

CS412/413

Introduction to Compilers Radu Rugina

Lecture 13 : AST Visitors, Typing Rules
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AST Traversals

- First construct the AST
- Then traverse the AST to perform semantic checks or other actions
 - At this point tree has been built and is stable
- Possible ways of implementing traversals:
 - Dedicated methods: not extensible
 - instanceof + typecasts: error-prone
 - the visitor pattern
 - recommended book (the "Gang of Four" book):
"Design Patterns", by Gamma, Helm, Johnson, Vlissides

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2

Visitor Methodology for AST Traversal

- Visitor pattern: useful OO programming pattern that separates data structure definition (e.g., the AST) from code that traverses the structure (e.g., the name resolution code and the type checking code).
- Visitor recipe:
 - Define a Visitor interface for all traversals of the AST
 - Extend each AST class with a method that accepts any Visitor
 - Code each traversal as a separate class that implements the Visitor interface

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3

AST Data Structure

```
abstract class Expr { ... }
class Add extends Expr { ...
    Expr e1, e2;
}
class Num extends Expr { ...
    int value;
}
class Id extends Expr { ...
    Symbol id;
}
```

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4

Visitor Interface

```
interface Visitor {
    void visit(Add e);
    void visit(Num e);
    void visit(Id e);
}
```

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5

Accept methods

```
abstract class Expr { ...
    abstract public void accept(Visitor v);
}
class Add extends Expr { ...
    public void accept(Visitor v) {
        v.visit(this);
    }
}
class Num extends Expr { ...
    public void accept(Visitor v) {
        v.visit(this);
    }
}
class Id extends Expr { ...
    public void accept(Visitor v) {
        v.visit(this);
    }
}
```

The declared type of **this** is the subclass it which it occurs.

Overload resolution of **v.visit(this)**; invokes appropriate visit function in the Visitor.

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6

Visitor Methods

- For each kind of traversal, implement the `Visitor` interface, e.g.,

```
class PostfixPrintVisitor implements Visitor {
    void visit(Add e) {
        e.e1.accept(this); e.e2.accept(this);
        System.out.print( "+");
    }
    void visit(Num e) {
        System.out.print(value);
    }
    void visit(Id e) {
        System.out.print(id);
    }
}
```

Dispatch in the visit methods eliminates case analysis on AST subclasses

- To traverse expression e:
`Visitor v = new PostfixPrintVisitor();`
`e.accept(v);`

Inherited and Synthesized Information

- So far, OK for traversal and action w/o communication of values
- But we need a way to pass information
 - Down the AST (called "inherited attributes")
 - Up the AST (called "synthesized attributes")
- To pass information down the AST
 - add `parameter` to visit functions
- To pass information up the AST
 - add `return` value to visit functions

Visitor Interface (2)

```
interface Visitor {
    Object visit(Add e, Object inh);
    Object visit(Num e, Object inh);
    Object visit(Id e, Object inh);
}
```

Accept methods (2)

```
abstract class Expr { ...
    abstract public Object accept(Visitor v, Object inh);
}
class Add extends Expr { ...
    public Object accept(Visitor v, Object inh) {
        return v.visit(this, inh);
    }
}
class Num extends Expr { ...
    public Object accept(Visitor v, Object inh) {
        return v.visit(this, inh);
    }
}
class Id extends Expr { ...
    public Object accept(Visitor v, Object inh) {
        return v.visit(this, inh);
    }
}
```

Visitor Methods (2)

- For kind of traversal, implement the `Visitor` interface, e.g.,

```
class EvaluationVisitor implements Visitor {
    Object visit(Add e, Object inh) {
        int left = (int) e.e1.accept(this, inh);
        int right = (int) e.e2.accept(this, inh);
        return left + right;
    }
    Object visit(Num e, Object inh) {
        return value;
    }
    Object visit(Id e, Object inh) {
        return Lookup(id, (Environment)inh);
    }
}
```

- To traverse expression e:
`Visitor v = new EvaluationVisitor();`
`e.accept(v, env);`

Typing Rules

- Can describe the types used in a program
- How to describe type checking?
- Formal description: *static semantics* for the programming language
- Is to type-checking:
 - As grammar is to syntax analysis
 - As regular expression is to lexical analysis
- Static semantics defines types for legal AST nodes in the language

Type Judgments

- Static semantics = formal notation which describes type judgments:

$$E : T$$

means "E is a well-typed expression of type T"

- Type judgment examples:

$2 : \text{int}$ $2 * (3 + 4) : \text{int}$
 $\text{true} : \text{bool}$ $\text{"Hello"} : \text{string}$

Type Judgments for Statements

- Statements may be expressions (i.e. represent values)
- Use type judgments for statements:

$(b ? 2 : 3) : \text{int}$
 $x = \text{false} : \text{bool}$
 $b = \text{true}, y = 2 : \text{int}$

- For statements which are not expressions: use a special void type (empty type); $S : \text{void}$ means "S is a well-typed statement with no result type"
- Languages such as ML use a unit type

Deriving a Judgment

- Consider the judgment:

$$(b ? 2 : 3) : \text{int}$$

- What do we need to decide that this is a well-typed expression of type int?
- b must be a bool (b: bool)
- 2 must be an int (2: int)
- 3 must be an int (3: int)

Type Judgments

- Type judgment notation: $A \vdash E : T$
means "In the context A the expression E is a well-typed expression with the type T"

- Type context is a set of type bindings $\text{id} : T$
(i.e. type context = symbol table)

$b : \text{bool}, x : \text{int} \vdash b : \text{bool}$
 $b : \text{bool}, x : \text{int} \vdash (b ? 2 : x) : \text{int}$
 $\vdash 2 + 2 : \text{int}$

Deriving a Judgement

- To show:

$$b : \text{bool}, x : \text{int} \vdash (b ? 2 : x) : \text{int}$$

- Need to show:

$b : \text{bool}, x : \text{int} \vdash b : \text{bool}$
 $b : \text{bool}, x : \text{int} \vdash 2 : \text{int}$
 $b : \text{bool}, x : \text{int} \vdash x : \text{int}$

General Rule

- For any environment A, expression E, statements S_1 and S_2 , the judgment

$$A \vdash (E_1 ? E_2 : E_3) : T$$

is true if:

$A \vdash E_1 : \text{bool}$
 $A \vdash E_2 : T$
 $A \vdash E_3 : T$

Inference Rules

$$\begin{array}{c}
 \text{Premises} \\
 \hline
 A \vdash E_1 : \text{bool} \quad A \vdash E_2 : T \quad A \vdash E_3 : T \quad (\text{cond}) \\
 \hline
 A \vdash (E_1 ? E_2 : E_3) : T \\
 \hline
 \text{Conclusion}
 \end{array}$$

- Holds for any choice of A, E₁, E₂, E₃, T

Why Inference Rules?

- **Inference rules:** compact, precise language for specifying static semantics (can specify languages in ~20 pages vs. 100's of pages of Java Language Specification)
- Inference rules correspond directly to recursive AST traversal that implements them
- **Type checking** is attempt to prove type judgments
A ⊢ E : T true by walking backward through rules

Meaning of Inference Rule

- Inference rule says:
given that antecedent judgments are true
– with some substitution for A, E₁, E₂
then, consequent judgment is true
– with a consistent substitution

$$\begin{array}{c}
 A \vdash E_1 : \text{int} \\
 A \vdash E_2 : \text{int} \\
 \hline
 A \vdash E_1 + E_2 : \text{int} \quad (+)
 \end{array}$$

Proof Tree

- Expression is well-typed if there exists a type derivation for a type judgment
- Type derivation is a proof tree
- Example: if A = b: bool, x: int, then:

$$\begin{array}{c}
 A \vdash b : \text{bool} \quad A \vdash 2 : \text{int} \quad A \vdash 3 : \text{int} \\
 \hline
 A \vdash !b : \text{bool} \quad A \vdash 2+3 : \text{int} \quad A \vdash x : \text{int} \\
 \hline
 b : \text{bool}, x : \text{int} \vdash (!b ? 2+3 : x) : \text{int}
 \end{array}$$

More about Inference Rules

- No premises = axiom

$$\frac{}{A \vdash \text{true} : \text{bool}}$$

- A goal judgment may be proved in more than one way

$$\begin{array}{c}
 A \vdash E_1 : \text{float} \\
 A \vdash E_2 : \text{float} \\
 \hline
 A \vdash E_1 + E_2 : \text{float}
 \end{array}
 \quad
 \begin{array}{c}
 A \vdash E_1 : \text{float} \\
 A \vdash E_2 : \text{int} \\
 \hline
 A \vdash E_1 + E_2 : \text{float}
 \end{array}$$

- No need to search for rules to apply -- they correspond to nodes in the AST

While Statements

- All statements have type void
- Judgments of the form: A ⊢ S
– “In environment A, statement S is well-typed”

- Rule for while statements:

$$\frac{A \vdash E : \text{bool} \quad A \vdash S}{A \vdash \text{while } (E) S} \quad (\text{while})$$

Assignment Statements

$$\frac{id : T \in A \quad A \vdash E : T}{A \vdash id = E} \text{ (variable-assign)}$$

$$\frac{A \vdash E_3 : T \quad A \vdash E_2 : \text{int} \quad A \vdash E_1 : \text{array}(T)}{A \vdash E_1[E_2] = E_3} \text{ (array-assign)}$$

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25

Sequence Statements

- Rule: A sequence of statements is well-typed if the first statement is well-typed, and the remaining are well-typed too:

$$\frac{A \vdash S_1}{A \vdash (S_1 ; \dots ; S_n)} \text{ (sequence)}$$

- What about variable declarations ?

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26

Declarations

$$\frac{A \vdash T \text{ id } [= E] \quad A, id : T \vdash (S_2 ; \dots ; S_n)}{A \vdash (T \text{ id } [= E] ; S_2 ; \dots ; S_n)} \text{ (declaration)}$$

- Declarations add entries to the environment (in the symbol table)
- Corresponds to adding `id` to the symbol table

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27

Function/Method Calls

- If expression `E` is a function value, it has a type $T_1 \times T_2 \times \dots \times T_n \rightarrow T_r$
- T_i are argument types; T_r is return type
- How to type-check function call $E(E_1, \dots, E_n)$?

$$\frac{A \vdash E : T_1 \times T_2 \times \dots \times T_n \rightarrow T_r \quad A \vdash E_i : T_i \quad (i \in 1..n)}{A \vdash E(E_1, \dots, E_n) : T_r} \text{ (function-call)}$$

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28

Function Declarations

- Consider a function declaration of the form

$$T_r \text{ fun } (T_1 a_1, \dots, T_n a_n) \{ \text{return } E; \}$$
- Type of function body `S` must match declared return type of function, i.e. $E : T_r$
- ... but in what type context?

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29

Add Arguments to Environment!

- Let `A` be the context surrounding the function declaration. Function declaration:

$T_r \text{ fun } (T_1 a_1, \dots, T_n a_n) \{ \text{return } E; \}$
is well-formed if

$$A, a_1 : T_1, \dots, a_n : T_n \vdash E : T_r$$

- ...what about recursion?
Need: $\text{fun} : T_1 \times T_2 \times \dots \times T_n \rightarrow T_r \in A$

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30

Recursive Function Example

- Factorial:

```
int fact(int x) {
  if (x==0) return 1;
  else return x * fact(x - 1);
}
```

- Prove: $A \vdash x * \text{fact}(x-1) : \text{int}$
Where: $A = \{ \text{fact} : \text{int} \rightarrow \text{int}, x : \text{int} \}$

Mutual Recursion

- Example:

```
int f(int x) { return g(x) + 1; }
int g(int x) { return f(x) - 1; }
```

- Need environment containing at least
 $f : \text{int} \rightarrow \text{int}, g : \text{int} \rightarrow \text{int}$
when checking both f and g
- Two-pass approach:
 - Parse, build AST and symbol tables
 - Then type-check AST using the information in the symbol tables

How to Check Return?

$$\frac{A \vdash E : T}{A \vdash \text{return } E} \text{ (return)}$$

- A return statement produces no value for its containing context to use
- How to make sure the return type of the current function is T?

Put Return in the Symbol Table

- Add a special entry $\{ \text{ret} : T_r \}$ when we start checking the function "fun", look up this entry when we hit a return statement.
- To check $T_r \text{ fun}(T_1 a_1, \dots, T_n a_n) \{ \text{return } S; \}$ in environment A, need to check:

$$A, a_1 : T_1, \dots, a_n : T_n, \text{ret} : T_r \vdash S : T_r$$

$$\frac{A \vdash E : T \quad \text{ret} : T \in A}{A \vdash \text{return } E} \text{ (return)}$$

Static Semantics Summary

- Static semantics = formal specification of type-checking rules
- Concise form of static semantics: typing rules expressed as inference rules
- Expression and statements are well-formed (or well-typed) if a typing derivation (proof tree) can be constructed using the inference rules