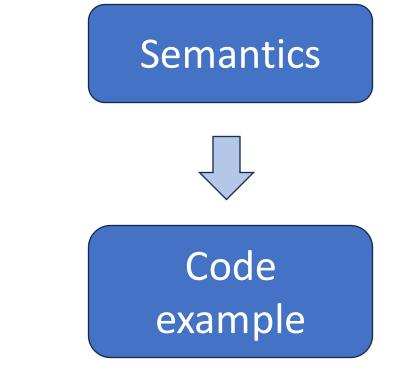
# CS4414 Recitation 11 Multithreading and Synchronization III

11/08/2024 Alicia Yang

# Multithreading

#### • Threads management

- Launching threads
- Threads completion
- Synchronization
  - Race condition
  - Atomic
  - Mutex
  - Locks
  - Condition variable



# Recap





- How does mutex work?
  - Before accessing a shared data structure, you lock the mutex associated with that data
  - When finished accessing the data structure, you unlock the mutex.







exclusive, non-recursive ownership

- A thread owns the mutex from the time when it call lock() until it calls unlock()
- The Thread Library then ensures that once one thread has locked a specific mutex, all other threads that try to lock the same mutex have to wait until the thread that successfully locked the mutex unlocks it.



## Locking

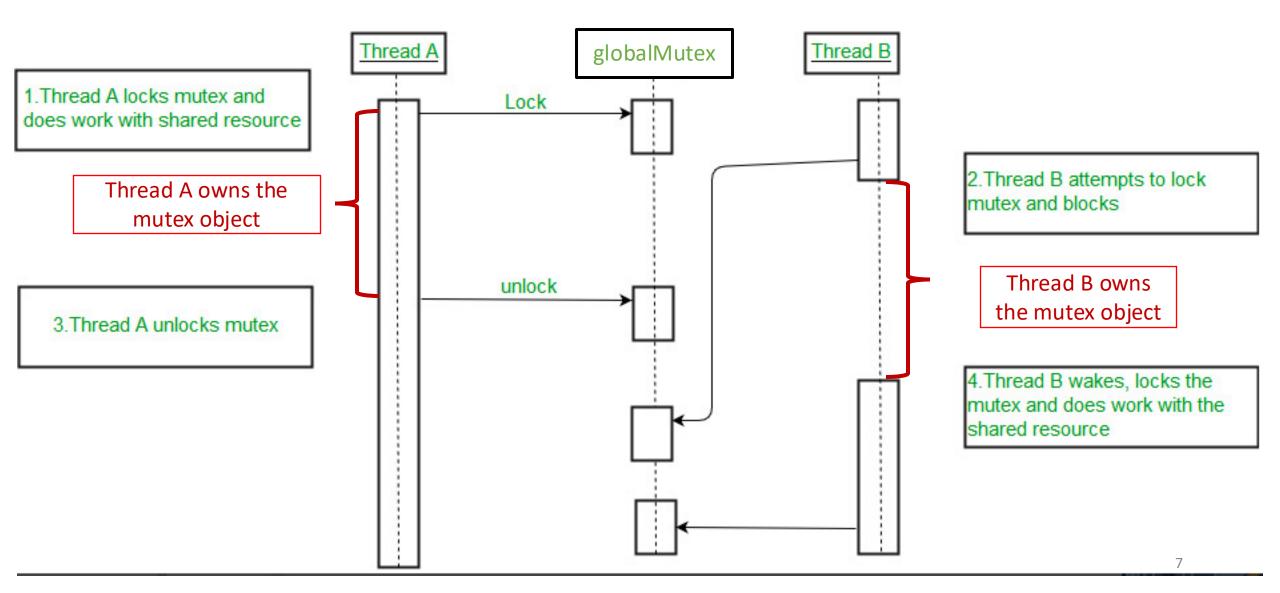
#### ---std::mutex::lock(), unlock()

1 2	int global_num = 0; std::mutex globalMutex;
3 4 5 6 7	<pre>void incre(int num){     globalMutex.lock();     global_num = global_num + 1;     globalMutex.unlock(); }</pre>
8 9 10 11 12	int main(){ std::thread threadA(incre, 10); std::thread threadB(incre, 10); threadA.join(); threadB.join(); }

Only one thread could enter line 5 at a time

#### Mutex and Lock in C++







#### ---std::mutex::lock(), unlock()



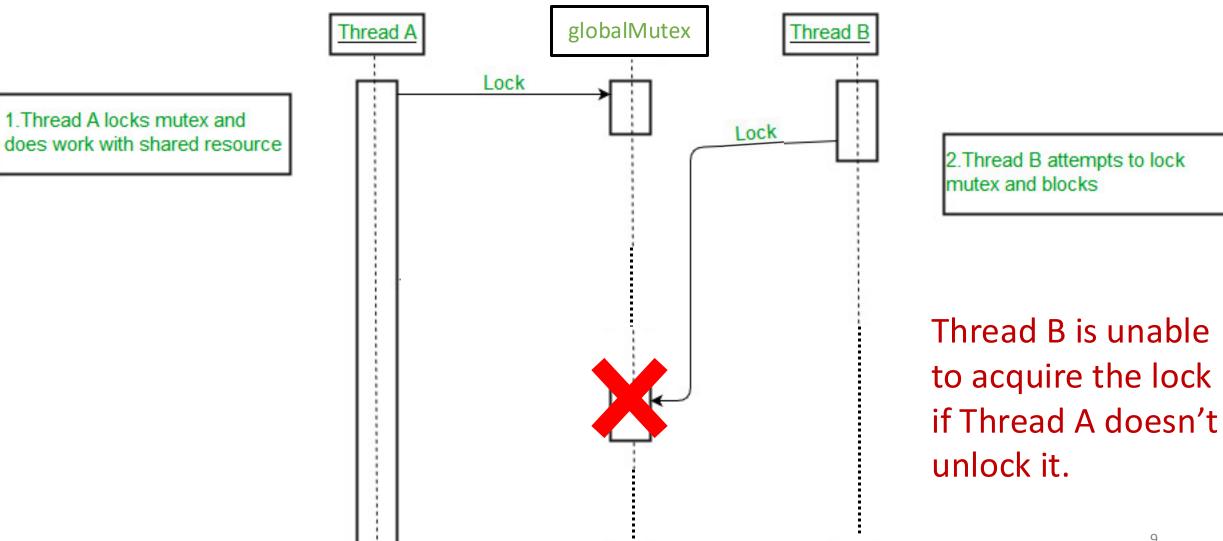
int global\_num = 0; std::mutex globalMutex;

void incre(int num){
 globalMutex.lock();
 global\_num = global\_num + 1;
 globalMutex.unlock();

int main(){

std::thread threadA(incre, 10); std::thread threadB(incre, 10); threadA.join(); threadB.join(); Now, what will happen, if I forget to call mutex.unlock()?

## Mutex and Lock in C++



# Mutex and Lock in C++



• A Mutex is a lock that we set before using a shared resource and release after

using it.

- When the lock is set by one thread, then **no other thread** can access the locked region of code.
- Mutex lock could only be released by the thread who locked it.



- std::mutex::lock(), unlock()
  - It is **not recommended** practice to call lock(), unlock() directly,

because this means that you have to remember to call **unlock()** on every code path out of a function that called lock(), including those due to exceptions.

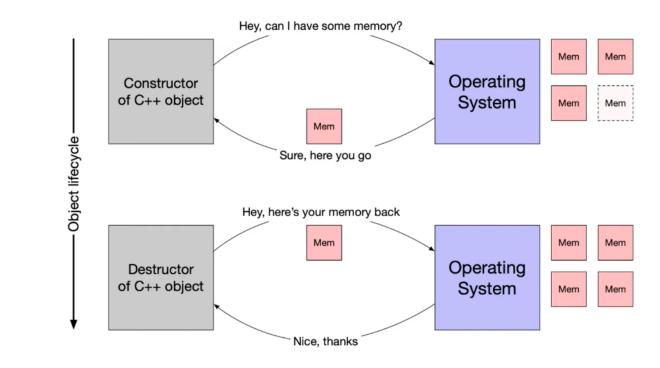
- Resource acquisition must succeed for initialization to succeed:
  - In RAII, holding a resource is a class invariant is tied to object lifetime: resource allocation is done during object creation, by the constructor; while resource deallocation is done during object destruction, by the destructor.

- If there are no object leaks, there are no resource leaks.
  - The resource is guaranteed to be held between when initialization finishes and finalization starts, and to be held only when the object is alive.

```
// problem #1
  int *arr = new int[10];
   // arr goes out of scope but we didn't delete it, we now have a memory leak 😥
// problem #2
   std::thread t1( [] () {
         // do some operations
   });
                        // thread t1 is created but not joined, if it goes out of scope, std::terminate is
                        called, this implementation doesn't properly handle the thread's life cycle 😟
// problem #3
Std::mutex globalMutex;
Void func() {
   globalMutex.lock();
      // if we never unlocked the mutex(or exception occurred before unlock),
                                                                                                       13
   it will cause a deadlock when other thread tries to acauire this lock (\mathbf{x})
```

```
// problem #1's fix
  int *arr = new int[10];
  delete[] arr;
// problem #2's fix
   std::thread t1( [] () {
         // do some operations
  });
  t1.join();
// problem #3's fix
Std::mutex globalMutex;
Void func() {
   globalMutex.lock(); ....
   globalMutex.unlock();
```

- RAII
  - When acquire resources in a constructor, also need to release them in the corresponding destructor
  - Resources:
    - Heap memory,
    - files,
    - sockets,
    - mutexes





#### ---std::mutex::lock(), unlock()

U



int global\_num = 0; std::mutex globalMutex;

void incre(int num){
 globalMutex.lock();
 global\_num = global\_num + 1;
 globalMutex.unlock();

int main(){

std::thread threadA(incre, 10); std::thread threadB(incre, 10); threadA.join(); threadB.join(); Is there a better ways to manage the mutex that can automatically unlock it when not used?

#### Mutex and RAII locks



- std::unique\_lock
- std::scoped\_lock
- std::shared\_lock

std::mutex my\_mutex;

... ...

... ...

std::unique\_lock<std::mutex> lck(my\_mutex);

std::unique\_lock<std::mutex> lck(my\_mutex);
 ... ...

std::shared\_mutex shared\_mutex;
{

std::shared\_lock<std::mutex> lck(shared\_mutex);



---unique\_lock

- A unique lock is an **object** that **manages a mutex object** with unique ownership in both states: locked and unlocked.
- RAII: When creating a local variable of type std::unique\_lock passing the mutex as parameter.
  - On construction, the object acquires a mutex object, for whose locking and unlocking operations becomes responsible.
  - This class guarantees an unlocked status on destruction (even if not called explicitly).
- Features:
  - Deferred locking, Timeout locks, adoption of mutexes, movable(transfer of ownership)



---unique\_lock

```
global_num = 0;
1
    int
    std::mutex
                  globalMutex;
2
    void incre(int num){
3
            std::unique_lock<std::mutex> u_lock(globalMutex);
4
            global_num = global_num + 1;
5
                                                                             Only one
6
            ...
                                                                            thread could
7
                                                                           enter line 5-7
                                                                             at a time
    int main(){
8
            std::thread t1(incre, 1);
9
            std::thread t2(incre, 3);
10
            t1.join();
11
            t2.join();
12
                                                                                 19
    ••• (
```

## Locking

---unique\_lock

Unique\_lock feature: Deferred locking

std::mutex mtx;

```
void conditional_locking(bool should_lock) {
```

// Create lock but do not acquire it

std::unique\_lock<std::mutex> lock(mtx, std::defer\_lock);

if (should\_lock) {

lock.lock(); // Conditionally acquire the lock
std::cout << "Lock acquired." << std::endl;</pre>

} else {

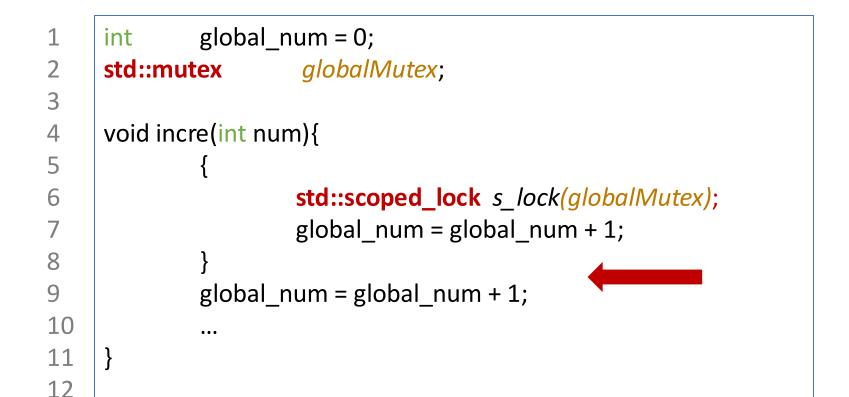
```
std::cout << "Lock not acquired." << std::endl;</pre>
```

```
int main() {
    std::thread t1(conditional_locking, true);
    std::thread t2(conditional_locking, false);
    t1.join();
    t2.join();
    return 0;
}
```



---scoped\_lock

- Scoped\_lock: a mutex wrapper which obtains access to (locks) the provided mutex, and ensures
  - it is unlocked when the scoped lock goes out of scope





---shared\_lock

• std::shared\_lock allows for shared ownership of mutexes.

```
std::shared_mutex mtx;
int global_val;
void print_val (int n, char c) {
  std::shared_lock<std::shared_mutex > lck (mtx);
  std::cout << global_val << std::endl;</pre>
 }
int main () {
   std::thread th1 (print_val);
   std::thread th2 (print_val);
   th1.join();
   th2.join();
```

•

// problem #1

```
int *arr = new int[10];
```

// arr goes out of scope but we didn't delete it, we now have a memory leak 😥

// problem #3

Std::mutex globalMutex;

Void func() {

```
globalMutex.lock();
```

// if we never unlocked the mutex (or exception occurred before unlock), it will cause a deadlock when other thread tries to acquire this lock



**Better fixes** 



```
// problem #1's fix
```

```
std::unique_ptr<int[]> arr(new int[10]);
```

```
••••
```

ĺ

```
// problem #3's fix
```

```
Std::mutex globalMutex;
```

```
Void func() {
```

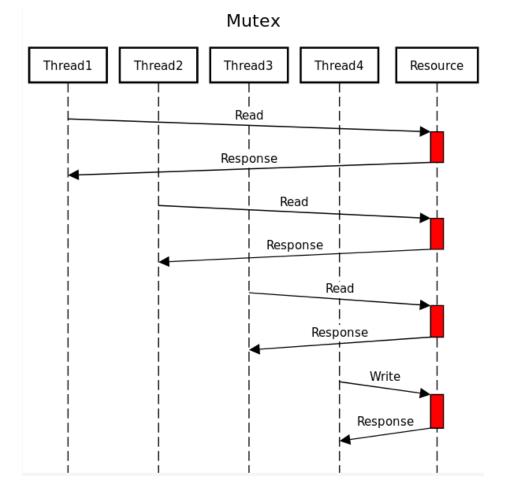
```
std::unique_lock<std::mutex> lock(globalMutex);
```

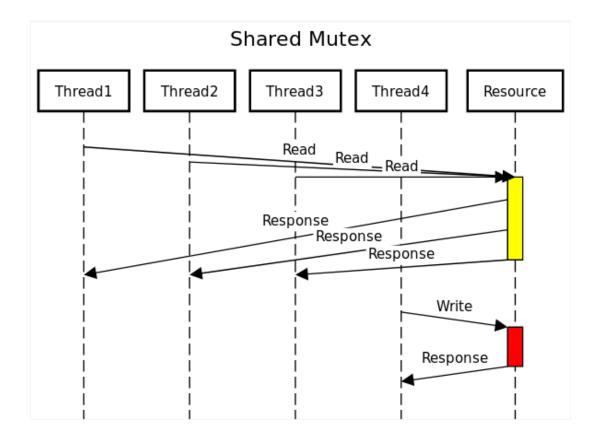
```
••••
```

## Exercise from last time --- RW lock

- Reader-writer lock
  - Single writer or multiple reader ownership

## Exercise from last time --- Why RW lock?



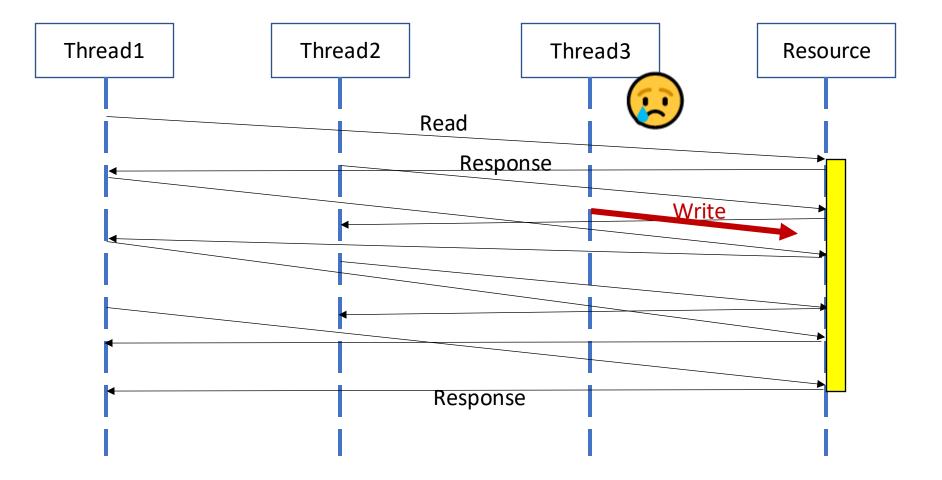




#### Exercise from last time --- RW lock

- Reader-writer lock
  - Single writer or multiple reader ownership
  - Expect higher concurrency when primarily reading
  - std::shared\_mutex

#### What should I do if I want to prioritize the write?

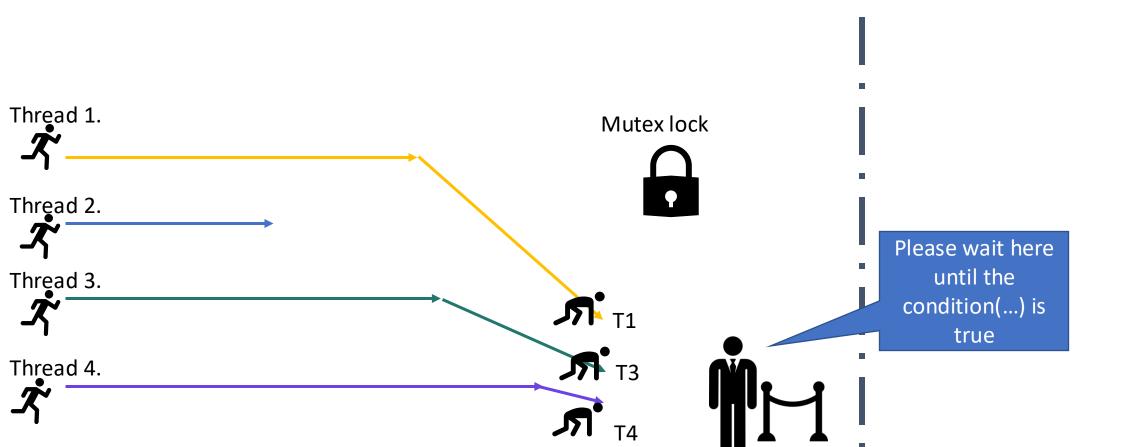


# Multithreading

- Threads management
  - Launching threads
  - Threads completion
- Synchronization
  - Race condition
  - Atomic
  - Mutex
  - Locks
  - Condition variables
  - Futures and promises(async)

Suppose a thread needs to wait for some other threads to do something for it, how would you encode this into the program?

- Two main purpose of condition variable
  - Notify other threads
  - Waiting for some conditions that other thread can change



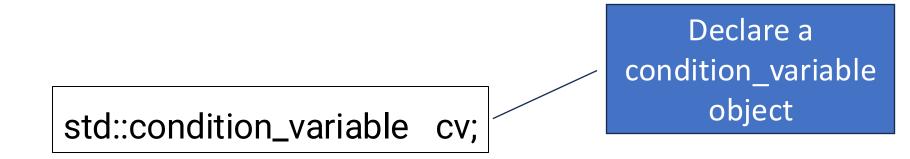
31

- 1. Need mutex to use condition variable
  - Two roles
  - Waiting threads: first acquire the lock, then wait() if condition not satisfied
  - Notifying threads: thread make the changes that can allow other thread's wait condition to true and move on.

--- std::condition\_variable

class condition\_variable;

(since C++11)

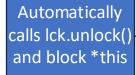


--- std::condition\_variable::wait

- 1. Need mutex to use condition variable
- 2. Condition Variable allows running threads to **wait** on some conditions and once the threads wake up
  - Atomically acquire the lock and check the condition
    - If the condition is satisfied, then it will continue the program
    - If not satisfied, it waits by releasing the lock, and goes back to waiting

#### Two types of wait functions for condition variable

<pre>void wait( std::unique_lock<std::mutex>&amp; lock );</std::mutex></pre>	(1)	(since C++11)
<pre>template&lt; class Predicate &gt; void wait( std::unique_lock<std::mutex>&amp; lock, Predicate pred );</std::mutex></pre>	(2)	(since C++11)



#### Unconditional wait(lock)

#### std::mutex mtx;

```
std::condition_variable cv;
```

int main(){

....

```
std::unique_lock<std::mutex> lck(mtx);
cv.wait(lck);
```

#### predicate wait(lock, pred) -

Equivalent to while (!pred()) wait(lock);

35

std::mutex mtx;

```
std::condition_variable cv;
```

```
int current_balance = 0;
```

int main() {

....

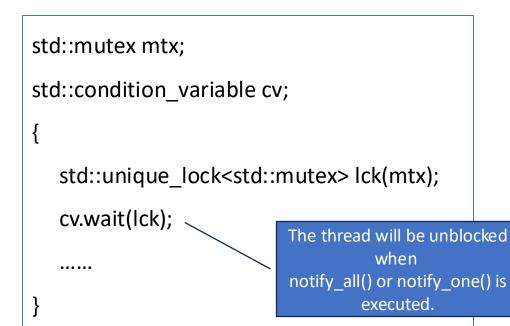
std::unique\_lock<std::mutex> lck(mtx);

cv.wait(lck, [] { return current\_balance != 0; });

#### Two types of wait functions for condition variable

To avoid the affect of spurious wake ups, always use predicate wait() !

#### Unconditional wait(lock)



#### predicate wait(lock, pred)

std::mutex mtx;

std::condition\_variable cv;

int current\_balance = 0;

int main() {

....

std::unique\_lock<std::mutex> lck(mtx);

cv.wait(lck, [] { return current\_balance != 0; });



- When a thread calls the member function wait() on a condition variable
  - The execution of the current thread (which currently has the locked's mutex) is blocked until notified.
  - When the thread is blocked, the function automatically calls unlock(), allowing other threads to acquire the lock and continue.

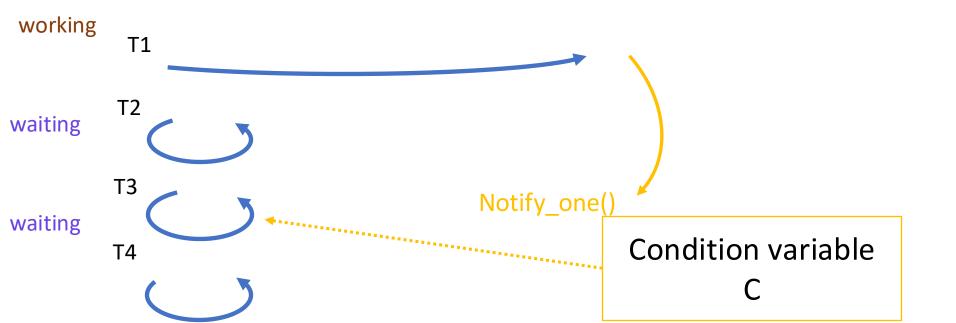
- The wait function performs three atomic operations:
  - The initial unlocking of mutex and simultaneous entry into the waiting state.
  - The unblocking of the waiting state.
  - The locking of mutex before returning.



- 1. Need mutex to use condition variable
- 2. Condition Variable allows running threads to wait on some conditions
- 3. The waiting thread(s) is notified by working thread using:
  - notify\_one();
  - notify\_all();

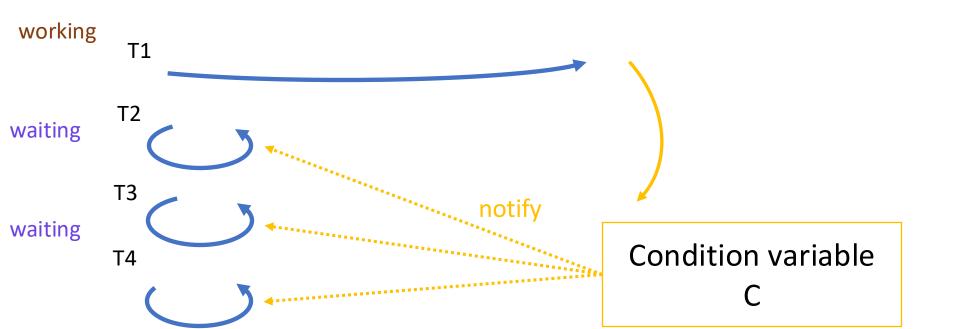


- The waiting thread is notified by working thread using:
  - notify\_one():
    - Unblocks one of the threads currently waiting for this condition.
    - If no threads are waiting, the function does nothing.
    - If more than one, it is unspecified which of the threads is selected.



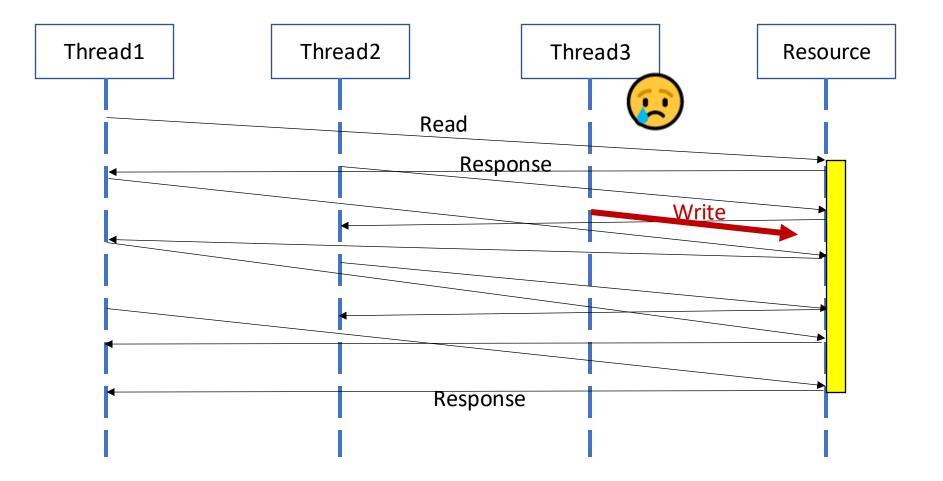


- The waiting thread is notified by working thread using:
  - notify\_all():
    - Unblocks all threads currently waiting for this condition.



- 1. Each thread first acquire the mutex lock
- 2. Then check the condition in wait()
- 3. Waiting thread(s) is notified by working thread
- 4. When thread(s) waiting at the condition variable gets notified,
  - it first try to acquire the lock of mutex
  - Check the condition, the thread will not go further until the condition is true:
    - if it is true, then go further;
    - if it is not, it will again wait for the condition variable

### What should I do if I want to prioritize the write?





#### Exercise from last time --- RW lock

- Reader-writer lock
  - Single writer or multiple reader ownership
  - Expect higher concurrency when primarily reading
  - std::shared\_mutex
  - Read/write preference

# Multithreading

- Threads management
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  - Locks
  - Condition variables
  - Futures and promises(async)

# **Promises and futures**

- What are promises and futures?
- How to use them in C++?

### **Futures and Promises**

- Why future and promise?
  - A way to pass values between threads without synchronization, such as locking a mutex.
- When to use?
  - When some operations produce results take some time, or do not need to be executed in a particular order
  - Reading or writing data:
    - Reading large files from disks
    - Web service calls over HTTP
    - Reading data from a Socket
    - Database queries
    - Responsive user interface
    - Distributed systems
  - Run a program(function) asynchronously



- Class template object: a facility to store a value or an exception that is later acquired asynchronously via a std::future object std::promise<T> my\_promise;
- Promise object has an associated future object, which is automatically instantiated when a promise is created.
   std::future<T> my\_future = my\_promise.get\_future();
- The constructed future will only be valid when the promise fills in the data
- Promise object guarantees that the future object will return the result when the set\_value function is called on it by the computing thread



- Class template object: provides a mechanism to access the result of asynchronous operations
   std::future<T> my\_future = ....;
- Future is a read-only object containing data
  - The data may not be available or computed in the present
  - The data is promised to be available in the future
- get() method is the main purpose of the future object
  - Calling get() will block the current thread until the data is available
  - get() will either returns a value or throws an exception.

#### How do futures and promises work?

- 1. Construct a promise object
- 2. Get the future object from the promise
- 3. Move the promise to another thread/function.
- 4. When the function has completed
  - 1. Place the return value or exception in the promise
  - 2. The future becomes valid or available
- 5. Call get() on the future object to retrieve the data

std::promise <int> pObj;</int>	
std::future <int> fObj=pObj.get_future();</int>	
std::thread thread_A(fun,std::move(pObj));	
pObj. <mark>set_value(</mark> 42);	
fObj. <mark>get()</mark>	
40	

### Why do we separate the future and promise classes?

- Encapsulate the two sets of functionalities
  - Promise: used by the function to compute the value, and store the value/exception in the future.
    - --- set\_value() method
  - Future: used to retrieve the value being computed
     --- get() method
- Works well when different threads have different tasks



- Abstraction of calling a function in a different thread
- The async function will be executed in a separate thread. Main program does not wait for the async function to complete
- std::async automatically sets up the Future/Promise
- Return the future object right away
- At some pointer later when the function complete, the returned future will be valid

### Async

```
#include <iostream>
#include <future>
bool is_prime(int x)
          ... ...
          Return true;
}
int main()
{
          std::future<bool> fut = std::async(is_prime,321);
          bool ret = fut.get(); // waits for is_prime to return
          return 0;
1
```

### Futures and promises

- Problem:
  - No way to notify the other thread when finished
  - Get() method is blocking
  - Non-blocking
    - Alternative 1. use wait\_for(std::chrono::second(0)) on the future
    - Alternative 2. use concurrency extension in c++20

```
std::future_status status;
while (status != std::future_status::ready) {
    status = future.wait_for(std::chrono::seconds(0));
    if (status == std::future_status::ready)
        {
        std::cout << "ready!\n";
    }
}
```

## Where to find the resources?

- RW Lock: <a href="https://www.youtube.com/watch?v=KJS3ikoiLso">https://www.youtube.com/watch?v=KJS3ikoiLso</a>
- Condition Variable:
  - <u>https://www.cplusplus.com/reference/condition\_variable/condition\_variable/wait/</u>
- Future and promise:
  - <u>https://www.cplusplus.com/reference/future/async/</u>
  - <u>https://en.cppreference.com/w/cpp/thread/future/wait\_for</u>