

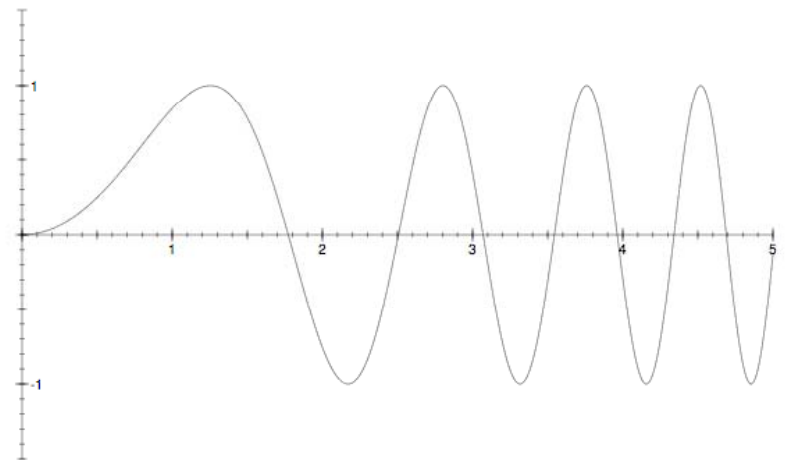
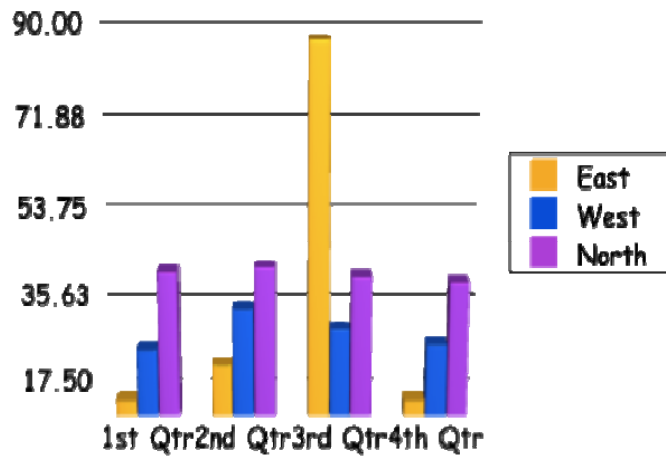


# Announcements

2

- Prelim 2: Two and a half weeks from now
  - Tuesday, Nov 16, 7:30-9pm, Uris G01
- Exam conflicts?
  - Same deal: just take our exam from 6:00-7:30
- Old exams available on the course website
  - The Fall 2009 exam is closest to what we'll use

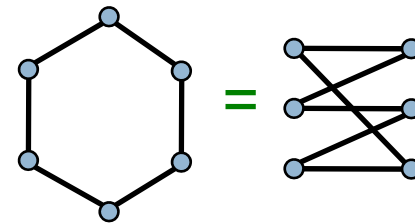
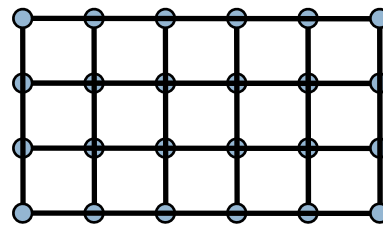
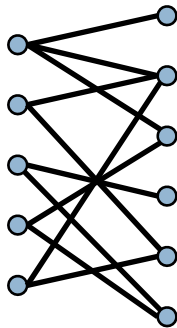
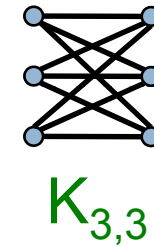
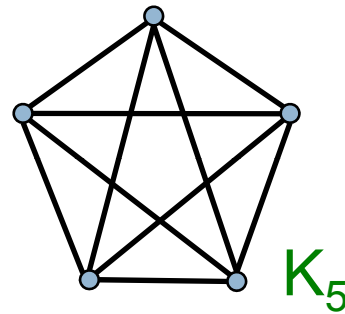
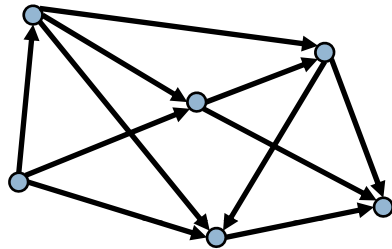
# These are not Graphs



...not the kind we mean, anyway

# These are Graphs

4



# Applications of Graphs

5

- Communication networks
- Routing and shortest path problems
- Commodity distribution (flow)
- Traffic control
- Resource allocation
- Geometric modeling
- ...

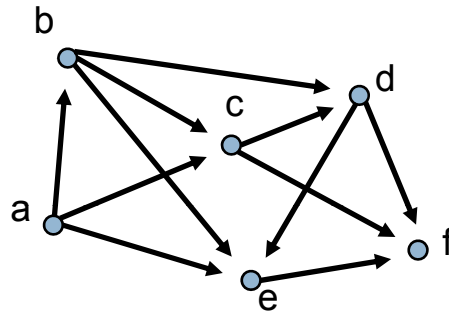
# Graph Definitions

6

- A **directed graph** (or **digraph**) is a pair  $(V, E)$  where
  - $V$  is a set
  - $E$  is a set of ordered pairs  $(u, v)$  where  $u, v \in V$ 
    - Usually require  $u \neq v$  (i.e., no self-loops)
  
- An element of  $V$  is called a **vertex** (pl. **vertices**) or **node**
  
- An element of  $E$  is called an **edge** or **arc**
  
- $|V|$  = size of  $V$ , often denoted  **$n$**
  
- $|E|$  = size of  $E$ , often denoted  **$m$**

# Example Directed Graph (Digraph)

7



$$V = \{a,b,c,d,e,f\}$$

$$E = \{(a,b), (a,c), (a,e), (b,c), (b,d), (b,e), (c,d), (c,f), (d,e), (d,f), (e,f)\}$$

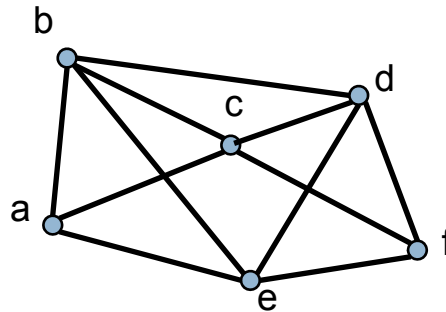
$$|V| = 6, |E| = 11$$

# Example *Undirected* Graph

8

An *undirected graph* is just like a directed graph, except the edges are *unordered pairs (sets)*  $\{u,v\}$

Example:



$$V = \{a,b,c,d,e,f\}$$

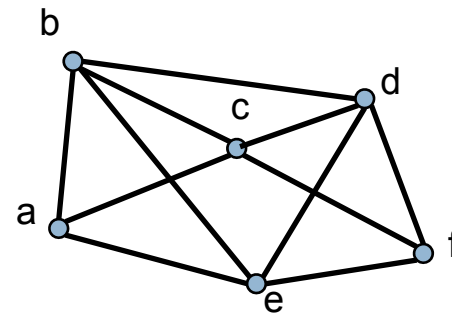
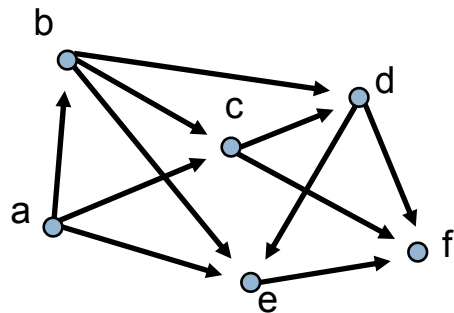
$$E = \{\{a,b\}, \{a,c\}, \{a,e\}, \{b,c\}, \{b,d\}, \{b,e\}, \{c,d\}, \{c,f\}, \\ \{d,e\}, \{d,f\}, \{e,f\}\}$$



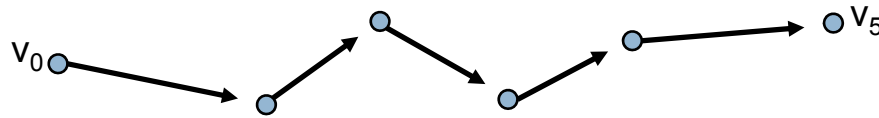
# Some Graph Terminology

9

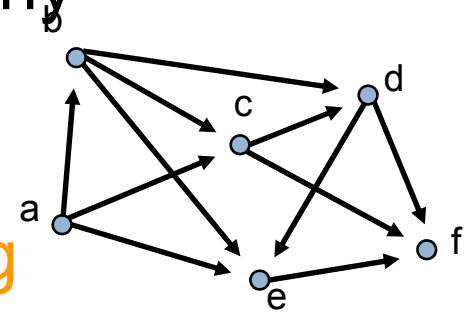
- Vertices  $u$  and  $v$  are called the **source** and **sink** of the directed edge  $(u,v)$ , respectively
- Vertices  $u$  and  $v$  are called the **endpoints** of  $(u,v)$
- Two vertices are **adjacent** if they are connected by an edge
- The **outdegree** of a vertex  $u$  in a directed graph is the number of edges for which  $u$  is the source
- The **indegree** of a vertex  $v$  in a directed graph is the number of edges for which  $v$  is the sink
- The **degree** of a vertex  $u$  in an undirected graph is the number of edges of which  $u$  is an endpoint



# More Graph Terminology

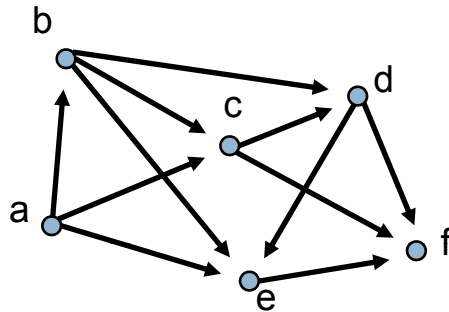


- A **path** is a sequence  $v_0, v_1, v_2, \dots, v_p$  of vertices such that  $(v_i, v_{i+1}) \in E$ ,  $0 \leq i \leq p - 1$
- The **length of a path** is its number of edges
  - ▣ In this example, the length is 5
- A path is **simple** if it does not repeat any vertices
- A **cycle** is a path  $v_0, v_1, v_2, \dots, v_p$  such that  $v_0 = v_p$
- A cycle is **simple** if it does not repeat any vertices except the first and last
- A graph is **acyclic** if it has no cycles
- A directed acyclic graph is called a **dag**



# Is This a Dag?

11



## □ Intuition:

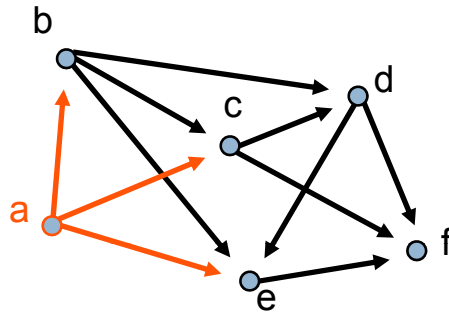
- If it's a dag, there must be a vertex with indegree zero – why?

## □ This idea leads to an algorithm

- A digraph is a dag if and only if we can iteratively delete indegree-0 vertices until the graph disappears

# Is This a Dag?

12



## □ Intuition:

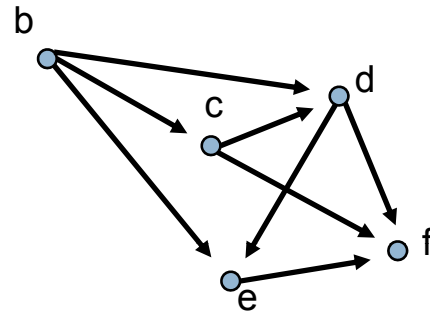
- If it's a dag, there must be a vertex with indegree zero – why?

## □ This idea leads to an algorithm

- A digraph is a dag if and only if we can iteratively delete indegree-0 vertices until the graph disappears

# Is This a Dag?

13



## □ Intuition:

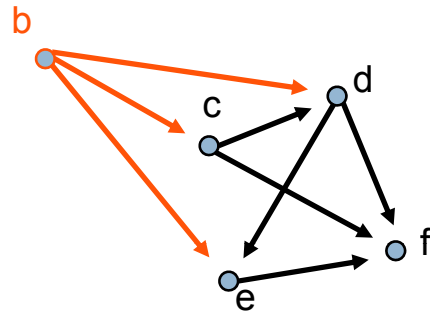
- If it's a dag, there must be a vertex with indegree zero – why?

## □ This idea leads to an algorithm

- A digraph is a dag if and only if we can iteratively delete indegree-0 vertices until the graph disappears

# Is This a Dag?

14



## □ Intuition:

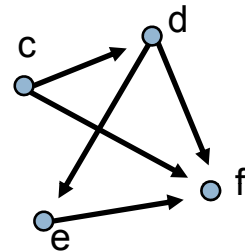
- If it's a dag, there must be a vertex with indegree zero – why?

## □ This idea leads to an algorithm

- A digraph is a dag if and only if we can iteratively delete indegree-0 vertices until the graph disappears

# Is This a Dag?

15



## □ Intuition:

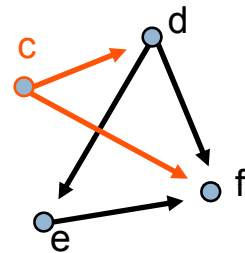
- If it's a dag, there must be a vertex with indegree zero – why?

## □ This idea leads to an algorithm

- A digraph is a dag if and only if we can iteratively delete indegree-0 vertices until the graph disappears

# Is This a Dag?

16



## □ Intuition:

- If it's a dag, there must be a vertex with indegree zero – why?

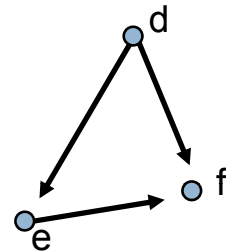
## □ This idea leads to an algorithm

- A digraph is a dag if and only if we can iteratively delete indegree-0 vertices until the graph disappears



# Is This a Dag?

17



## □ Intuition:

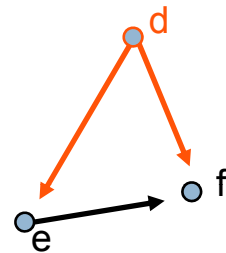
- If it's a dag, there must be a vertex with indegree zero – why?

## □ This idea leads to an algorithm

- A digraph is a dag if and only if we can iteratively delete indegree-0 vertices until the graph disappears

# Is This a Dag?

18



## □ Intuition:

- If it's a dag, there must be a vertex with indegree zero – why?

## □ This idea leads to an algorithm

- A digraph is a dag if and only if we can iteratively delete indegree-0 vertices until the graph disappears

# Is This a Dag?

19



## □ Intuition:

- If it's a dag, there must be a vertex with indegree zero – why?

## □ This idea leads to an algorithm

- A digraph is a dag if and only if we can iteratively delete indegree-0 vertices until the graph disappears

# Is This a Dag?

20



## □ Intuition:

- If it's a dag, there must be a vertex with indegree zero – why?

## □ This idea leads to an algorithm

- A digraph is a dag if and only if we can iteratively delete indegree-0 vertices until the graph disappears

# Is This a Dag?

21

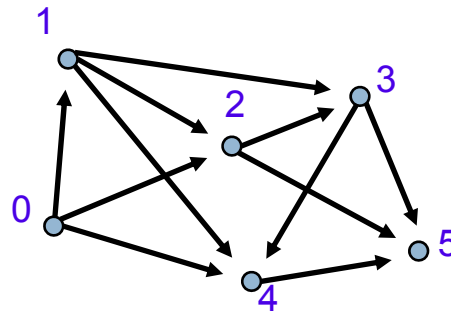
o f

- Intuition:
  - ▣ If it's a dag, there must be a vertex with indegree zero – why?
- This idea leads to an algorithm
  - ▣ A digraph is a dag if and only if we can iteratively delete indegree-0 vertices until the graph disappears

# Topological Sort

22

- We just computed a **topological sort** of the dag
  - ▣ This is a numbering of the vertices such that all edges go from lower- to higher-numbered vertices

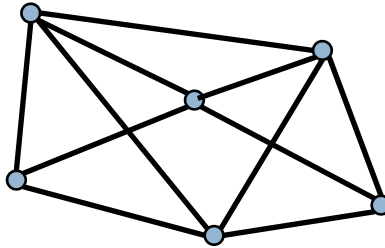


- Useful in job scheduling with precedence constraints

# Graph Coloring

23

- A **coloring** of an undirected graph is an assignment of a color to each node such that no two adjacent vertices get the same color

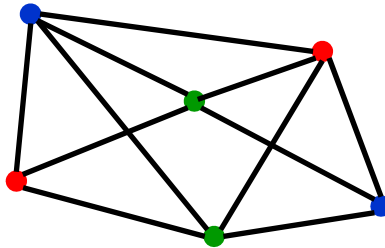


- How many colors are needed to color this graph?

# Graph Coloring

24

- A **coloring** of an undirected graph is an assignment of a color to each node such that no two adjacent vertices get the same color



- How many colors are needed to color this graph?

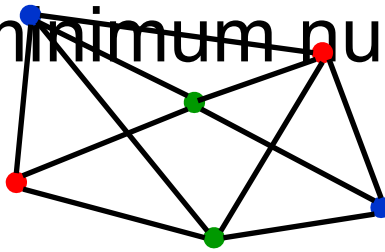
⤵



# An Application of Coloring

25

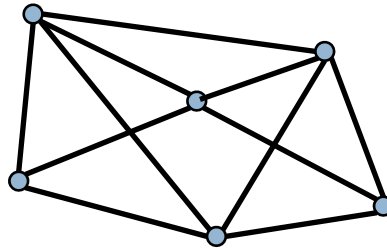
- Vertices are jobs
- Edge  $(u,v)$  is present if jobs  $u$  and  $v$  each require access to the same shared resource, and thus cannot execute simultaneously
- Colors are time slots to schedule the jobs
- Minimum number of colors needed to color the graph = minimum number of time slots required



# Planarity

26

- A graph is **planar** if it can be embedded in the plane with no edges crossing

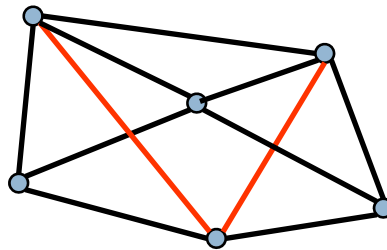


- Is this graph planar?

# Planarity

27

- A graph is **planar** if it can be embedded in the plane with no edges crossing

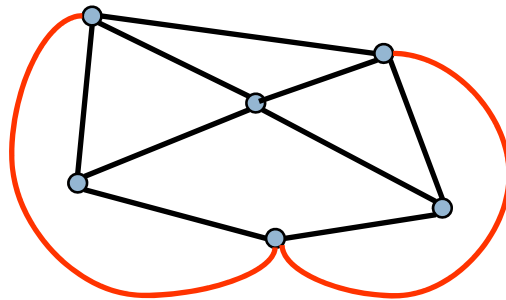


- Is this graph planar?
  - Yes

# Planarity

28

- A graph is **planar** if it can be embedded in the plane with no edges crossing

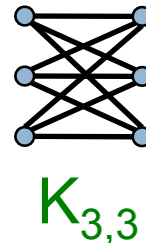
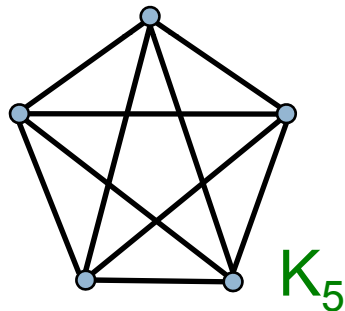


- Is this graph planar?
  - Yes

# Detecting Planarity

29

## □ Kuratowski's Theorem



- A graph is planar if and only if it does not contain a copy of  $K_5$  or  $K_{3,3}$  (possibly with other nodes along the edges shown)

# The Four-Color Theorem

30

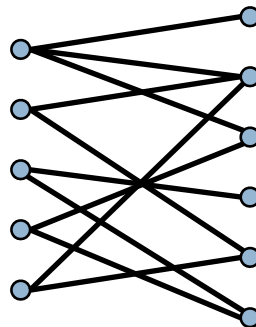
Every planar graph  
is 4-colorable  
(Appel & Haken, 1976)



# Bipartite Graphs

31

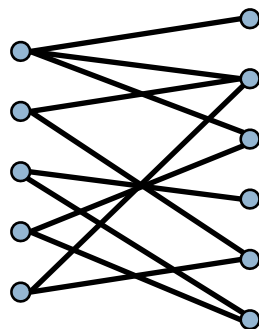
- A directed or undirected graph is **bipartite** if the vertices can be partitioned into two sets such that all edges go between the two sets



# Bipartite Graphs

32

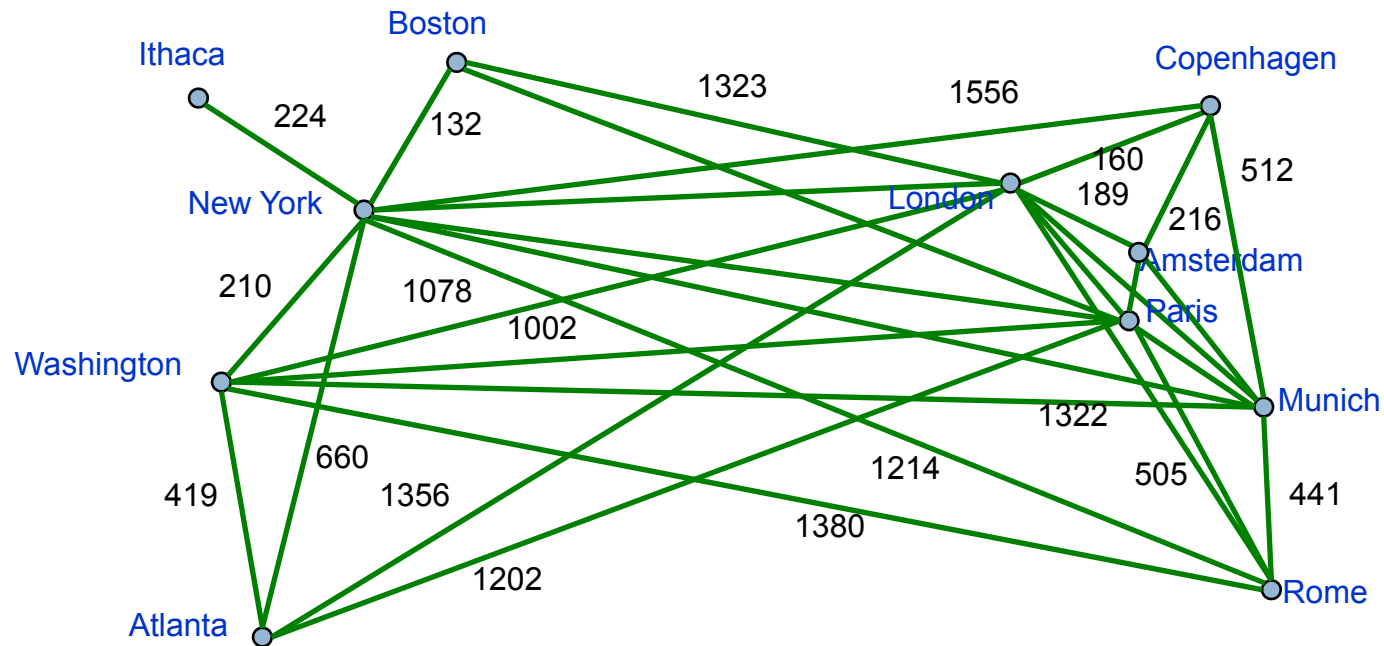
- The following are equivalent
  - $G$  is bipartite
  - $G$  is 2-colorable
  - $G$  has no cycles of odd length





# Traveling Salesperson

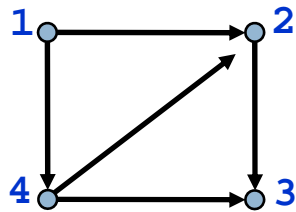
33



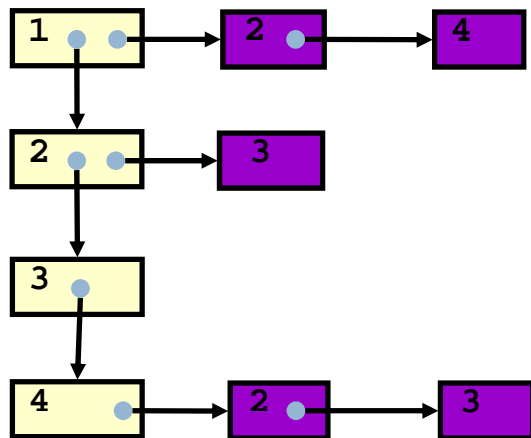
- Find a path of minimum distance that visits every city

# Representations of Graphs

34



## Adjacency List



## Adjacency Matrix

	1	2	3	4
1	0	1	0	1
2	0	0	1	0
3	0	0	0	0
4	0	1	1	0

# Adjacency Matrix or Adjacency List?

35

- $n$  = number of vertices
- $m$  = number of edges
- $d(u)$  = degree of  $u$  = number of edges leaving  $u$

## □ Adjacency Matrix

- ▣ Uses space  $O(n^2)$
- ▣ Can iterate over all edges in time  $O(n^2)$
- ▣ Can answer “Is there an edge from  $u$  to  $v$ ?” in  $O(1)$  time
- ▣ Better for **dense** graphs (lots of edges)

## • Adjacency List

- ▣ Uses space  $O(m+n)$
- ▣ Can iterate over all edges in time  $O(m+n)$
- ▣ Can answer “Is there an edge from  $u$  to  $v$ ?” in  $O(d(u))$  time
- ▣ Better for **sparse** graphs (fewer edges)

# Graph Algorithms

36

- Search
  - depth-first search
  - breadth-first search
- Shortest paths
  - Dijkstra's algorithm
- Minimum spanning trees
  - Prim's algorithm
  - Kruskal's algorithm

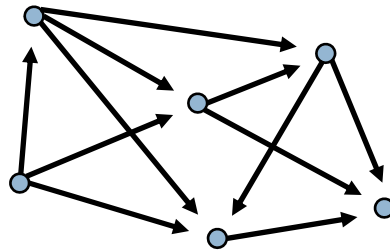
# Depth-First Search

37

- Follow edges depth-first starting from an arbitrary vertex  $r$ , using a stack to remember where you came from
- When you encounter a vertex previously visited, or there are no outgoing edges, retreat and try another path
- Eventually visit all vertices reachable from  $r$
- If there are still unvisited vertices, repeat
- $O(m)$  time

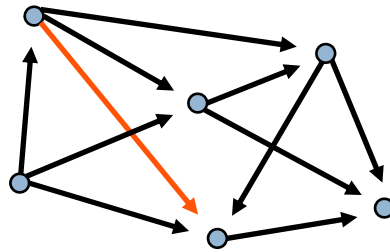
# Depth-First Search

38



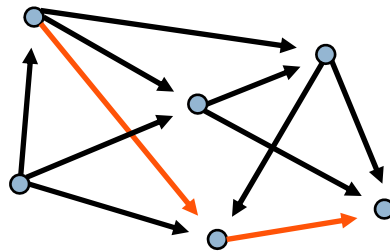
# Depth-First Search

39



# Depth-First Search

40

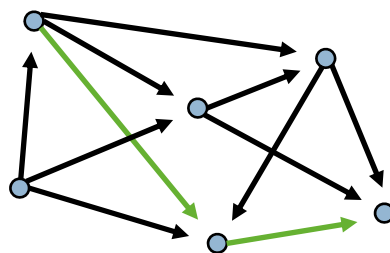




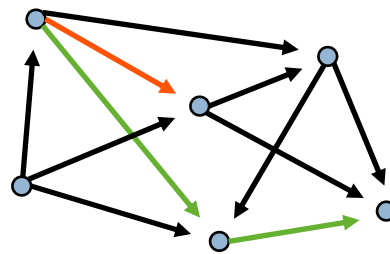


# Depth-First Search

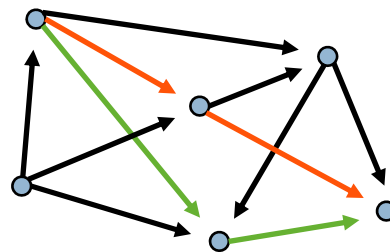
42



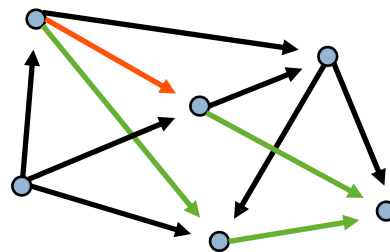
# Depth-First Search



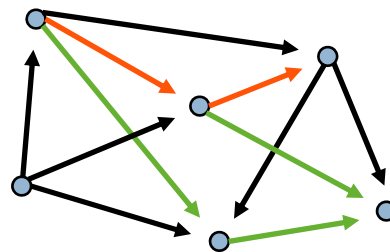
# Depth-First Search



# Depth-First Search

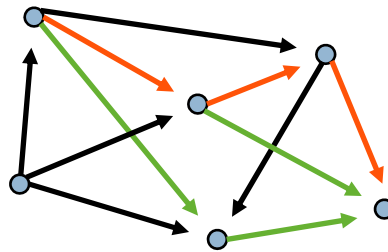


# Depth-First Search



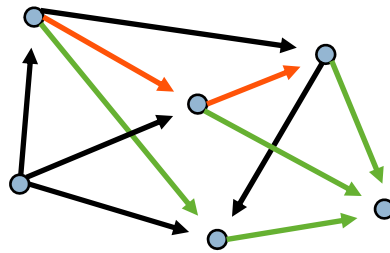
# Depth-First Search

47



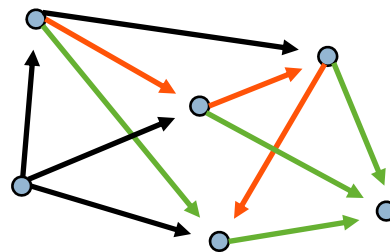
# Depth-First Search

48

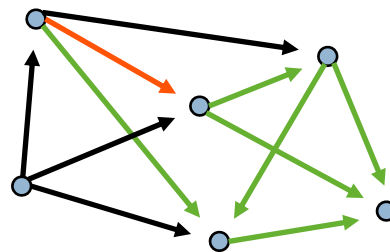




# Depth-First Search

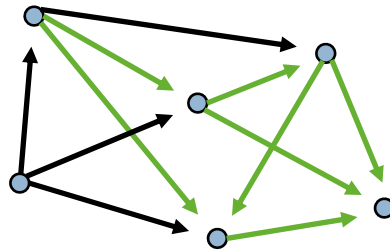


# Depth-First Search

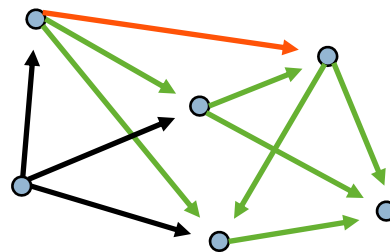


# Depth-First Search

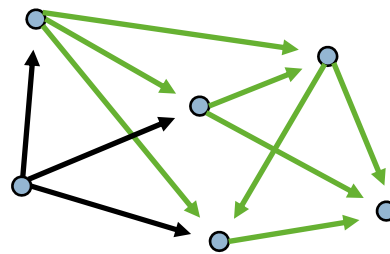
51



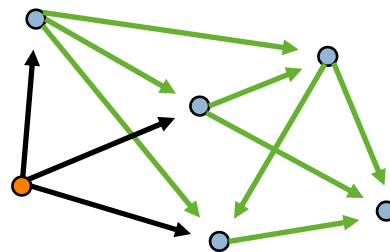
# Depth-First Search



# Depth-First Search

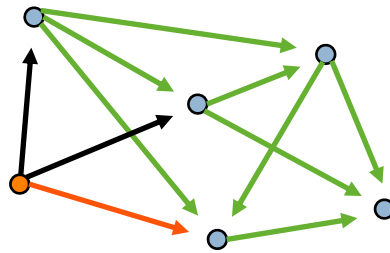


# Depth-First Search

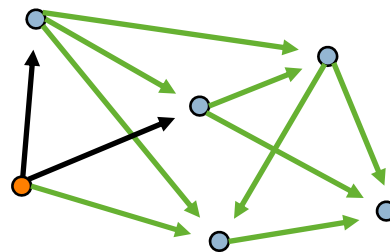


# Depth-First Search

55

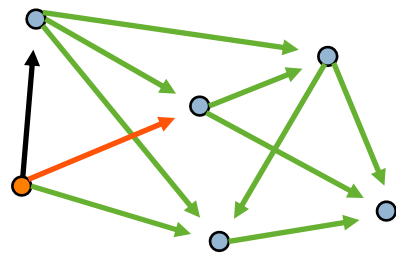


# Depth-First Search



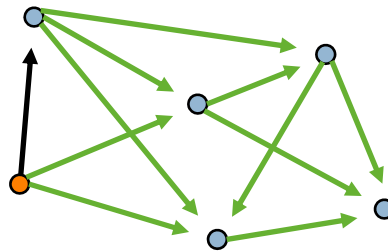


# Depth-First Search

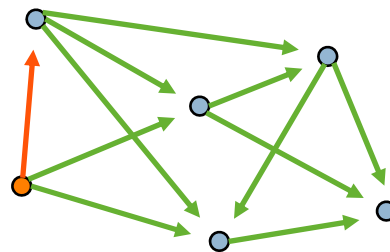


# Depth-First Search

58

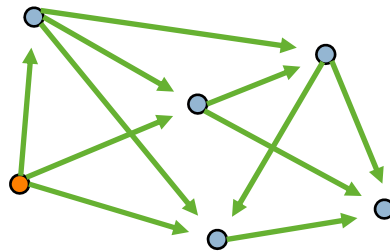


# Depth-First Search

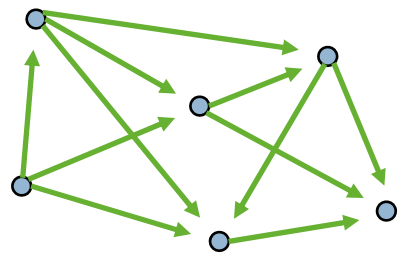


# Depth-First Search

60



# Depth-First Search



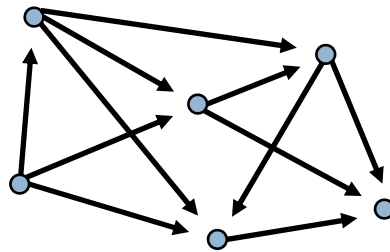
# Breadth-First Search

62

- Same, except use a queue instead of a stack to determine which edge to explore next

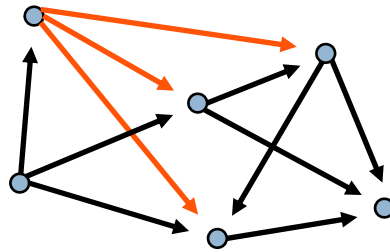
# Breadth-First Search

63



# Breadth-First Search

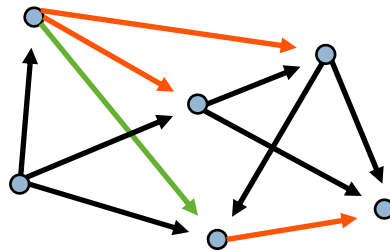
64





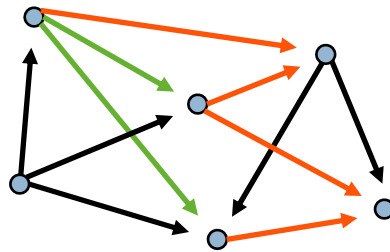
# Breadth-First Search

65



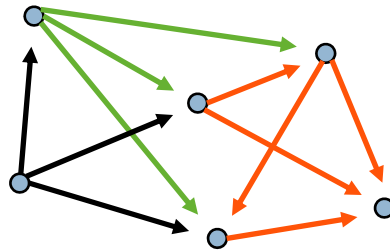
# Breadth-First Search

66



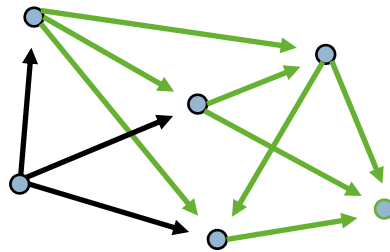
# Breadth-First Search

67



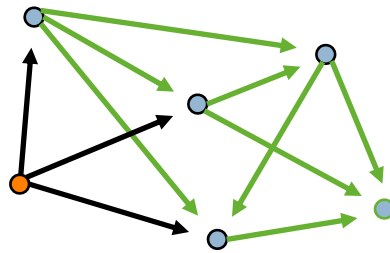
# Breadth-First Search

68

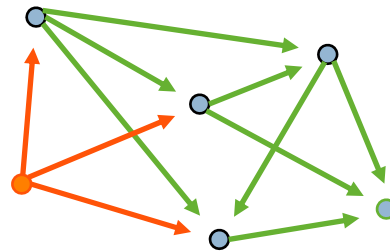


# Breadth-First Search

69

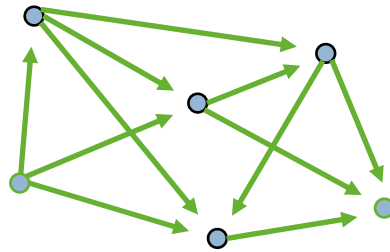


# Breadth-First Search



# Breadth-First Search

71



# Shortest Paths

72

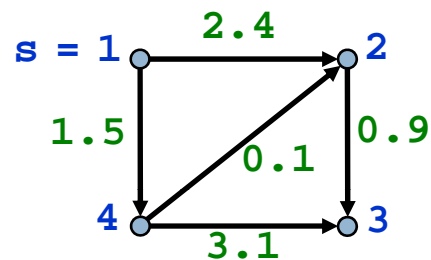
Suppose you have a US Airways route map with intercity distances. You want to know the shortest distance from Ithaca to every city served by US Airways.

This is known as the *single-source shortest path problem*.



# Shortest Paths

73



Digraph with  
edge weights

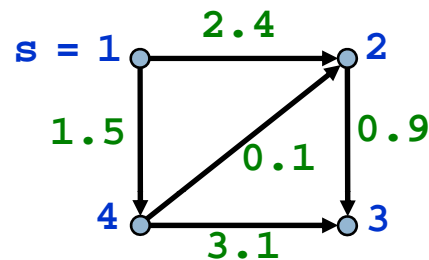
	1	2	3	4
1	0	2.4	1.5	
2		0	0.9	
3			0	
4		0.1	3.1	0

Corresponding  
matrix

**Single-source shortest path problem:** Given a graph with edge weights  $w(u,v)$  and a designated vertex  $s$ , find the shortest path from  $s$  to every other vertex (length of a path = sum of edge weights)

# Shortest Paths

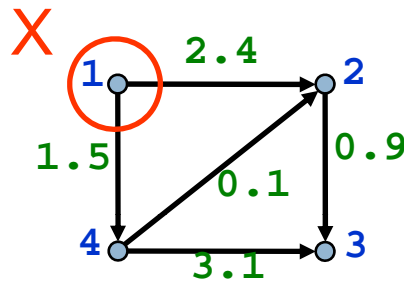
74



- Let  $d(s,u)$  denote the distance (length of shortest path) from  $s$  to  $u$ . In this example,
- $d(1,1) = 0$
- $d(1,2) = 1.6$
- $d(1,3) = 2.5$
- $d(1,4) = 1.5$

# Dijkstra's Algorithm

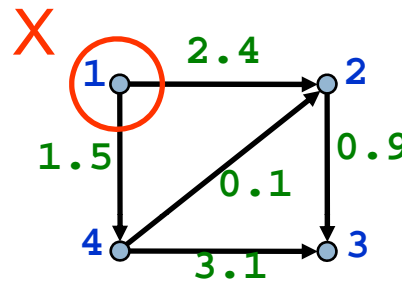
75



- Let  $X = \{s\}$ 
  - $X$  is the set of nodes for which we have already determined the shortest path
- For each node  $u \notin X$ , define  $D(u) = w(s,u)$ 
  - $D(2) = 2.4$
  - $D(3) =$
  - $D(4) = 1.5$

# Dijkstra's Algorithm

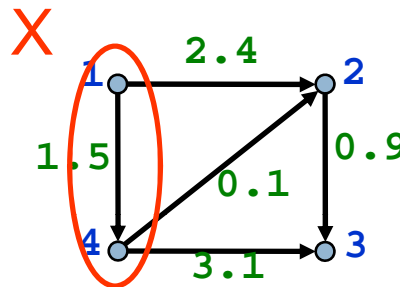
76



- Find  $u \in X$  such that  $D(u)$  is minimum, add it to  $X$ 
  - at that point,  $d(s,u) = D(u)$
- For each node  $v \in X$  such that  $(u,v) \in E$ ,  
if  $D(u) + w(u,v) < D(v)$ , set  $D(v) = D(u) + w(u,v)$ 
  - $D(2) = 2.4$
  - $D(3) =$
  - $D(4) = 1.5$

# Dijkstra's Algorithm

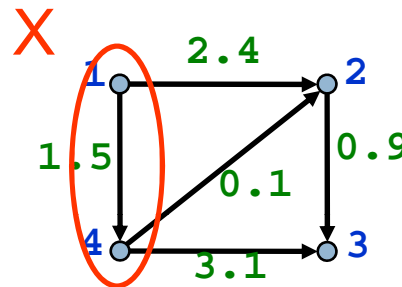
77



- Find  $u \in X$  such that  $D(u)$  is minimum, add it to  $X$ 
  - at that point,  $d(s,u) = D(u)$   $u = 4$
- For each node  $v \notin X$  such that  $(u,v) \in E$ ,  
if  $D(u) + w(u,v) < D(v)$ , set  $D(v) = D(u) + w(u,v)$ 
  - $D(2) = 2.4$
  - $D(3) =$
  - $D(4) = 1.5 = d(1,4)$

# Dijkstra's Algorithm

78



- Find  $u \in X$  such that  $D(u)$  is minimum, add it to  $X$

– at that point,  $d(s,u) = D(u)$   $u = 4$

- For each node  $v \in X$  such that  $(u,v) \in E$ ,  
if  $D(u) + w(u,v) < D(v)$ , set  $D(v) = D(u) + w(u,v)$

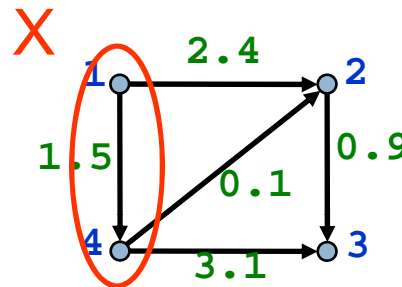
–  $D(2) = 2.4$   ~~$1.6$~~

–  $D(3) =$   ~~$4.6$~~

–  $D(4) = 1.5 = d(1,4)$

# Dijkstra's Algorithm

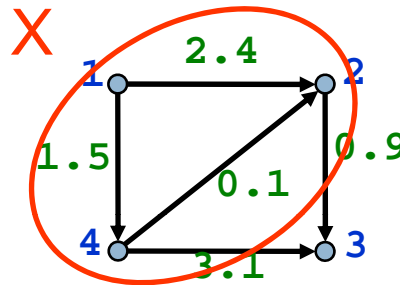
79



- Find  $u \in X$  such that  $D(u)$  is minimum, add it to  $X$ 
  - at that point,  $d(s,u) = D(u)$
- For each node  $v \notin X$  such that  $(u,v) \in E$ ,  
if  $D(u) + w(u,v) < D(v)$ , set  $D(v) = D(u) + w(u,v)$ 
  - $D(2) = 2.4$   ~~$1.6$~~
  - $D(3) =$   ~~$4.6$~~
  - $D(4) = 1.5 = d(1,4)$

# Dijkstra's Algorithm

80



- Find  $u \in X$  such that  $D(u)$  is minimum, add it to  $X$

– at that point,  $d(s,u) = D(u)$   $u = 2$

- For each node  $v \notin X$  such that  $(u,v) \in E$ ,  
if  $D(u) + w(u,v) < D(v)$ , set  $D(v) = D(u) + w(u,v)$

–  $D(2) = 2.4$   ~~$1.6$~~   $= d(1,2)$

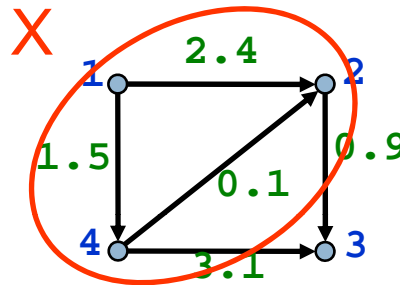
–  $D(3) =$   ~~$4.6$~~

–  $D(4) = 1.5 = d(1,4)$



# Dijkstra's Algorithm

81



- Find  $u \in X$  such that  $D(u)$  is minimum, add it to  $X$

– at that point,  $d(s,u) = D(u)$   $u = 2$

- For each node  $v \notin X$  such that  $(u,v) \in E$ ,  
if  $D(u) + w(u,v) < D(v)$ , set  $D(v) = D(u) + w(u,v)$

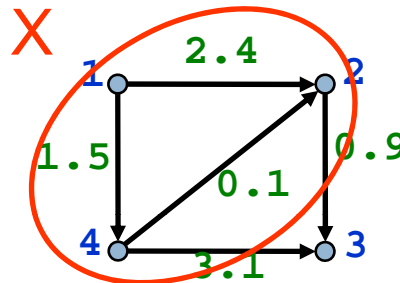
–  $D(2) = 2.4$   ~~$1.6$~~   $= d(1,2)$

–  $D(3) =$   ~~$4.6$~~   ~~$2.5$~~

–  $D(4) = 1.5 = d(1,4)$

# Dijkstra's Algorithm

82



- Find  $u \in X$  such that  $D(u)$  is minimum, add it to  $X$

– at that point,  $d(s,u) = D(u)$

- For each node  $v \notin X$  such that  $(u,v) \in E$ ,  
if  $D(u) + w(u,v) < D(v)$ , set  $D(v) = D(u) + w(u,v)$

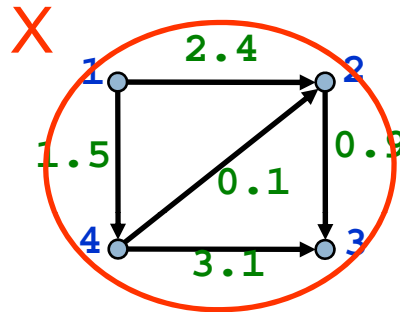
–  $D(2) = 2.4$   ~~$1.6$~~  =  $d(1,2)$

–  $D(3) =$   ~~$4.6$~~   ~~$2.5$~~

–  $D(4) = 1.5 = d(1,4)$

# Dijkstra's Algorithm

83



- Find  $u \in X$  such that  $D(u)$  is minimum, add it to  $X$ 
  - at that point,  $d(s,u) = D(u)$   $u = 3$
- For each node  $v \notin X$  such that  $(u,v) \in E$ ,  
if  $D(u) + w(u,v) < D(v)$ , set  $D(v) = D(u) + w(u,v)$ 
  - $D(2) = 2.4$   ~~$1.6$~~   $= d(1,2)$
  - $D(3) =$   ~~$4.6$~~   ~~$2.5$~~   $= d(1,3)$
  - $D(4) = 1.5 = d(1,4)$

# Dijkstra's Algorithm

84

Proof of correctness – show that the following are invariants of the loop:

- For  $u \in X$ ,  $D(u) = d(s,u)$
- For  $u \in X$  and  $v \notin X$ ,  $d(s,u) \leq d(s,v)$
- For all  $u$ ,  $D(u)$  is the length of the shortest path from  $s$  to  $u$  such that all nodes on the path (except possibly  $u$ ) are in  $X$

Implementation:

- Use a **priority queue** for the nodes not yet taken – priority is  $D(u)$

# Complexity

85

- Every edge is examined once when its source is taken into  $X$
- A vertex may be placed in the priority queue multiple times, but at most once for each incoming edge
- Number of insertions and deletions into priority queue =  $m + 1$ , where  $m = |E|$
- Total complexity =  $O(m \log m)$

# Conclusion

- There are faster but much more complicated algorithms for single-source, shortest-path problem that run in time  $O(n \log n + m)$  using something called *Fibonacci heaps*
- It is important that all edge weights be nonnegative
  - Dijkstra's algorithm does not work otherwise, we need a more complicated algorithm called *Warshall's algorithm*
- Learn about this and more in CS4820