

# Semaphores (con't) (9/19/2019 CS4410 F. Schneider) ①

## Synchronization

- atomic load & store (only)  
Peterson's mutual exclusion
- interlock instructions  
TS (test & set)
- semaphores

general semaphores  $0 \leq \text{sem}$

binary semaphores  $0 \leq \text{sem} \leq 1$

$P(\text{sem})$ :  $\langle \text{await } \text{sem} > 0 \text{ then } \text{sem} := \text{sem} - 1 \rangle$

$V(\text{sem})$ :  $\langle \text{sem} := \text{sem} + 1 \rangle$

- locks vs binary semaphores

acquire (l) vs  $P_b(\text{sem})$

release (l) vs  $V_b(\text{sem})$

# Classic Problems

(2)

Critical section / mutual exclusion  
selective mutual exclusion.  
k-mutual exclusion.

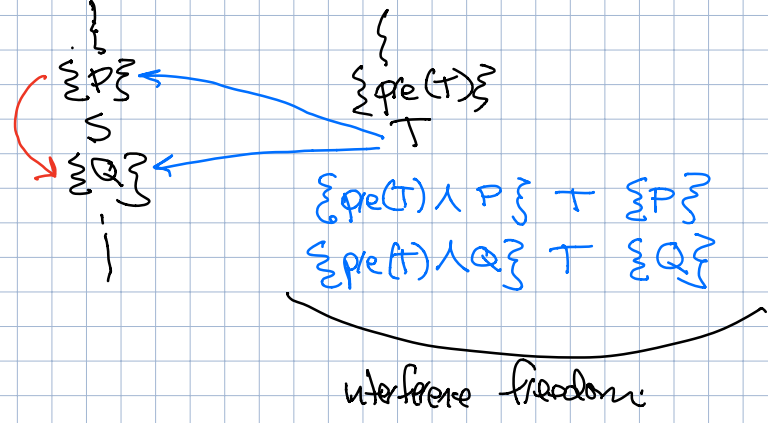
Bounded Buffer

N producers / consumers

1 producer | 1 consumer

# Techniques

- Assertions characterize state.



- Encode "conditions" to await as simple value

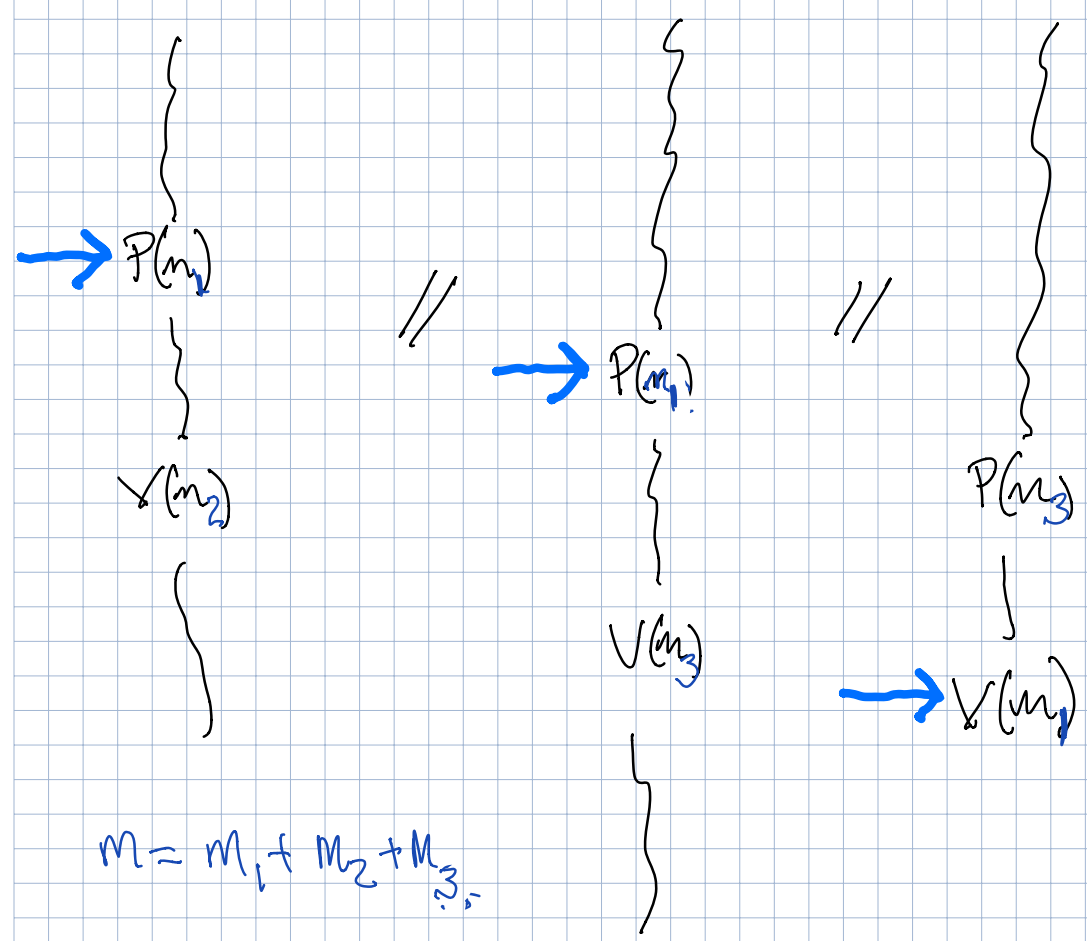
E.g. await  $N - (a-r) > 0$   
 vs  $P(\text{slots})$   
 where  $I: \dots \text{slots} = N - (a-r)$

- 'split binary semaphores'

← today!

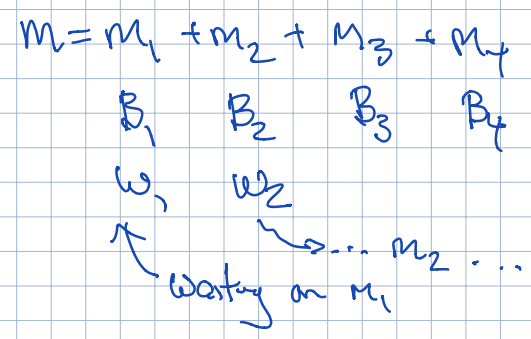
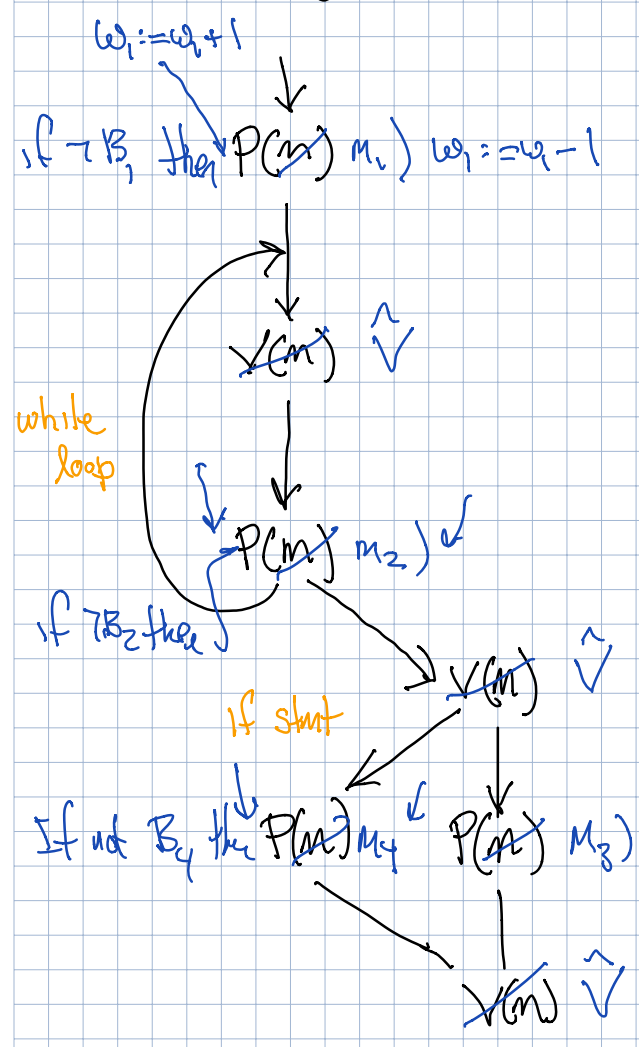
(4)

$V(m)$  causes some process delayed on  $P(m)$  to resume. Choice is non-deterministic.



Program execution as an alternating sequence of  $P(m)$ ,  $V(m)$  operators.

Example program flow:



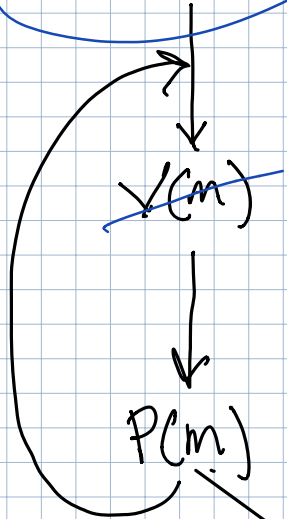
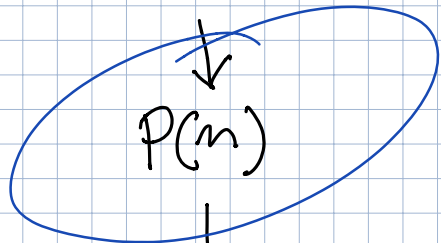
$\checkmark$ : does a  $V(m_i)$  where  $B_i$  holds & some process is waiting.

$P(m) \rightarrow P(m_i)$   
 $V(m) \rightarrow \checkmark$

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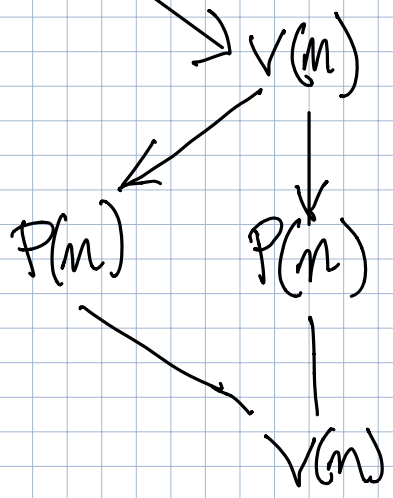
FC )

if  $B_n$  then  
 $w_x := w_x + 1$   
 $\cdot P(m_i)$



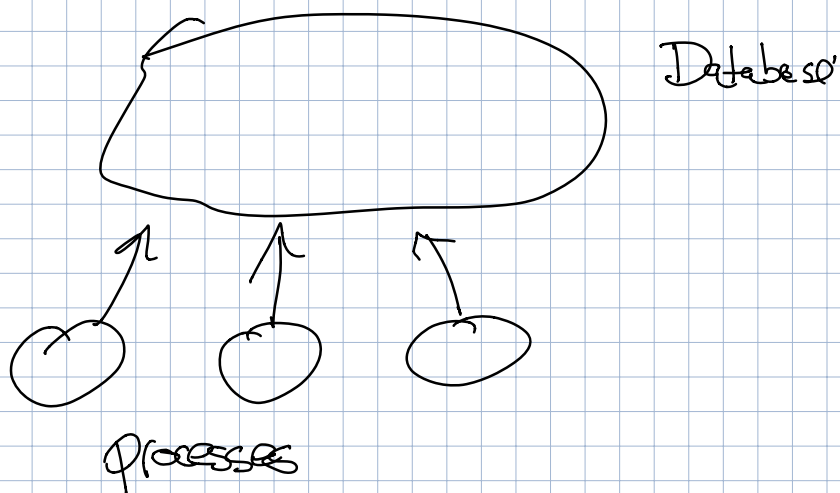
if ...  $B_n \because w_x > 0$  then  
 $w_x := w_x - 1$   
 $\checkmark (m_x)$

else if ...



# Readers/Writers Problem

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Assume "end\_"  
follows "start\_"

⋮  
StartRead  
Read  
End Read  
⋮

⋮  
StartWrite  
write  
EndWrite

Readers exclude writers (but not readers)  
Writers exclude writers and readers

# Readers/Writers Problem

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Def

$r$ : # of current readers

$w$ : # of current writers

Ino:  $r \geq 0 \wedge w \geq 0 \wedge (w = 0 \vee (w = 1 \wedge r = 0))$



Invariant:  $r \geq 0 \wedge w \geq 0 \wedge (w=0 \vee (w=1 \wedge r=0))$  ④

Start Read ~~await  $w=0$~~   $\downarrow$  if  $w \neq 0$  then  $\downarrow P(sw)$   
 $\{w=0\}$   
 $r := r+1$   $\checkmark$

End Read  $P(m)$   
 $r := r-1$   $\checkmark$

Start Write ~~await  $w=0 \wedge r=0$~~   $\downarrow$  if  $w \neq 0 \vee r \neq 0$  then  $\downarrow P(sr)$   
 $\{w=0 \wedge r=0\}$   
 $w := w+1$   $\checkmark$

End write:  $P(m)$   
 $w := w-1$   $\checkmark$

$\checkmark$  if  $w=0 \wedge r=0 \wedge ww > 0$  then  $\downarrow \checkmark(sr)$   
 else if  $w=0 \wedge wr > 0$  then  $\downarrow \checkmark(sw)$   
 else  $\checkmark(m)$   
 $wr := wr-1$

- ① add assigns to  $r \neq w$
- ② add await to ensure Inv holds
- ③ use semphs for blocking: add P ops
- ④ - add  $\checkmark$  ops to unblock: ques cond
- ⑤ only unblock if waiting; adjust  $ww, wr$  in  $\checkmark$
- ⑥ add mutex to protect vars -  $P(m)$   $\checkmark$

Inv:  $r \geq 0 \wedge w \leq 0 \wedge (w=0 \vee (w=1 \wedge r=0))$  ⑩

StartRead  $P(m)$   
 if  $w \neq 0$  then  $wr := wr + 1$   $\hat{\vee}$   $P(sw)$  ①  
 $\{w=0\}$   
 $r := r + 1$   
 $\hat{\vee}$  ②

EndRead  $P(m)$   
 $r := r - 1$   
 $\hat{\vee}$  ③

StartWrite  $P(m)$   
 if  $w \neq 0 \vee r \neq 0$  then  $w := w + 1$   $\hat{\vee}$   $P(sr)$  ④  
 $w := w + 1$   
 $\hat{\vee}$  ⑤

EndWrite  $P(m)$   
 $w := w - 1$   
 $\hat{\vee}$  ⑥

$\hat{\vee}$ : if  $w=0 \wedge r=0 \wedge w \geq 0$  then  $w := w - 1$   $\vee (sr)$   
 else if  $w=0 \wedge w \geq 0$  then  $wr := wr - 1$   $\vee (sw)$   
 else  $\vee (m)$

# Optimized of $\hat{V}$ given context.

(1)

①  $w \neq 0$  holds  
 $\hat{V} \rightarrow \checkmark(m)$

②  $r \neq 0$  holds so delete

$\hat{V}$ : if  $w=0 \wedge r=0 \wedge w_w > 0$  then  $w_w := w_w - 1 \checkmark(sr)$   
else if  $w=0 \wedge w_r > 0$  then  $w_r := w_r - 1 \checkmark(sw)$   
else  $\checkmark(m)$

③ no change

④  $\neg(w=0 \wedge r=0)$  holds so delete

$\hat{V}$ : if  $w=0 \wedge r=0 \wedge w_w > 0$  then  $w_w := w_w - 1 \checkmark(sr)$   
else if  $w=0 \wedge w_r > 0$  then  $w_r := w_r - 1 \checkmark(sw)$   
else  $\checkmark(m)$

⑤  $w > 0$  holds so delete

$\hat{V}$ : if  $w=0 \wedge r=0 \wedge w_w > 0$  then  $w_w := w_w - 1 \checkmark(sr)$   
else if  $w=0 \wedge w_r > 0$  then  $w_r := w_r - 1 \checkmark(sw)$   
else  $\checkmark(m)$

R/w solns admit starvation

(12)

- Steady stream of readers will block writers
- Steady stream of writers will block readers

Solns:

- ① give writers priority over late readers
- ② give readers priority over late writers

## Summary of split binary semaphore method

(13)

1. Define **vars** needed for problem
2. Define **invariant** that implies correctness
3. **Add assignments** to variables in (1)
4. **Add assert** stmts for invariant
5. **Add P** to implement assert
6. **Add  $\tilde{V}$**  to unblock at asserts  
- requires counters of blocked  
at each condition
7. Add  $P(n)$  to add mutex  
protection for variables
8. Simplify  $\tilde{V}$  based on precondition  
at each location