

## CS412/413

### Introduction to Compilers Radu Rugina

Lecture 13 : AST Visitors, Typing Rules  
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## AST Traversals

- First construct the AST
- Then traverse the AST to perform semantic checks or other actions
  - At this point tree has been built and is stable
- Possible ways of implementing traversals:
  - Dedicated methods: not extensible
  - instanceof + typecasts: error-prone
  - the visitor pattern
    - recommended book (the "Gang of Four" book):  
"Design Patterns", by Gamma, Helm, Johnson, Vlissides

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## Visitor Methodology for AST Traversal

- **Visitor pattern**: useful OO programming pattern that separates data structure definition (e.g., the AST) from code that traverses the structure (e.g., the name resolution code and the type checking code).
- Visitor recipe:
  - Define a **Visitor interface** for all traversals of the AST
  - Extend each AST class with a method that **accepts any Visitor**
  - Code each traversal as a separate class that implements the **Visitor interface**

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## AST Data Structure

```
abstract class Expr { ... }
class Add extends Expr { ...
    Expr e1, e2;
}
class Num extends Expr { ...
    int value;
}
class Id extends Expr { ...
    Symbol id;
}
```

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## Visitor Interface

```
interface Visitor {
    void visit(Add e);
    void visit(Num e);
    void visit(Id e);
}
```

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## Accept methods

```
abstract class Expr { ...
    abstract public void accept(Visitor v);
}
class Add extends Expr { ...
    public void accept(Visitor v) {
        v.visit(this); }
}
class Num extends Expr { ...
    public void accept(Visitor v) {
        v.visit(this); }
}
class Id extends Expr { ...
    public void accept(Visitor v) {
        v.visit(this); }
}
```

The declared type of **this** is the subclass it which it occurs.

Overload resolution of **v.visit(this)**; invokes appropriate visit function in the Visitor.

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## Visitor Methods

- For each kind of traversal, implement the `Visitor` interface, e.g.,

```
class PostfixPrintVisitor implements Visitor {
    void visit(Add e) {
        e.e1.accept(this); e.e2.accept(this);
        System.out.print( "+");
    }
    void visit(Num e) {
        System.out.print(value);
    }
    void visit(Id e) {
        System.out.print(id);
    }
}
```

Dispatch in the visit methods eliminates case analysis on AST subclasses

- To traverse expression e:  
`Visitor v = new PostfixPrintVisitor();`  
`e.accept(v);`

## Inherited and Synthesized Information

- So far, OK for traversal and action w/o communication of values
- But we need a way to pass information
  - Down the AST (called "inherited attributes")
  - Up the AST (called "synthesized attributes")
- To pass information down the AST
  - add `parameter` to visit functions
- To pass information up the AST
  - add `return` value to visit functions

## Visitor Interface (2)

```
interface Visitor {
    Object visit(Add e, Object inh);
    Object visit(Num e, Object inh);
    Object visit(Id e, Object inh);
}
```

## Accept methods (2)

```
abstract class Expr { ...
    abstract public Object accept(Visitor v, Object inh);
}
class Add extends Expr { ...
    public Object accept(Visitor v, Object inh) {
        return v.visit(this, inh);
    }
}
class Num extends Expr { ...
    public Object accept(Visitor v, Object inh) {
        return v.visit(this, inh);
    }
}
class Id extends Expr { ...
    public Object accept(Visitor v, Object inh) {
        return v.visit(this, inh);
    }
}
```

## Visitor Methods (2)

- For kind of traversal, implement the `Visitor` interface, e.g.,

```
class EvaluationVisitor implements Visitor {
    Object visit(Add e, Object inh) {
        int left = (int) e.e1.accept(this, inh);
        int right = (int) e.e2.accept(this, inh);
        return left + right;
    }
    Object visit(Num e, Object inh) {
        return value;
    }
    Object visit(Id e, Object inh) {
        return Lookup(id, (Environment)inh);
    }
}
```

- To traverse expression e:  
`Visitor v = new EvaluationVisitor();`  
`e.accept(v, env);`

## Typing Rules

- Can describe the types used in a program
- How to describe type checking?
- Formal description: `static semantics` for the programming language
- Is to type-checking:
  - As grammar is to syntax analysis
  - As regular expression is to lexical analysis
- Static semantics defines types for legal AST nodes in the language

## Type Judgments

- Static semantics = formal notation which describes type judgments:

$$E : T$$

means "E is a well-typed expression of type T"

- Type judgment examples:

$$\begin{array}{ll} 2 : \text{int} & 2 * (3 + 4) : \text{int} \\ \text{true} : \text{bool} & \text{"Hello"} : \text{string} \end{array}$$

## Type Judgments for Statements

- Statements may be expressions (i.e. represent values)
- Use type judgments for statements:

$$\begin{array}{l} (b ? 2 : 3) : \text{int} \\ x = \text{false} : \text{bool} \\ b = \text{true}, y = 2 : \text{int} \end{array}$$

- For statements which are not expressions: use a special void type (empty type);  $S : \text{void}$  means "S is a well-typed statement with no result type"
- Languages such as ML use a unit type

## Deriving a Judgment

- Consider the judgment:

$$(b ? 2 : 3) : \text{int}$$

- What do we need to decide that this is a well-typed expression of type int?
- b must be a bool (b: bool)
- 2 must be an int (2: int)
- 3 must be an int (3: int)

## Type Judgments

- Type judgment notation:  $A \vdash E : T$  means "In the context A the expression E is a well-typed expression with the type T"

- Type context is a set of type bindings  $id : T$  (i.e. type context = symbol table)

$$\begin{array}{l} b : \text{bool}, x : \text{int} \vdash b : \text{bool} \\ b : \text{bool}, x : \text{int} \vdash (b ? 2 : x) : \text{int} \\ \vdash 2 + 2 : \text{int} \end{array}$$

## Deriving a Judgement

- To show:

$$b : \text{bool}, x : \text{int} \vdash (b ? 2 : x) : \text{int}$$

- Need to show:

$$\begin{array}{l} b : \text{bool}, x : \text{int} \vdash b : \text{bool} \\ b : \text{bool}, x : \text{int} \vdash 2 : \text{int} \\ b : \text{bool}, x : \text{int} \vdash x : \text{int} \end{array}$$

## General Rule

- For any environment A, expression E, statements  $S_1$  and  $S_2$ , the judgment

$$A \vdash (E_1 ? E_2 : E_3) : T$$

is true if:

$$\begin{array}{l} A \vdash E_1 : \text{bool} \\ A \vdash E_2 : T \\ A \vdash E_3 : T \end{array}$$

## Inference Rules

$$\begin{array}{c}
 \text{Premises} \\
 \hline
 A \vdash E_1 : \text{bool} \quad A \vdash E_2 : T \quad A \vdash E_3 : T \quad (\text{cond}) \\
 \hline
 A \vdash (E_1 ? E_2 : E_3) : T \\
 \hline
 \text{Conclusion}
 \end{array}$$

- Holds for any choice of A, E<sub>1</sub>, E<sub>2</sub>, E<sub>3</sub>, T

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## Why Inference Rules?

- **Inference rules:** compact, precise language for specifying static semantics (can specify languages in ~20 pages vs. 100's of pages of Java Language Specification)
- Inference rules correspond directly to recursive AST traversal that implements them
- **Type checking** is attempt to prove type judgments  $A \vdash E : T$  true by walking backward through rules

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## Meaning of Inference Rule

- Inference rule says:  
 given that antecedent judgments are true  
 – with some substitution for A, E<sub>1</sub>, E<sub>2</sub>  
 then, consequent judgment is true  
 – with a consistent substitution

$$\begin{array}{c}
 A \vdash E_1 : \text{int} \\
 A \vdash E_2 : \text{int} \\
 \hline
 A \vdash E_1 + E_2 : \text{int} \quad (+)
 \end{array}$$

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## Proof Tree

- Expression is well-typed if there exists a type derivation for a type judgment
- Type derivation is a proof tree
- Example: if  $A = b : \text{bool}, x : \text{int}$ , then:

$$\begin{array}{c}
 A \vdash b : \text{bool} \quad A \vdash 2 : \text{int} \quad A \vdash 3 : \text{int} \\
 \hline
 A \vdash !b : \text{bool} \quad A \vdash 2+3 : \text{int} \quad A \vdash x : \text{int} \\
 \hline
 b : \text{bool}, x : \text{int} \vdash (!b ? 2+3 : x) : \text{int}
 \end{array}$$

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## More about Inference Rules

- No premises = axiom

$$\frac{}{A \vdash \text{true} : \text{bool}}$$

- A goal judgment may be proved in more than one way

$$\begin{array}{c}
 A \vdash E_1 : \text{float} \\
 A \vdash E_2 : \text{float} \\
 \hline
 A \vdash E_1 + E_2 : \text{float}
 \end{array}
 \quad
 \begin{array}{c}
 A \vdash E_1 : \text{float} \\
 A \vdash E_2 : \text{int} \\
 \hline
 A \vdash E_1 + E_2 : \text{float}
 \end{array}$$

- No need to search for rules to apply -- they correspond to nodes in the AST

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## While Statements

- All statements have type void
- Judgments of the form:  $A \vdash S$   
 – “In environment A, statement S is well-typed”

- Rule for while statements:

$$\frac{A \vdash E : \text{bool} \quad A \vdash S}{A \vdash \text{while } (E) S} \quad (\text{while})$$

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## Assignment Statements

$$\frac{id : T \in A \quad A \vdash E : T}{A \vdash id = E} \text{ (variable-assign)}$$

$$\frac{A \vdash E_3 : T \quad A \vdash E_2 : \text{int} \quad A \vdash E_1 : \text{array}(T)}{A \vdash E_1[E_2] = E_3} \text{ (array-assign)}$$

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## Sequence Statements

- Rule: A sequence of statements is well-typed if the first statement is well-typed, and the remaining are well-typed too:

$$\frac{A \vdash S_1 \quad A \vdash (S_2; \dots; S_n)}{A \vdash (S_1; S_2; \dots; S_n)} \text{ (sequence)}$$

- What about variable declarations ?

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## Declarations

$$\frac{A \vdash T \text{ id } [= E] \quad A, \text{id} : T \vdash (S_2; \dots; S_n)}{A \vdash (T \text{ id } [= E]; S_2; \dots; S_n)} \text{ (declaration)}$$

- Declarations add entries to the environment (in the symbol table)
- Corresponds to adding `id` to the symbol table

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## Function/Method Calls

- If expression `E` is a function value, it has a type  $T_1 \times T_2 \times \dots \times T_n \rightarrow T_r$
- $T_i$  are argument types;  $T_r$  is return type
- How to type-check function call  $E(E_1, \dots, E_n)$ ?

$$\frac{A \vdash E : T_1 \times T_2 \times \dots \times T_n \rightarrow T_r \quad A \vdash E_i : T_i \quad (i \in 1..n)}{A \vdash E(E_1, \dots, E_n) : T_r} \text{ (function-call)}$$

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## Function Declarations

- Consider a function declaration of the form
 
$$T_r \text{ fun } (T_1 a_1, \dots, T_n a_n) \{ \text{return } E; \}$$
- Type of function body `S` must match declared return type of function, i.e.  $E : T_r$
- ... but in what type context?

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## Add Arguments to Environment!

- Let `A` be the context surrounding the function declaration. Function declaration:

$T_r \text{ fun } (T_1 a_1, \dots, T_n a_n) \{ \text{return } E; \}$   
is well-formed if

$$A, a_1 : T_1, \dots, a_n : T_n \vdash E : T_r$$

- ...what about recursion?  
Need:  $\text{fun} : T_1 \times T_2 \times \dots \times T_n \rightarrow T_r \in A$

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## Recursive Function Example

- Factorial:

```
int fact(int x) {
  if (x==0) return 1;
  else return x * fact(x - 1);
}
```

- Prove:  $A \vdash x * \text{fact}(x-1) : \text{int}$   
Where:  $A = \{ \text{fact} : \text{int} \rightarrow \text{int}, x : \text{int} \}$

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## Mutual Recursion

- Example:

```
int f(int x) { return g(x) + 1; }
int g(int x) { return f(x) - 1; }
```

- Need environment containing at least  
 $f : \text{int} \rightarrow \text{int}, g : \text{int} \rightarrow \text{int}$   
when checking both f and g
- Two-pass approach:
  - Parse, build AST and symbol tables
  - Then type-check AST using the information in the symbol tables

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## How to Check Return?

$$\frac{A \vdash E : T}{A \vdash \text{return } E} \text{ (return)}$$

- A return statement produces no value for its containing context to use
- How to make sure the return type of the current function is T?

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## Put Return in the Symbol Table

- Add a special entry  $\{ \text{ret} : T_r \}$  when we start checking the function "fun", look up this entry when we hit a return statement.
- To check  $T_r \text{ fun}(T_1 a_1, \dots, T_n a_n) \{ \text{return } S; \}$  in environment A, need to check:

$$A, a_1 : T_1, \dots, a_n : T_n, \text{ret} : T_r \vdash S : T_r$$

$$\frac{A \vdash E : T \quad \text{ret} : T \in A}{A \vdash \text{return } E} \text{ (return)}$$

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## Static Semantics Summary

- Static semantics = formal specification of type-checking rules
- Concise form of static semantics: typing rules expressed as inference rules
- Expression and statements are well-formed (or well-typed) if a typing derivation (proof tree) can be constructed using the inference rules

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