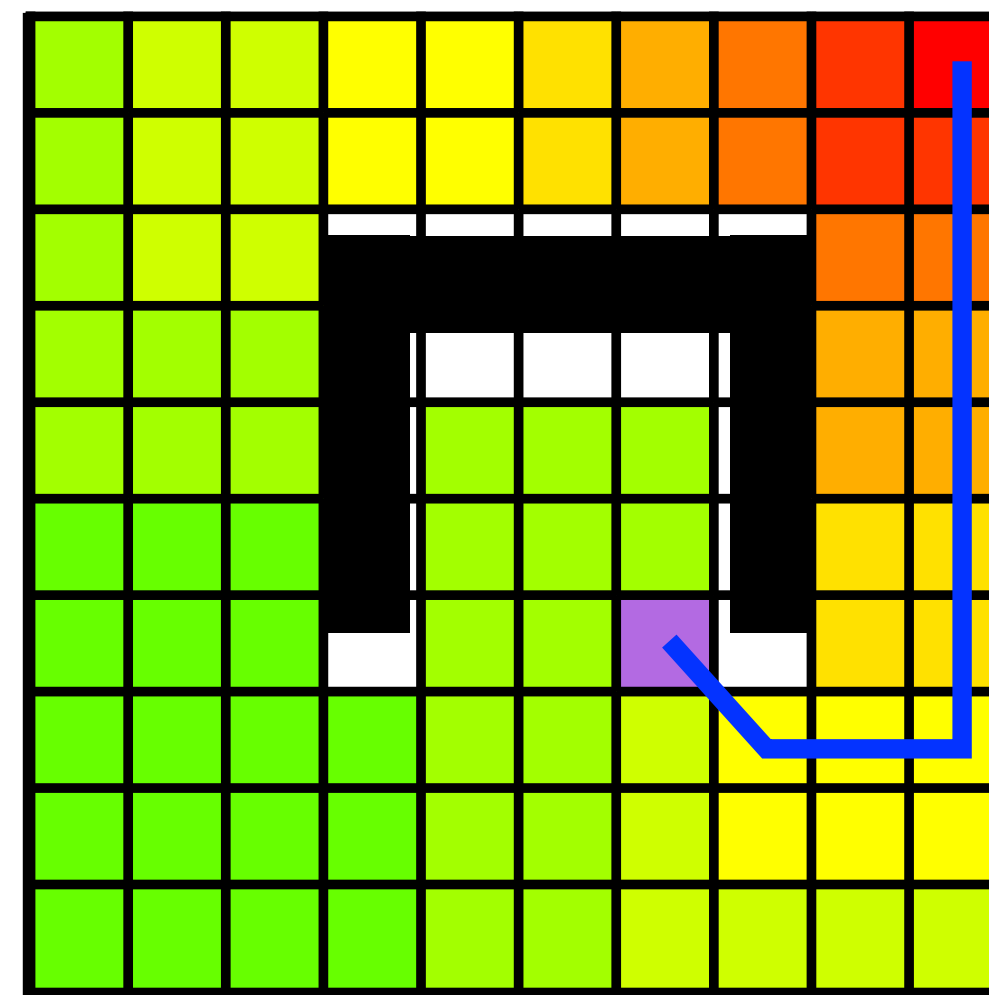
[Lee et al. 2020]

# Privileged Information: Motion Planning

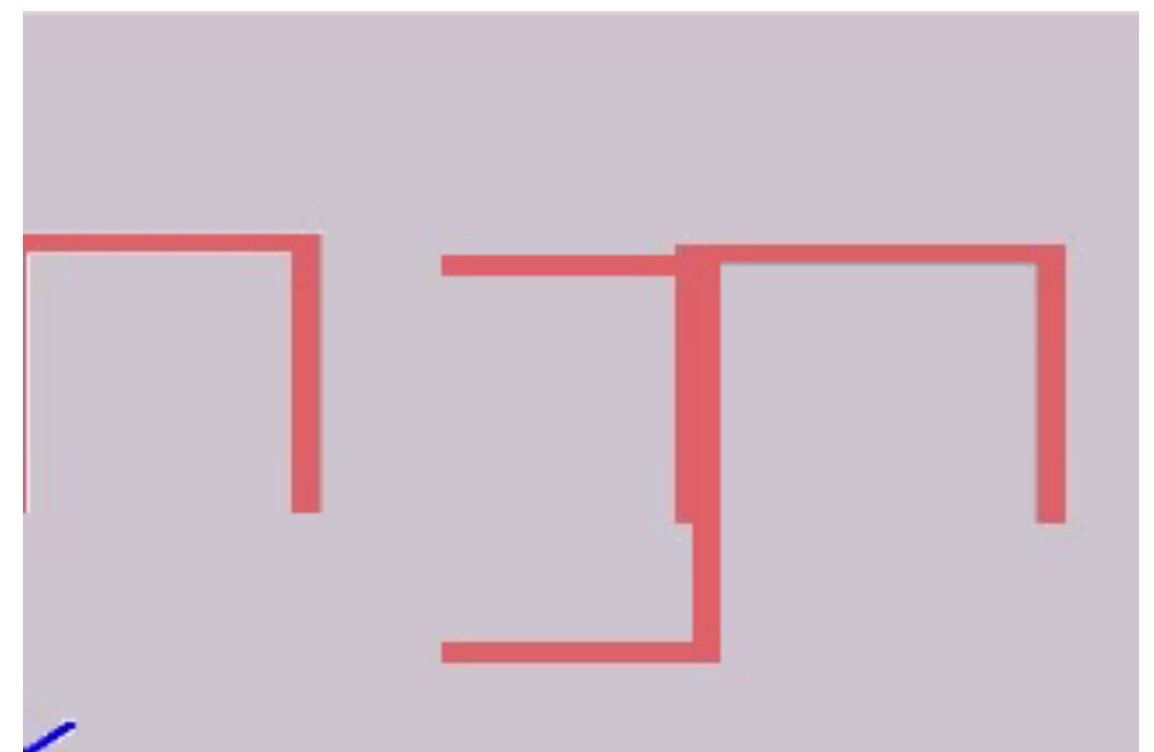
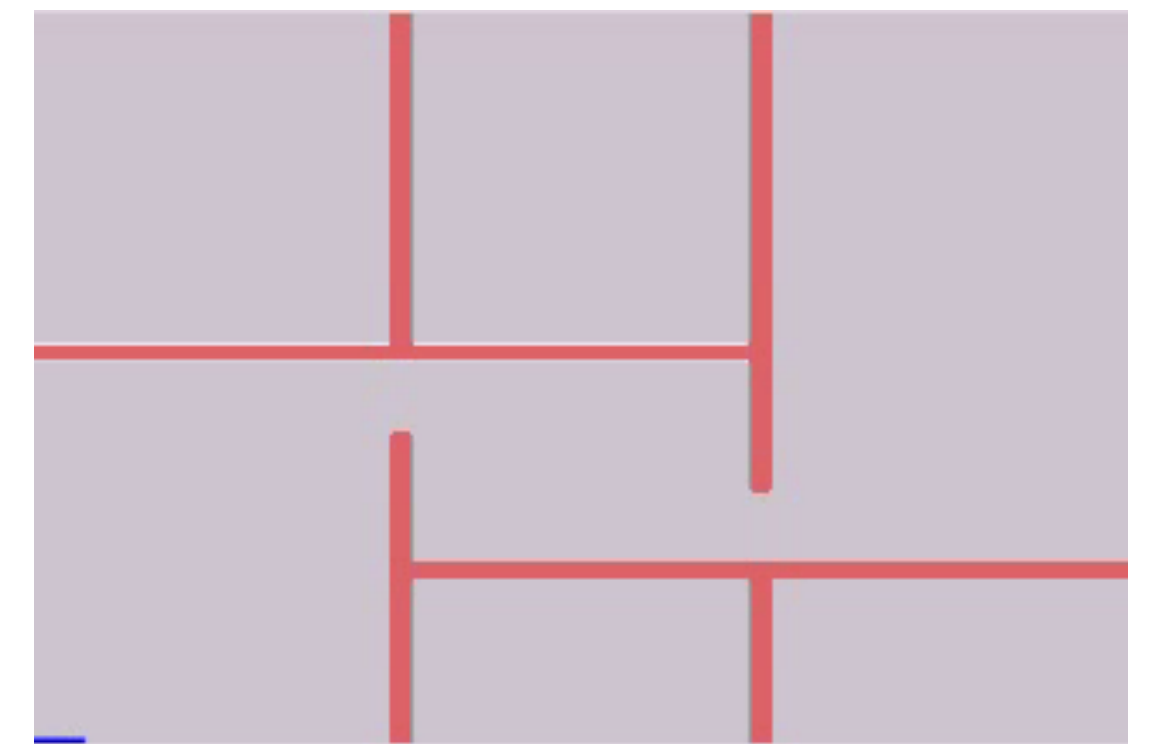
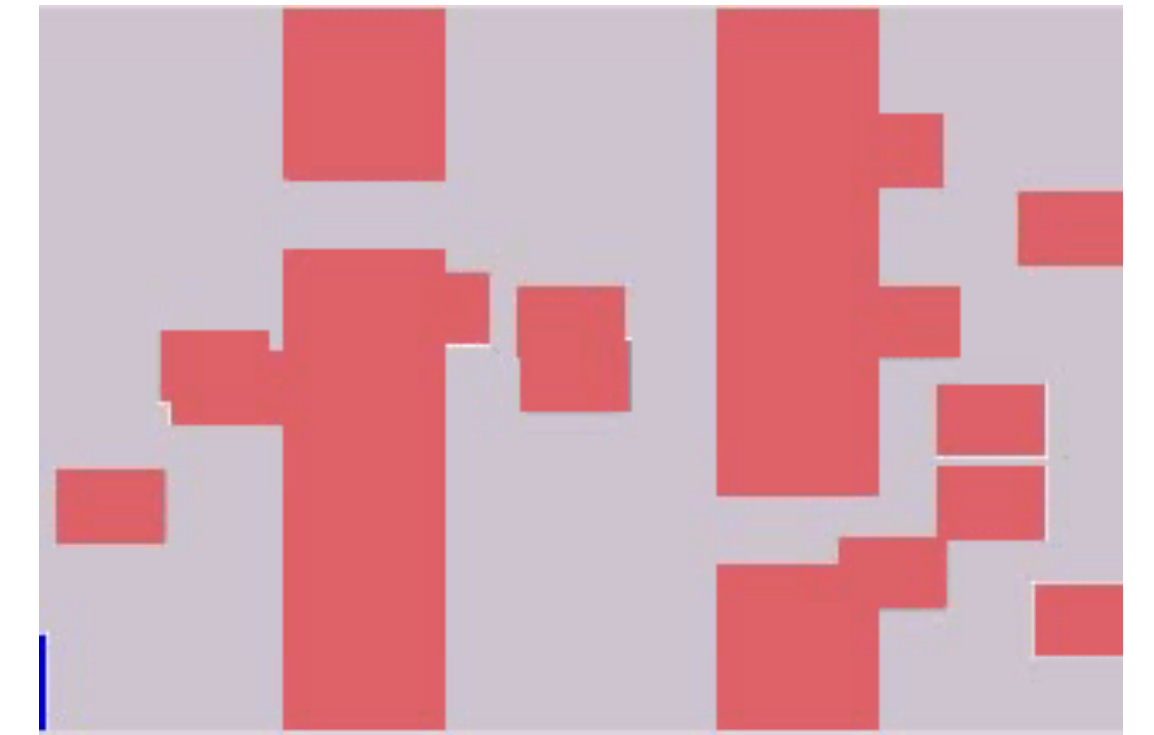


Learned  
Search Heuristic

Imitate

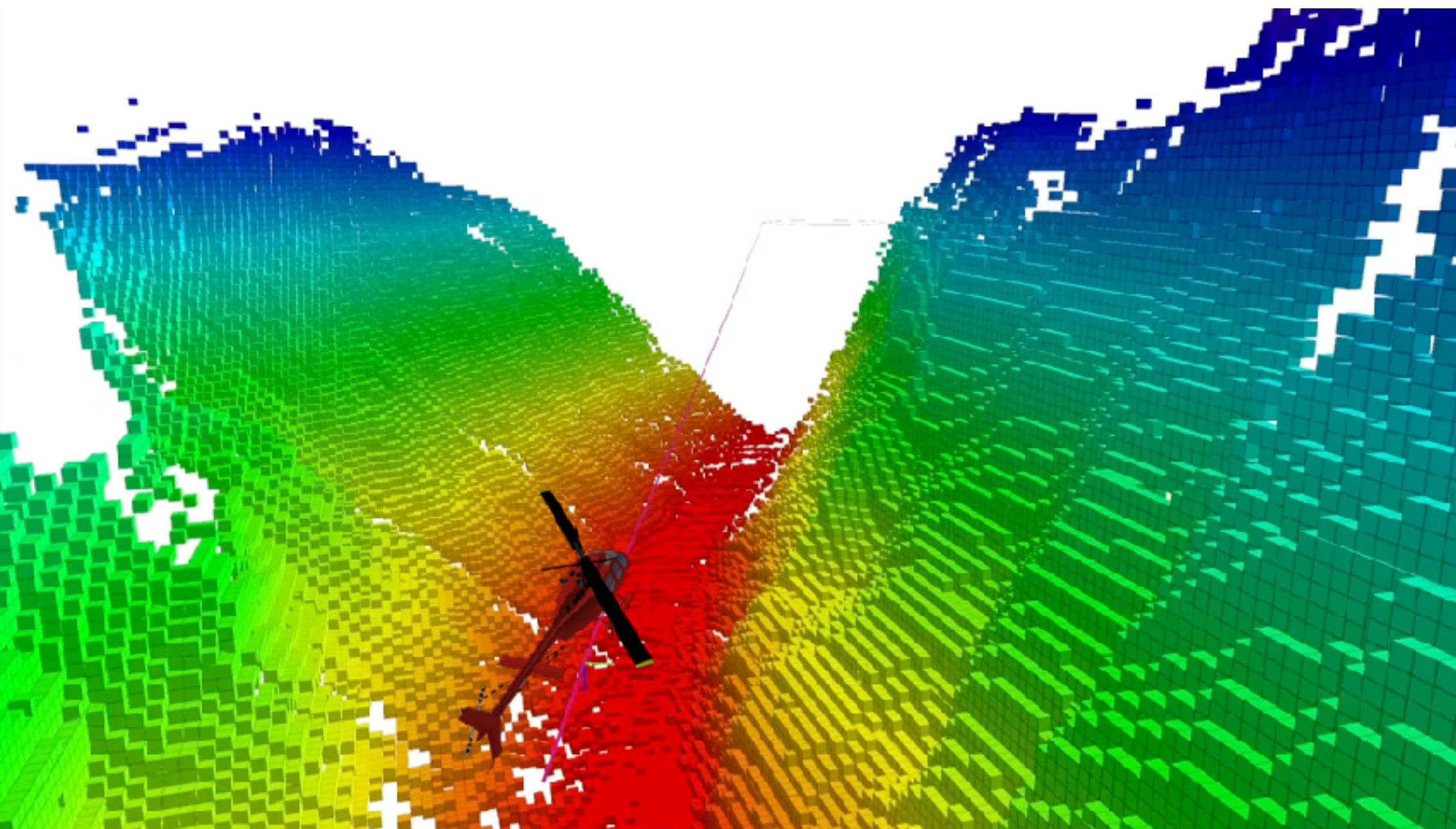


Optimal  
Value Function

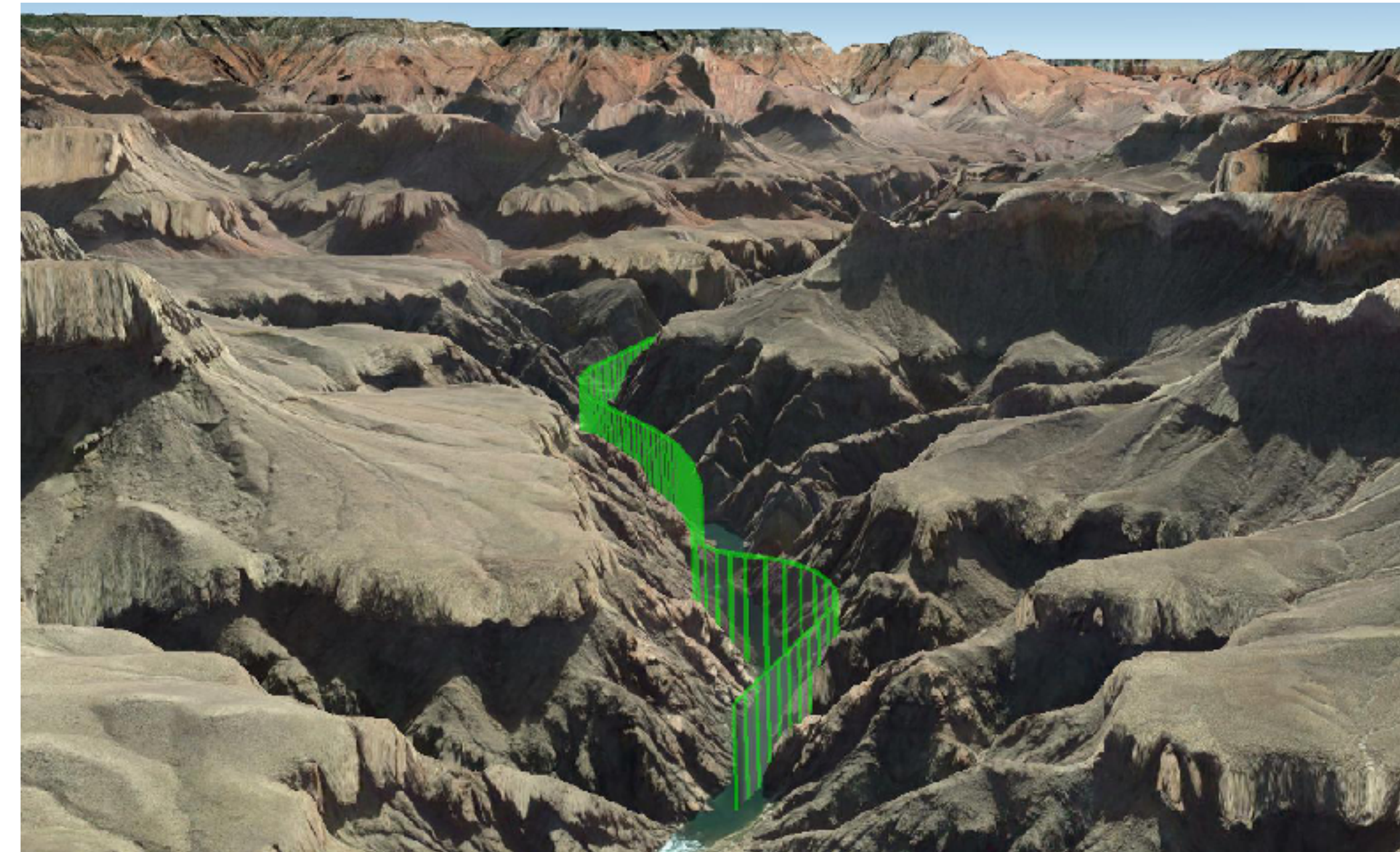
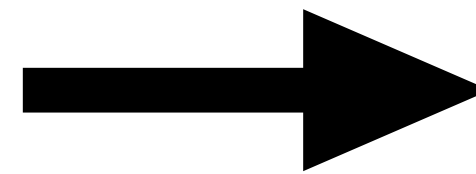


[Choudhury et al. '2018]

# Privileged Information: Motion Planning



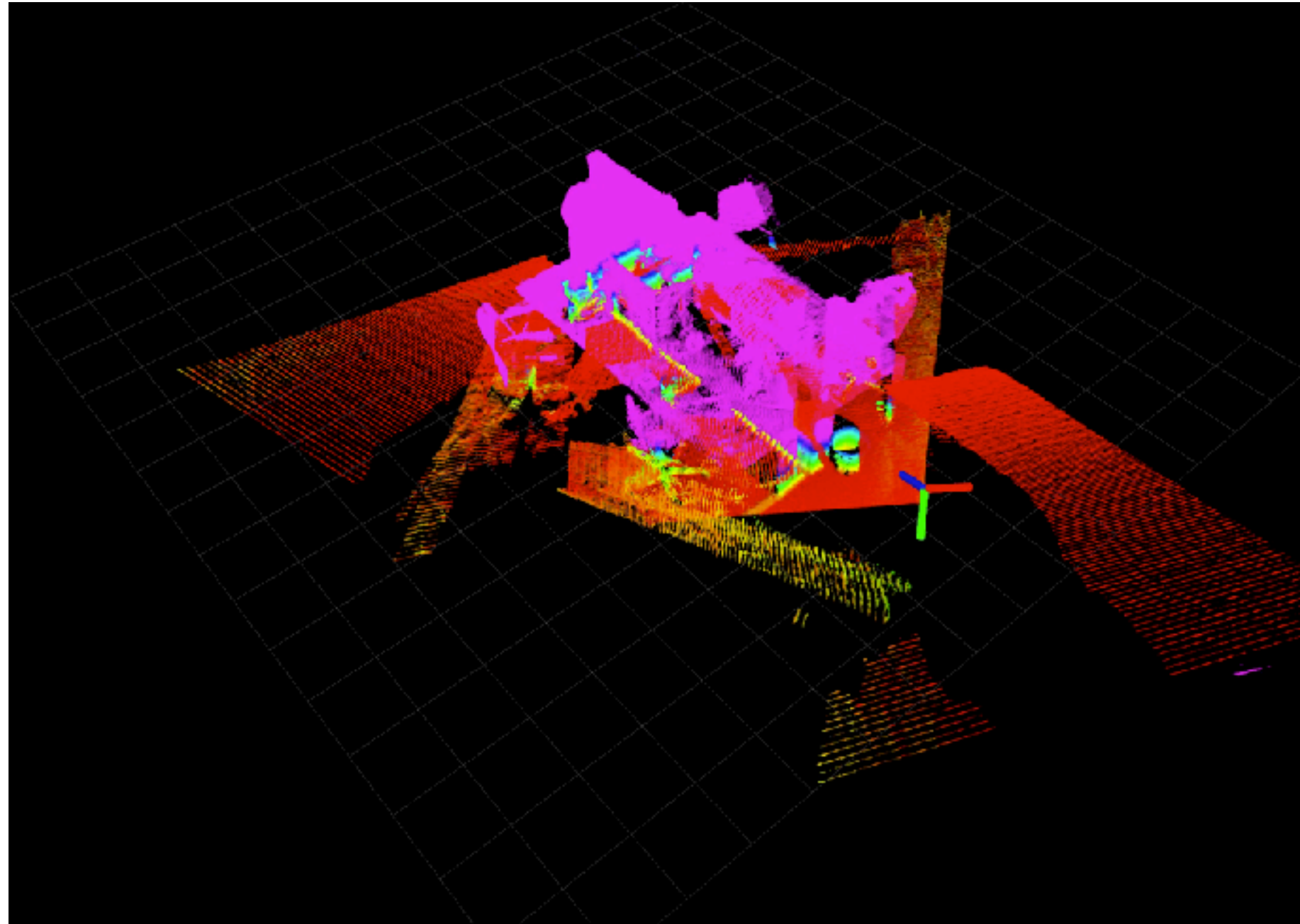
Imitate



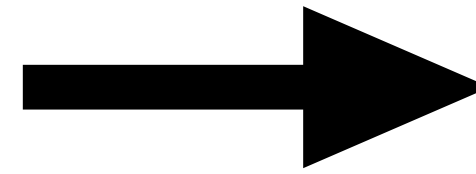
[Choudhury et al. '2018]



# Privileged Information: 3D Mapping

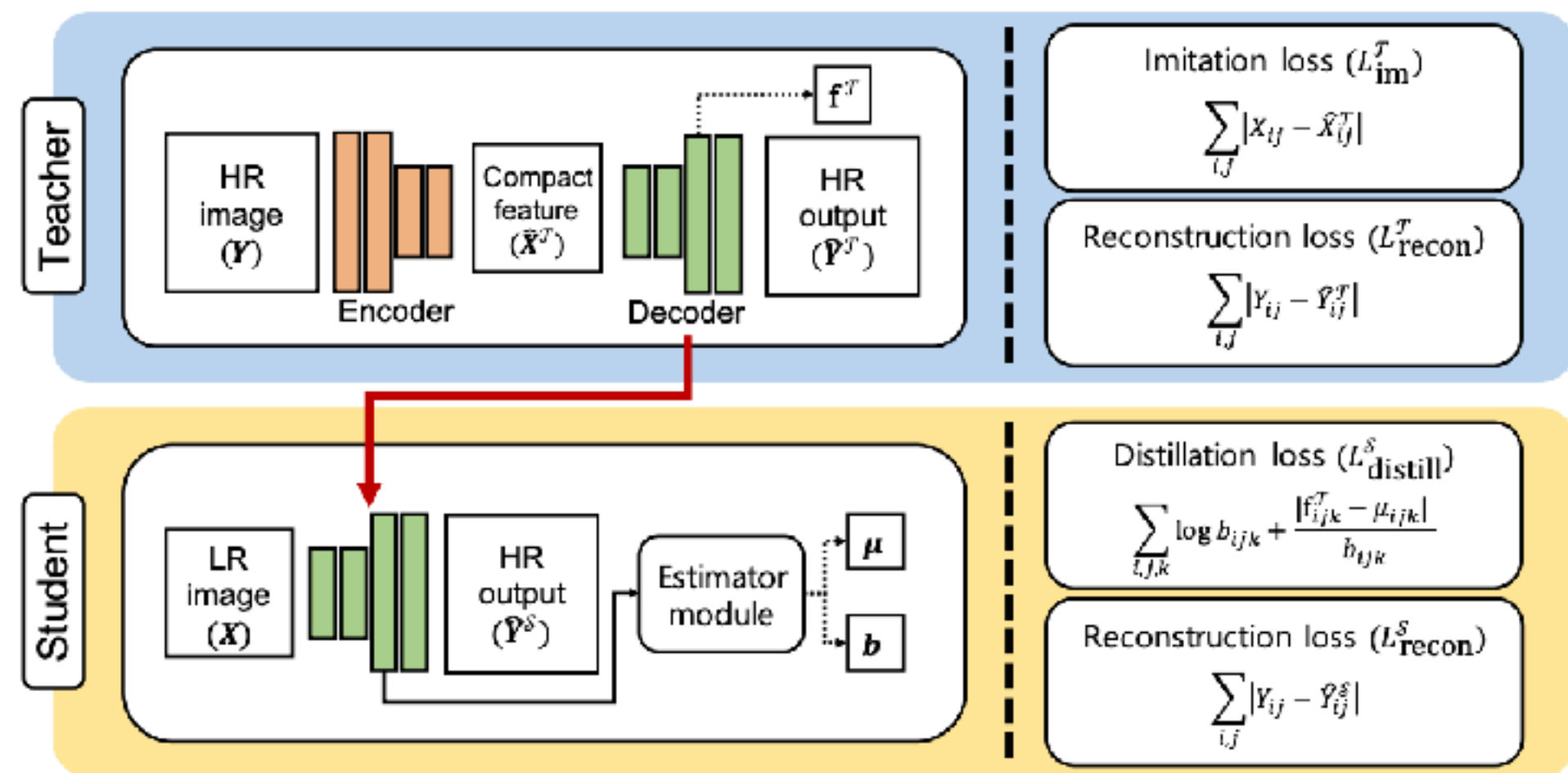


Imitate



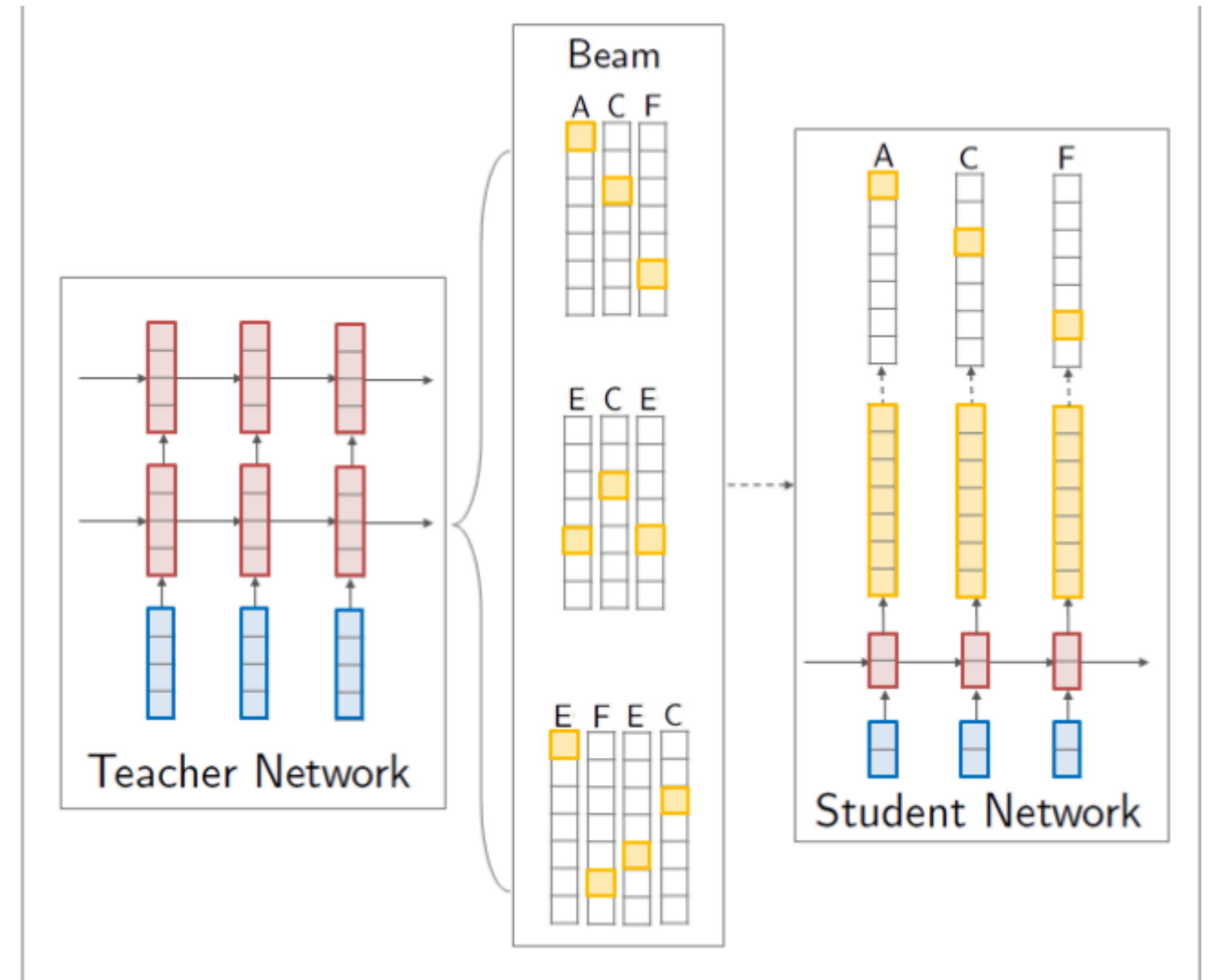
[Choudhury et al. '2016]

# Privileged Information: Outside of robotics



## Distillation in Computer Vision

[Lee et al 2020]



## Distillation in NLP

[Kim and Rush 2019]

# Today's class

☑ What are the challenges with sim2real?

Case study: OpenAI Dactyl Hand

☑ Teacher- $\rightarrow$ Student distillation

Case study: Visual Dexterity

☑ Imitation Learning with Privileged Information