

1. Reading: K. Rosen *Discrete Mathematics and Its Applications*, 2.4
2. The main message of this lecture:

**The first practical algorithms come from mathematics, more precisely, from the arithmetic: the Euclidean algorithm of finding g.c.d., addition and multiplication of integers in different bases.**

A surprisingly efficient (log complexity) computational procedure of finding the greatest common divisor is provided by the classical Euclidean algorithm. We will give a description of this algorithm along with the tracing of an example  $\text{gcd}(45, 111) = ?$

1. Divide the larger integer by the smaller:  $111 = 2 \cdot 45 + 21$
2. If the remainder is 0 then the divisor is the desired  $\text{gcd}$
3. Otherwise replace the larger by the remainder and go to 1.:  $(45, 111) \mapsto (21, 45)$   
 $45 = 21 \cdot 2 + 3$ , therefore  $(21, 45) \mapsto (3, 21)$ ,  $21 = 7 \cdot 3 + 0$ , thus  $3 = \text{gcd}(45, 111)$ .

The correctness of the Euclidean algorithm is based on the following lemma.

**Lemma 9.1.**  $a = bq + r \Rightarrow \text{gcd}(a, b) = \text{gcd}(r, b)$

**Proof.** In fact the pairs  $(a, b)$  and  $(r, b)$  have exactly the same common divisors (therefore their greatest common divisors coincide). Indeed,  $a = bq + r$  yields  $r = a - bq$ .

$$\begin{aligned} d|a \wedge d|b &\Rightarrow d|bq \wedge d|a - bq = r &\Rightarrow d|r \wedge d|b \\ d|r \wedge d|b &\Rightarrow d|bq \wedge d|bq + r = a &\Rightarrow d|b \wedge d|a \end{aligned}$$

**Theorem 9.2.** *The Euclidean algorithm converges for any  $a \geq b > 0$  and computes  $\text{gcd}(a, b)$ .*

**Proof.** Let  $a \geq b > 0$ . Put  $r_0 = a$ ,  $r_1 = b$ . Consider the steps of the algorithm:

$$\begin{aligned} r_0 &= r_1 \cdot q_1 + r_2, & 0 \leq r_2 < r_1 \\ r_1 &= r_2 \cdot q_2 + r_3, & 0 \leq r_3 < r_2 \\ &\dots\dots\dots & \dots\dots\dots \\ r_{n-2} &= r_{n-1} \cdot q_{n-1} + r_n, & 0 \leq r_n < r_{n-1} \\ r_{n-1} &= r_n \cdot q_n. \end{aligned}$$

The algorithm terminates since the sequence of remainders  $r_2, r_3, r_4, \dots$  is strictly descending yet nonnegative. therefore, it reaches 0 after some finite number of steps. Then  $\text{gcd}(a, b) = \text{gcd}(r_0, r_1) = \text{gcd}(r_1, r_2) = \dots \text{gcd}(r_{n-1}, r_n) = r_n$ .

**Example 9.2.** We all know that integers can be represented in decimal notations, e.g.  $5678 = 5 \cdot 1000 + 6 \cdot 100 + 7 \cdot 10 + 8 = 5 \cdot 10^3 + 6 \cdot 10^2 + 7 \cdot 10^1 + 8 \cdot 10^0$ , or in binary, e.g.  $(1101)_2 = 1 \cdot 8 + 1 \cdot 4 + 0 \cdot 2 + 1 \cdot 1 = 1 \cdot 2^3 + 1 \cdot 2^2 + 0 \cdot 2^1 + 1 \cdot 2^0 = 13$ . There is a cute mathematical theory that allows us to produce **base  $b$  expansions** of integers for any  $b > 1$ .

**Theorem 9.3.** *Let  $b > 1$ . Then each positive integer  $n$  can be uniquely represented in the form  $n = a_k b^k + a_{k-1} b^{k-1} + \dots + a_1 b + a_0$ , where  $k, a_k, a_{k-1}, \dots, a_1, a_0 \geq 0$  and  $a_i < b$  ( $i = 0, 1, \dots, k$ ).*

**Proof.** To find an expansion of  $n$  one has to keep dividing  $n$  and then the quotients by the base  $b$ . We show both the general scheme, and an example of finding the base 7 expansion of  $n = 12345$ .

Division	General formula for $n$	Example division	Representation of $n = 12345$
$n = bq_0 + a_0$	$n = bq_0 + a_0$	$12345 = 7 \cdot 1763 + 4$	$12345 = 7 \cdot 1763 + 4$
$q_0 = bq_1 + a_1$	$= b(bq_1 + a_1) + a_0$	$1763 = 7 \cdot 251 + 6$	$= 7(7 \cdot 251 + 6) + 4$
$q_1 = bq_2 + a_2$	$= b^2q_1 + ba_1 + a_0$	$251 = 7 \cdot 35 + 6$	$= 7^2 \cdot 251 + 7 \cdot 6 + 4$
.....	.....	$35 = 7 \cdot 5 + 0$	$= 7^2(7 \cdot 35 + 6) + 7 \cdot 6 + 4$
.....	$= b^3q_2 + b^2a_2 + ba_1 + a_0$		$= 7^3 \cdot 35 + 7^2 \cdot 6 + 7 \cdot 6 + 4$
.....	$= b^kq_{k-1} + \dots + ba_1 + a_0$		$= 7^3(7 \cdot 5 + 0) + 7^2 \cdot 6 + 7 \cdot 6 + 4$
$q_{k-1} = b \cdot 0 + a_k$	$= b^k a_k + \dots + ba_1 + a_0$		$= 7^4 \cdot 5 + 7^3 \cdot 0 + 7^2 \cdot 6 + 7 \cdot 6 + 4$

The algorithm terminates when a quotient  $q_k = 0$  is reached. The resulting  $b$ -expansion on  $n = (a_k a_{k-1} \dots a_1 a_0)_b$  (in the sample case  $12345 = (506764)_7$ ). The algorithm above converges since  $n > q_0 > q_1 > \dots$  and every strictly descending sequence of nonnegative integers is finite. For the proof of the uniqueness of the  $b$ -base expansion of  $n$  see the slides.

Example: **Hexadecimal expansion** – base 16. Digits:  $0, 1, 2, \dots, 9, A, B, C, D, E, F$ , where  $A = 10, B = 11, \dots, F = 15$ .  $(1A2B3C)_{16} = 1 \cdot 16^5 + 10 \cdot 16^4 + 2 \cdot 16^3 + 11 \cdot 16^2 + 3 \cdot 16 + 12 = 1715004$ .

Binary addition, by example of  $a = 10110$  and  $b = 11011$ .

$$\begin{array}{r}
 \text{carry: } 1 \quad 1 \quad 1 \quad 1 \\
 a : \quad 1 \quad 0 \quad 1 \quad 1 \quad 0 \\
 b : \quad 1 \quad 1 \quad 0 \quad 1 \quad 1 \\
 \hline
 s : 1 \quad 1 \quad 0 \quad 0 \quad 0 \quad 1
 \end{array}$$

The general formulas for computing the bits in the sum and the carry are:  $s_{i+1} = a_i + b_i + c_i \pmod{2}$  and  $c_{i+1} = \lfloor (a_i + b_i + c_i)/2 \rfloor$ . The complexity of the addition algorithm is the number of bit additions required. At each step the algorithm performs two or three additions, and  $n$  steps produce the complexity  $O(n)$ .

Binary multiplication of  $a = (1011)_2$  and  $b = (1101)_2$

$$\begin{array}{r}
 a : \quad 1 \quad 0 \quad 1 \quad 1 \\
 b : \quad 1 \quad 1 \quad 0 \quad 1 \\
 \hline
 c_0 : \quad 1 \quad 0 \quad 1 \quad 1 \\
 c_1 : \quad 0 \quad 0 \quad 0 \quad 0 \\
 c_2 : \quad 1 \quad 0 \quad 1 \quad 1 \\
 c_3 : \quad 1 \quad 0 \quad 1 \quad 1 \\
 \text{carry: } 1 \quad 1 \quad 1 \quad 1 \\
 \hline
 a \cdot b : 1 \quad 0 \quad 0 \quad 0 \quad 1 \quad 1 \quad 1 \quad 1
 \end{array}$$

The complexity of multiplication, by definition, is the total number of bit additions the binary shifts by one bit (i.e. a multiplication by 2). The standard multiplication algorithm above takes 0 bit shifts for  $c_0$ , 1 bit shift for  $c_1$ , ...,  $(n - 1)$  bit shifts for  $c_{n-1}$ , which brings the total number of shifts to  $0 + 1 + 2 + \dots + (n - 1) = (n - 1)n/2 = O(n^2)$ . We also have to perform additions of  $n$ -bit integer with  $(n + 1)$ -bit integer with ... with  $(2n)$ -bit integer where each of those additions takes  $C \cdot n$  bit additions, which brings the total amount of bit additions to  $O(n^2)$ . Summary: the complexity of the standard binary multiplication algorithm above is  $O(n^2)$ . Surprisingly, one can do much better: the textbook displays an algorithm that uses only  $O(n^{1.585})$  bit operations to multiply two  $n$ -bit numbers.

**Homework assignments.** (due Friday 02/16).

9A:Rosen2.4-2e; 9B:Rosen2.4-8ac; 9C:Rosen2.4-36