

More valid formulas involving quantifiers:

- $\neg\forall xP(x) \Leftrightarrow \exists x\neg P(x)$

- Replacing P by $\neg P$, we get:

$$\neg\forall x\neg P(x) \Leftrightarrow \exists x\neg\neg P(x)$$

- Therefore

$$\neg\forall x\neg P(x) \Leftrightarrow \exists xP(x)$$

- Similarly, we have

$$\neg\exists xP(x) \Leftrightarrow \forall x\neg P(x)$$

$$\neg\exists x\neg P(x) \Leftrightarrow \forall xP(x)$$

Bound and Free Variables

$\forall i(i^2 > i)$ is equivalent to $\forall j(j^2 > j)$:

- the i and j are *bound* variables, just like the i, j in

$$\sum_{i=1}^n i^2 \text{ or } \sum_{j=1}^n j^2$$

What about $\exists i(i^2 = j)$:

- the i is bound by $\exists i$; the j is *free*. Its value is unconstrained.
- if the domain is the natural numbers, the truth of this formula depends on the value of j .

Theorems and Proofs

Just as in propositional logic, there are axioms and proof rules that provide a complete axiomatization for first-order logic, independent of the domain.

A typical axiom:

- $\forall x(P(x) \Rightarrow Q(x)) \Rightarrow (\forall xP(x) \Rightarrow \forall xQ(x))$.

Suppose we restrict the domain to the natural numbers, and allow only the standard symbols of arithmetic ($+$, \times , $=$, $>$, 0 , 1). Typical true formulas include:

- $\forall x\exists y(x \times y = x)$
- $\forall x\exists y(x = y + y \vee x = y + y + 1)$

Let $Prime(x)$ be an abbreviation for

$$\forall y\forall z((x = y \times z) \Rightarrow ((y = 1) \vee (y = x)))$$

- $Prime(x)$ is true if x is prime

What does the following formula say:

- $\forall x(\exists y(y > 1 \wedge x = y + y) \Rightarrow \exists z_1 \exists z_2(Prime(z_1) \wedge Prime(z_2) \wedge x = z_1 + z_2))$
- This is *Goldbach's conjecture*: every even number other than 2 is the sum of two primes.
 - Is it true? We don't know.

Is there a sound and complete axiomatization for arithmetic?

- A small collection of axioms and inference rules such that every true formula of arithmetic can be proved from them
- *Gödel's Theorem*: NO!

Logic: The Big Picture

A typical logic is described in terms of

- *syntax*: what are the valid formulas
- *semantics*: under what circumstances is a formula true
- *proof theory/ axiomatization*: rules for proving a formula true

Truth and provability are quite different.

- What is provable depends on the axioms and inference rules you use
- Provability is a mechanical, turn-the-crank process
- What is true depends on the semantics

Syntax and Semantics for Propositional Logic

- syntax: start with primitive propositions and close off under \neg and \wedge (and \vee , \Rightarrow , \Leftrightarrow if you want)
- semantics: need a truth assignment T
 - formally: a function T that maps primitive propositions to $\{\text{true}, \text{false}\}$.
 - define the truth of all formulas inductively
 - logicians write $T \models A$ if formula A is true under truth assignment T
 - typical inductive clauses:

$$T \models A \wedge B \text{ iff } T \models A \text{ and } T \models B$$

$$T \models \neg A \text{ iff } T \not\models A$$

Tautologies and Valid Arguments

When is an argument

A_1

A_2

\vdots

A_n

B

valid?

- When the truth of the premises imply the truth of the conclusion

How do you check if an argument is valid?

- Method 1: Take an arbitrary truth assignment v . Show that if A_1, \dots, A_n are true under T ($T \models A_1, \dots, v \models A_n$) then B is true under T .
- Method 2: Show that $A_1 \wedge \dots \wedge A_n \Rightarrow B$ is a tautology (essentially the same thing)
 - true for every truth assignment
- Method 3: Try to prove $A_1 \wedge \dots \wedge A_n \Rightarrow B$ using a sound axiomatization

A Sound and Complete Axiomatization for Propositional Logic

All you need are two axioms *schemes*:

Ax1. $A \Rightarrow (B \Rightarrow A)$

Ax2. $(A \Rightarrow (B \Rightarrow C)) \Rightarrow ((A \Rightarrow B) \Rightarrow (A \Rightarrow C))$

and one inference rule: Modus Ponens:

- From $A \Rightarrow B$ and A infer B

Ax1 and Ax2 are axioms schemes:

- each one encodes an infinite set of axioms (obtained by plugging in arbitrary formulas for A , B , C)

A *proof* is a sequence of formulas A_1, A_2, A_3, \dots such that each A_i is either

1. An instance of Ax1 and Ax2
2. Follows from previous formulas by applying MP
 - that is, there exist A_j, A_k with $j, k < i$ such that A_j has the form $A \Rightarrow B$, A_k is A and A_i is B .

This axiomatization is sound and complete.

- everything provable is a tautology
- all tautologies are provable

First-Order Logic: Semantics

How do we decide if a first-order formula is true? Need:

- a domain D (what are you quantifying over)
- an *interpretation* I that interprets the constants and predicate symbols:
 - for each constant symbol c , $I(c) \in D$
 - * Which domain element is Alice?
 - for each unary predicate P , $I(P)$ is a predicate on domain D
 - * formally, $I(P)(d) \in \{\text{true}, \text{false}\}$ for each $d \in D$
 - * Is Alice Tall? How about Bob?
 - for each binary predicate Q , $I(Q)$ is a predicate on $D \times D$:
 - * formally, $I(Q)(d_1, d_2) \in \{\text{true}, \text{false}\}$ for each $d_1, d_2 \in D$
 - * Is Alice taller than Bob?
- a valuation V associating with each variable x and element $V(x) \in D$.
 - To figure out if $P(x)$ is true, you need to know what x is.

Now we can define whether a formula A is true, given a domain D , an interpretation I , and a valuation V , written

$$(I, D, V) \models A$$

The definition is by induction:

$$(I, D, V) \models P(x) \text{ if } I(P)(V(x)) = \text{true}$$

$$(I, D, V) \models P(c) \text{ if } I(P)(I(c)) = \text{true}$$

$(I, D, V) \models \forall xA$ if $(I, D, V') \models A$ for all valuations V' that agree with V except possibly on x

- $V'(y) = V(y)$ for all $y \neq x$
- $V'(x)$ can be arbitrary

$(I, D, V) \models \exists xA$ if $(I, D, V') \models A$ for some valuation V' that agrees with V except possibly on x .

Axiomatizing First-Order Logic

There's also an elegant complete axiomatization for first-order logic.

- Again, the only inference rule is Modus Ponens
- Typical axiom:

$$\forall x(P(x) \Rightarrow Q(x)) \Rightarrow (\forall xP(x) \Rightarrow \forall xQ(x))$$

- Completeness was proved by Gödel in 1930

Some Bureuacracy

- The final is on Thursday, May 13, 12-2:30 PM, in Philips 101
- If you have conflicts (more than two exams in a 24-hour time period) let me know as soon as possible.
 - We may schedule a makeup; or perhaps the other course will.
- Office hours go on as usual during study week, but check the course web site soon.
 - There may be small changes to accommodate the TAs exams
- There will be a review session

Coverage of Final

- everything covered by the first prelim
 - emphasis on more recent material
- Chapter 4: Fundamental Counting Methods
 - Basic methods: sum rule, product rule, division rule
 - Permutations and combinations
 - Combinatorial identities (know Theorems 1–4 on pp. 310–314)
 - Pascal's triangle
 - Binomial Theorem (but not multinomial theorem)
 - Balls and urns
 - Inclusion-exclusion
 - Pigeonhole principle
- Chapter 6: Probability:
 - 6.1–6.5 (but not inverse binomial distribution)
 - basic definitions: probability space, events
 - conditional probability, independence, Bayes Thm.
 - random variables

- uniform, binomial, and Poisson distributions
- expected value and variance
- Markov + Chebyshev inequalities
- understanding Law of Large Numbers, Central Limit Theorem
- Chapter 7: Logic:
 - 7.1–7.4, 7.6; *not* 7.5
 - translating from English to propositional (or first-order) logic
 - truth tables and axiomatic proofs
 - algorithm verification
 - first-order logic

Ten Powerful Ideas

- **Counting:** Count without counting (*combinatorics*)
- **Induction:** Recognize it in all its guises.
- **Exemplification:** Find a sense in which you can try out a problem or solution on small examples.
- **Abstraction:** Abstract away the inessential features of a problem.
 - One possible way: represent it as a graph
- **Modularity:** Decompose a complex problem into simpler subproblems.
- **Representation:** Understand the relationships between different possible representations of the same information or idea.
 - Graphs vs. matrices vs. relations
- **Refinement:** The best solutions come from a process of repeatedly refining and inventing alternative solutions.
- **Toolbox:** Build up your vocabulary of abstract structures.

- **Optimization:** Understand which improvements are worth it.
- **Probabilistic methods:** Flipping a coin can be surprisingly helpful!

Connections: Random Graphs

Suppose we have a random graph with n vertices. How likely is it to be connected?

- What is a *random* graph?
 - If it has n vertices, there are $C(n, 2)$ possible edges, and $2^{C(n,2)}$ possible graphs. What fraction of them is connected?
 - One way of thinking about this. Build a graph using a random process, that puts each edge in with probability $1/2$.
- Given three vertices a , b , and c , what's the probability that there is an edge between a and b and between b and c ? $1/4$
- What is the probability that there is no path of length 2 between a and c ? $(3/4)^{n-2}$
- What is the probability that there is a path of length 2 between a and c ? $1 - (3/4)^{n-2}$
- What is the probability that there is a path of length 2 between a and every other vertex? $> (1 - (3/4)^{n-2})^{n-1}$

Now use the binomial theorem to compute $(1 - (3/4)^{n-2})^{n-1}$

$$\begin{aligned} & (1 - (3/4)^{n-2})^{n-1} \\ &= 1 - (n-1)(3/4)^{n-2} + C(n-1, 2)(3/4)^{2(n-2)} + \dots \end{aligned}$$

For sufficiently large n , this will be (just about) 1.

Bottom line: If n is large, then it is almost certain that a random graph will be connected.

Theorem: [Fagin, 1976] If P is *any* property expressible in first-order logic, it is either true in almost all graphs, or false in almost all graphs.

This is called a *0-1 law*.

Connection: First-order Logic

Suppose you wanted to query a database. How do you do it?

Modern database query language date back to SQL (structured query language), and are all based on first-order logic.

- The idea goes back to Ted Codd, who invented the notion of relational databases.

Suppose you're a travel agent and want to query the airline database about whether there are flights from Ithaca to Santa Fe.

- How are cities and flights between them represented?
- How do we form this query?

You're actually asking whether there is a path from Ithaca to Santa Fe in the graph.

- This fact cannot be expressed in first-order logic!