

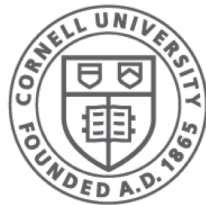
<http://www.cs.cornell.edu/courses/cs1110/2018sp>

Lecture 24: Loop Invariants

[[Online Reading](#)]

CS 1110

Introduction to Computing Using Python



Cornell CIS
COMPUTING AND INFORMATION SCIENCE

[E. Andersen, A. Bracy, D. Gries, L. Lee, S. Marschner, C. Van Loan, W. White]

Announcements

- Lab 14 (there is no Lab 13) goes out next week and is the last lab
- A5 out by early next week. This is the last assignment.
- Prelim 2 grading will happen over the weekend.
- Do the Loop Invariant Reading before the Lab

Recall: Important Terminology

- **assertion**: true-false statement placed in a program to *assert* that it is true at that point
 - Can either be a **comment**, or an **assert** command
- **invariant**: assertion supposed to **always** be true
 - If temporarily invalidated, must make it true again
 - **Example**: class invariants and class methods
- **loop invariant**: assertion supposed to be true before and after each iteration of the loop
- **iteration of a loop**: one execution of its body

Recall: The while-loop

precondition

while $\langle condition \rangle$:

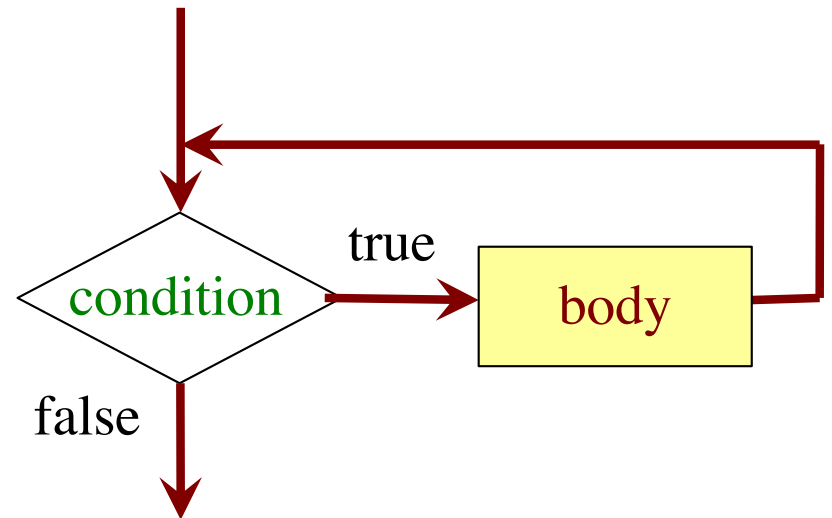
statement 1

...

statement n

body

postcondition



- **Precondition:** assertion placed before a segment
- **Postcondition:** assertion placed after a segment

4 Tasks in this Lecture

1. Setting the table for more people
 - Building intuitions about invariants
2. Summing the Squares
 - Designing your invariants
3. Count num adjacent equal pairs
 - **How invariants help you solve a problem!**
4. Find largest element in a list
 - How you need to be careful during initialization

Task 1: Setting the table for more people

precondition: n_forks are needed @ table

$k = 0$

while $k < n_more_guests$:

body goes here

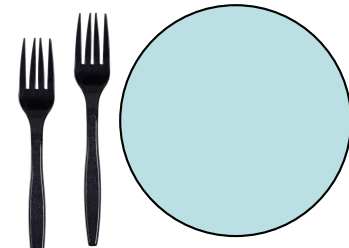
...

$k = k + 1$

postcondition: n_forks are needed @ table

Relationship Between Two

If **precondition** is true, then **postcondition** will be true



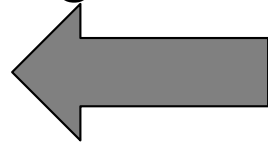
- **Precondition:** before we start, we should have *2 forks for each guest* (dinner fork & salad fork)
- **Postcondition:** after we finish, we should still have *2 forks for each guest*

Q: Completing the Loop Body

precondition: n_forks are needed @ table

k = 0

while k < n_more_guests:



k = k + 1

What statement do you put here to make the postcondition true?

postcondition: n_forks are needed @ table

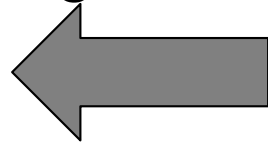
- A: n_forks += 2
- B: n_forks += 1
- C: n_forks = k
- D: None of the above
- E: I don't know

A: Completing the Loop Body

precondition: n_forks are needed @ table

k = 0

while k < n_more_guests:



k = k + 1

What statement do you put here to make the postcondition true?

postcondition: n_forks are needed @ table

A: n_forks += 2 **CORRECT**

B: n_forks += 1

C: n_forks = k

D: None of the above

E: I don't know

Invariants: Assertions That Do Not Change

Loop Invariant: an assertion that is true before and after each iteration (execution of body)

precondition: `n_forks` are needed @ table

`k = 0`

#INV: `n_forks = num forks needed with k more guests`

while `k < n_more_guests:` **invariant holds before loop**

`n_forks += 2`

`k += 1` **invariant still holds here**

postcondition: `n_forks` are needed @ table

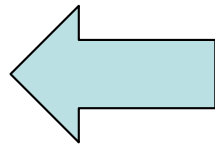
What's a Helpful Invariant?

Loop Invariant: an assertion that is true before and after each iteration (execution of body)

- Documents the semantic meaning of your variables and their relationship (if any)
- Should help you **understand the loop**

Bad:

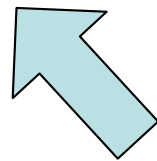
`n_forks >= 0`



True, but *doesn't help you understand the loop*

Good:

`n_forks == num forks needed with k more guests`



Useful in order to conclude that you're adding guests to the table correctly

Task 2: Summing the Squares

Task: sum the squares of **k** from **k = 2..5**

total = 0

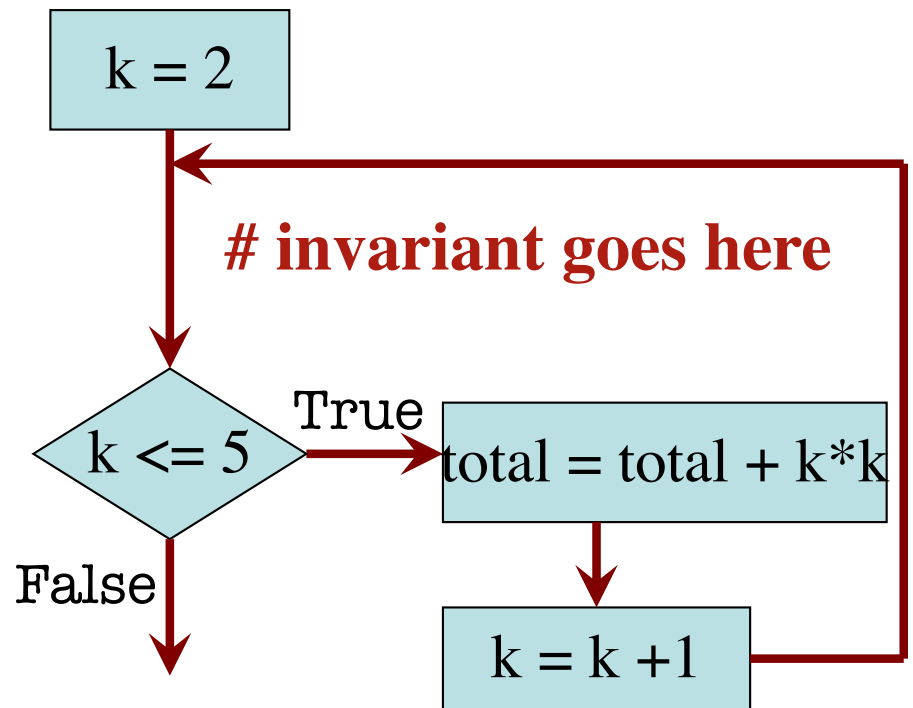
k = 2

while k <= 5:

total = total + k*k

k = k + 1

POST: total is sum of 2...5



Loop processes range 2..5

What is the invariant?

Task: sum the squares of **k** from **k = 2..5**

What is true at the end of each loop iteration?

```
total = 0;
```

```
k = 2
```

```
while k <= 5:
```

```
    total = total + k*k
```

```
    k = k + 1
```

What is true here?



```
POST: total is sum of 2...5
```

total should have added in the square of (k-1)

total = sum of squares of 2..k-1

Summing Squares: Invariant Check #1

total = 0

before any iteration:

k = 2

total 0

k 2

➔ # INV: total = sum of squares of 2..k-1

while k <= 5:

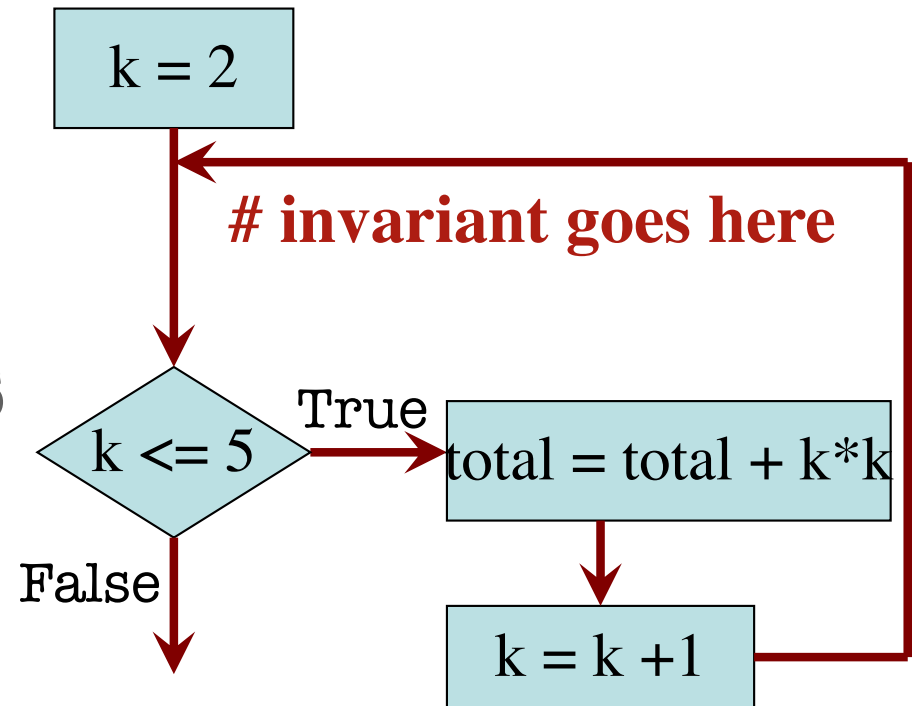
total = total + k*k

k = k + 1

POST: total = sum of squares of 2..5

Integers that have
been processed: **none**

Range 2..k-1: **2..1 (empty)**



Summing Squares: Invariant Check #2

total = 0

after 1 iteration:

k = 2

total ~~0~~ 4

k ~~2~~ 3

1 # INV: total = sum of squares of 2..k-1

while k <= 5:

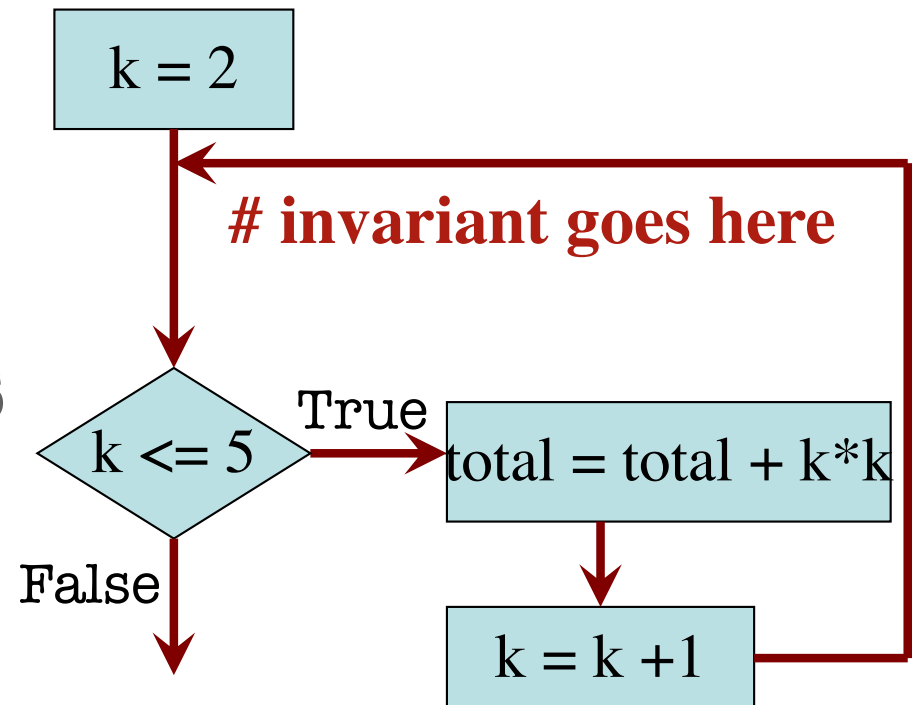
total = total + k*k

k = k + 1

POST: total = sum of squares of 2..5

Integers that have
been processed: **2**

Range 2..k-1: **2..2**



Summing Squares: Invariant Check #3

total = 0

after 2 iterations:

k = 2

total ~~0~~ ~~4~~ 13

k ~~2~~ ~~3~~ 4

2 # INV: total = sum of squares of 2..k-1

while k <= 5:

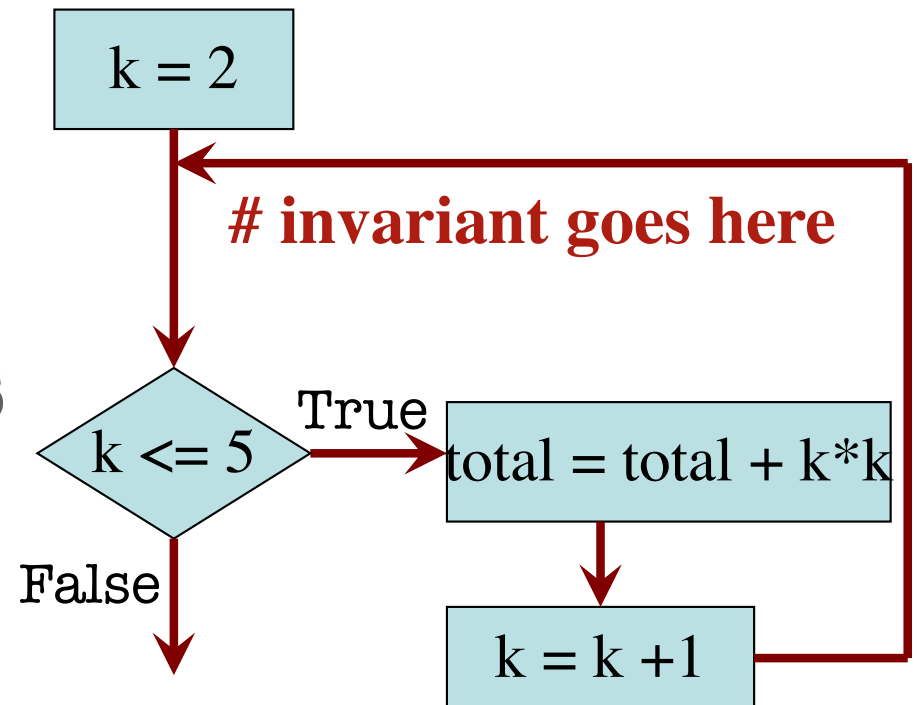
total = total + k*k

k = k + 1

POST: total = sum of squares of 2..5

Integers that have
been processed: **2, 3**

Range 2..k-1: **2..3**



Summing Squares: Invariant Check #4

total = 0

after 3 iterations:

k = 2

total ~~0~~ ~~4~~ ~~13~~ 29

k ~~2~~ ~~3~~ ~~4~~ 5

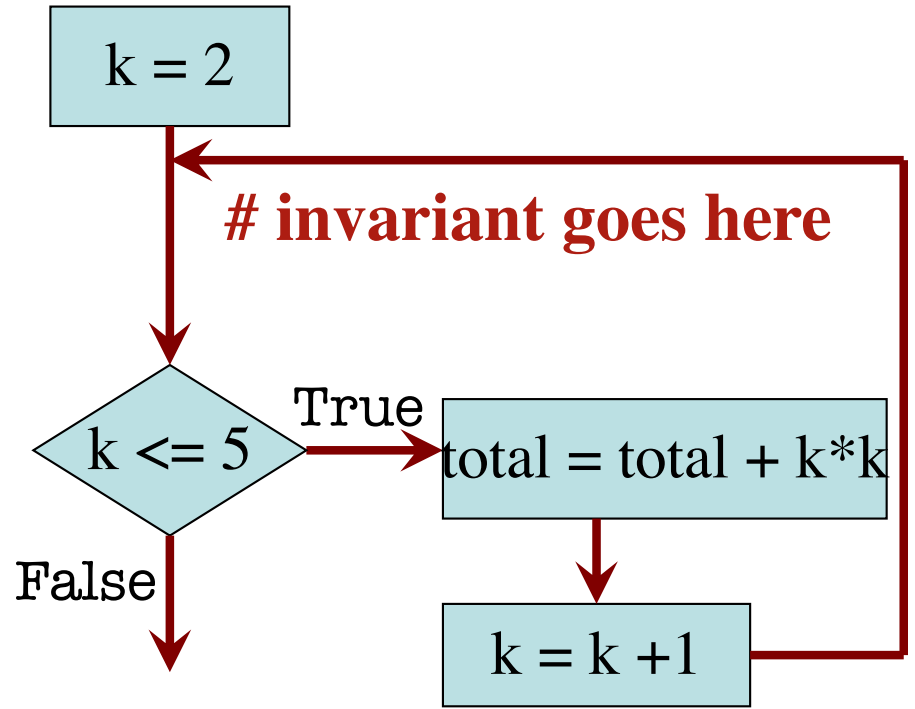
3 # INV: total = sum of squares of 2..k-1

while k <= 5:

total = total + k*k

k = k + 1

POST: total = sum of squares of 2..5



Integers that have been processed: **2, 3, 4**

Range 2..k-1: **2..4**

Summing Squares: Invariant Check #5

total = 0

after 4 iterations:

k = 2

total ~~0~~ ~~4~~ ~~13~~ ~~29~~ 54

k ~~2~~ ~~3~~ ~~4~~ ~~5~~ 6

4 # INV: total = sum of squares of 2..k-1

while k <= 5:

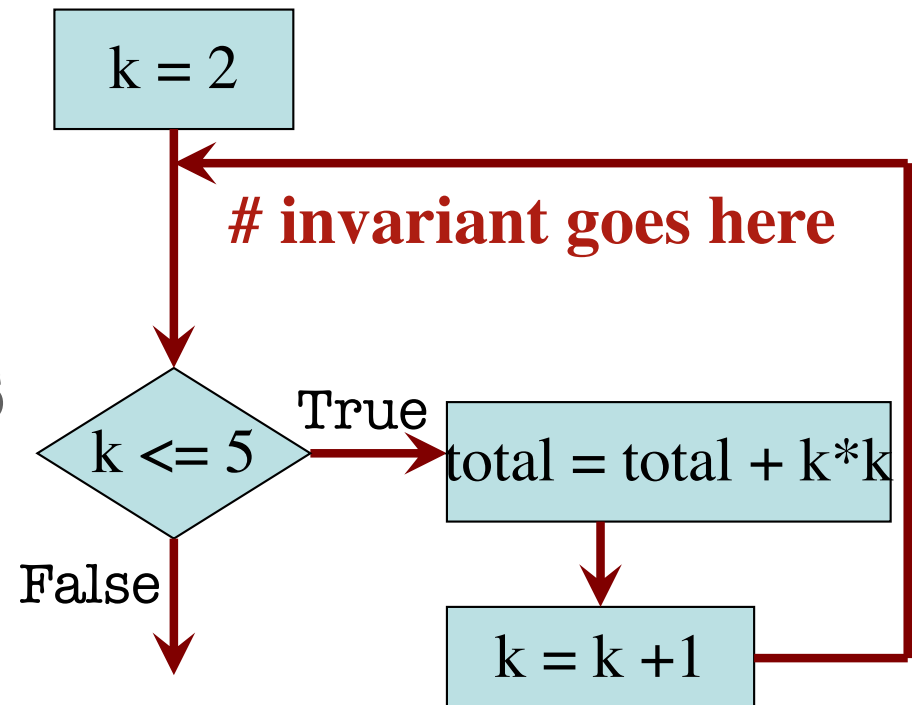
total = total + k*k

k = k + 1

POST: total = sum of squares of 2..5

Integers that have
been processed: **2, 3, 4, 5**

Range 2..k-1: **2..5**



True Invariants \rightarrow True Postcondition

total = 0

k = 2

INV: total = sum of squares of 2..k-1

while k <= 5:

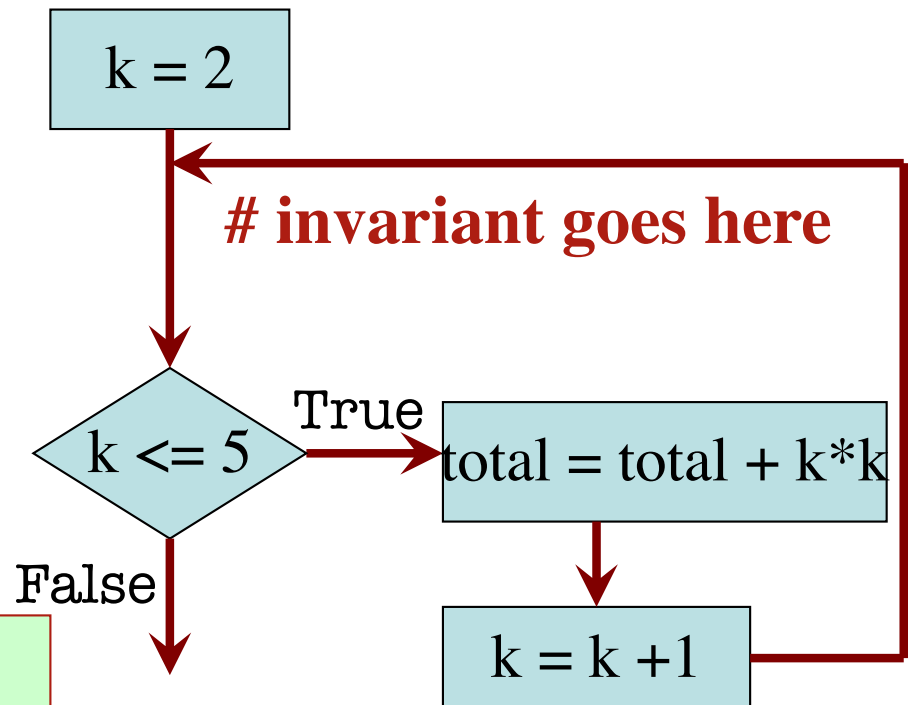
total = total + k*k

k = k + 1

→ # POST: total = sum of squares of 2..5

total ~~0~~ ~~4~~ ~~13~~ ~~29~~ 54

k ~~2~~ ~~3~~ ~~4~~ ~~5~~ 6



Invariant was always true just before test of loop condition. So it's true when loop terminates.

Designing Integer while-loops

1. Recognize that a range of integers $b..c$ has to be processed
 2. Write the command and equivalent postcondition
 3. Write the basic part of the while-loop
 4. Write loop invariant
 5. Figure out any initialization
 6. Implement the body (aka repetend) (# Process k)
-

Process $b..c$

Initialize variables (if necessary) to make invariant true

Invariant: range $b..k-1$ has been processed

while $k \leq c$:

 # Process k

$k = k + 1$

Postcondition: range $b..c$ has been processed

Task 3: count num adjacent equal pairs

1. Recognize that a range of integers $b..c$ has to be processed

```
s = 'ebeee', n_pair = 2
```

```
s = 'xxxbee', n_pair = 4
```

Approach:

Will need to look at characters $0..len(s)-1$

Will need to compare 2 adjacent characters in S .

Beyond that... not sure yet!

Task 3: count num adjacent equal pairs

2. Write the command and equivalent postcondition
3. Write the basic part of the while-loop (see postcondition)

```
# set n_pair to number of adjacent equal pairs in s
```

```
while k < len(s): # we're deciding k is the second in the current pair  
                # otherwise, we'd set the condition to k < len(s) - 1
```

```
    k = k + 1
```

```
# POST: n_pair = # adjacent equal pairs in s[0..len(s)-1]
```

Q: What range of s has been processed?

2. Write the command and equivalent postcondition
3. Write the basic part of the while-loop

set n_pair to number of adjacent equal pairs in s

while k < len(s):

k = k + 1

POST: n_pair = # adjacent equal pairs in s[0..len(s)-1]

A: 0..k

B: 1..k

C: 0..k-1

D: 1..k-1

E: I don't know

k: next integer to process.

What range of s has been processed?

A: What range of s has been processed?

2. Write the command and equivalent postcondition
3. Write the basic part of the while-loop

set n_pair to number of adjacent equal pairs in s

while k < len(s):

k = k + 1

POST: n_pair = # adjacent equal pairs in s[0..len(s)-1]

A: 0..k

B: 1..k

C: 0..k-1 **CORRECT**

D: 1..k-1

E: I don't know

k: next integer to process.

What range of s has been processed?

Q: What is the loop invariant?

2. Write the command and equivalent postcondition
3. Write the basic part of the while-loop
4. Write loop invariant

set `n_pair` to number of adjacent equal pairs in `s`

INVARIANT:

while `k < len(s)`:

`k = k + 1`

A: `n_pair = num adj. equal pairs in s[1..k]`

B: `n_pair = num adj. equal pairs in s[0..k]`

C: `n_pair = num adj. equal pairs in s[1..k-1]`

D: `n_pair = num adj. equal pairs in s[0..k-1]`

E: I don't know

POST: `n_pair = # adjacent equal pairs in s[0..len(s)-1]`

A: What is the loop invariant?

2. Write the command and equivalent postcondition
3. Write the basic part of the while-loop
4. Write loop invariant

set `n_pair` to number of adjacent equal pairs in `s`

INVARIANT:

while `k < len(s)`:

`k = k + 1`

A: `n_pair = num adj. equal pairs in s[1..k]`

B: `n_pair = num adj. equal pairs in s[0..k]`

C: `n_pair = num adj. equal pairs in s[1..k-1]`

D: `n_pair = num adj. equal pairs in s[0..k-1]` **CORRECT**

E: I don't know

POST: `n_pair = # adjacent equal pairs in s[0..len(s)-1]`

Q: how to initialize k?

2. Write the command and equivalent postcondition
3. Write the basic part of the while-loop
4. Write loop invariant
5. Figure out any initialization

set n_pair to # adjacent equal pairs in s

n_pair = 0; k = ?

INV: n_pair = # adjacent equal pairs in s[0..k-1]

while k < len(s):

 k = k + 1

POST: n_pair = # adjacent equal pairs in s[0..len(s)-1]

A: k = 0

B: k = 1

C: k = -1

D: I don't know

A: how to initialize k?

2. Write the command and equivalent postcondition
3. Write the basic part of the while-loop
4. Write loop invariant
5. Figure out any initialization

set n_pair to # adjacent equal pairs in s

n_pair = 0; k = ?

INV: n_pair = # adjacent equal pairs in s[0..k-1]

while k < len(s):

 k = k + 1

POST: n_pair = # adjacent equal pairs in s[0..len(s)-1]

A: k = 0

B: k = 1 **CORRECT**

C: k = -1

D: I don't know

Q: What do we compare to “process k”?

2. Write the command and equivalent postcondition
 3. Write the basic part of the while-loop
 4. Write loop invariant
 5. Figure out any initialization
 6. Implement the body (aka repetend) (# Process k)
-

set n_pair to # adjacent equal pairs in s

n_pair = 0; k = 1

INV: n_pair = # adjacent equal pairs in s[0..k-1]

while k < len(s):

k = k + 1

A: s[k] and s[k+1]

B: s[k-1] and s[k]

C: s[k-1] and s[k+1]

D: s[k] and s[n] E: I don't know

POST: n_pair = # adjacent equal pairs in s[0..len(s)-1]

A: What do we compare to “process k”?

2. Write the command and equivalent postcondition
 3. Write the basic part of the while-loop
 4. Write loop invariant
 5. Figure out any initialization
 6. Implement the body (aka repetend) (# Process k)
-

set n_pair to # adjacent equal pairs in s

n_pair = 0; k = 1

INV: n_pair = # adjacent equal pairs in s[0..k-1]

while k < len(s):

k = k + 1

A: s[k] and s[k+1]

B: s[k-1] and s[k] **CORRECT**

C: s[k-1] and s[k+1]

D: s[k] and s[n] E: I don't know

POST: n_pair = # adjacent equal pairs in s[0..len(s)-1]

Task 3: count num adjacent equal pairs

2. Write the command and equivalent postcondition
 3. Write the basic part of the while-loop
 4. Write loop invariant
 5. Figure out any initialization
 6. Implement the body (aka repetend) (# Process k)
-

```
# set n_pair to # adjacent equal pairs in s
```

```
n_pair = 0; k = 1
```

```
# INV: n_pair = # adjacent equal pairs in s[0..k-1]
```

```
while k < len(s):
```

```
    if (s[k-1] == s[k]):
```

```
        n_pair += 1
```

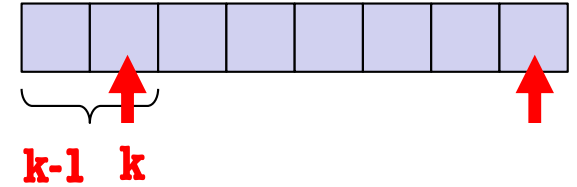
```
    k = k + 1
```

```
# POST: n_pair = # adjacent equal pairs in s[0..len(s)-1]
```



count num adjacent equal pairs: v1

Approach #1: compare $s[k]$ to the character in front of it ($s[k-1]$)



```
# set n_pair to # adjacent equal pairs in s
```

```
precondition: s is a string
```

```
n_pair = 0
```

```
k = 1
```

```
# INV: n_pair = # adjacent equal pairs in s[0..k-1]
```

```
while k < len(s):
```

```
    if (s[k-1] == s[k]):
```

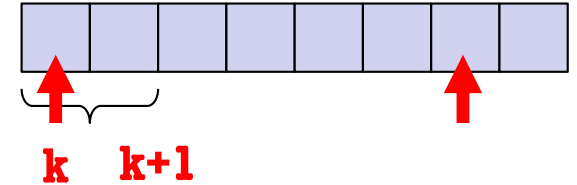
```
        n_pair += 1
```

```
    k = k + 1
```

```
postcondition: n_pair = # adjacent equal pairs in s[0..len(s)-1]
```

count num adjacent equal pairs: v2

Approach #2: compare $s[k]$ to the character in after it ($s[k+1]$)



```
# set n_pair to # adjacent equal pairs in s
```

```
precondition: s is a string
```

```
n_pair = 0
```

```
k = 0
```

```
# INV: n_pair = # adjacent equal pairs in  $s[0..k]$ 
```

```
while k < len(s) - 1:
```

```
    if (s[k] == s[k+1]):
```

```
        n_pair += 1
```

```
    k = k + 1
```

```
postcondition: n_pair = # adjacent equal pairs in  $s[0..len(s)-1]$ 
```


Task 4: find largest element in list

1. Recognize that a range of integers $b..c$ has to be processed
 2. Write the command and equivalent postcondition
 3. Write the basic part of the while-loop
 4. Write loop invariant
 5. Figure out any initialization
 6. Implement the body (aka repetend) (# Process k)
-

```
# set big to largest element in int_list, a list of int, len(int_list) >= 1
```

```
Initialize variables (if necessary) to make invariant true
```

```
# Invariant: big is largest int in int_list[0...k-1]
```

```
while k < len(int_list):
```

```
    # Process k
```

```
    k = k + 1
```

```
# Postcondition: big = largest int in int_list[0..len(int_list)-1]
```

Q: What is the initialization? (careful!)

1. Recognize that a range of integers $b..c$ has to be processed
2. Write the command and equivalent postcondition
3. Write the basic part of the while-loop
4. Write loop invariant
5. Figure out any initialization

set big to largest element in int_list, a list

Invariant: big is largest int in int_list[0...k-1]

while k < len(int_list):

 k = k + 1

Postcondition: big = largest int in int_list[0..len(int_list)-1]

A: k = 0; big = int_list[0]

B: k = 1; big = int_list[0]

C: k = 1; big = int_list[1]

D: k = 0; big = int_list[1]

E: None of the above

A: What is the initialization? (careful!)

1. Recognize that a range of integers $b..c$ has to be processed
2. Write the command and equivalent postcondition
3. Write the basic part of the while-loop
4. Write loop invariant
5. Figure out any initialization

set big to largest element in int_list, a list

A: $k = 0; \text{big} = \text{int_list}[0]$

B: $k = 1; \text{big} = \text{int_list}[0]$

C: $k = 1; \text{big} = \text{int_list}[1]$

D: $k = 0; \text{big} = \text{int_list}[1]$

E: None of the above

Invariant: big is largest int in $\text{int_list}[0..k-1]$

An empty set of characters or integers has no maximum.

Be sure that $0..k-1$ is not empty. You must start with $k = 1$.

Postcondition: $\text{big} = \text{largest int in int_list}[0..\text{len}(\text{int_list})-1]$

Task 4: find largest element in list

1. Recognize that a range of integers $b..c$ has to be processed
 2. Write the command and equivalent postcondition
 3. Write the basic part of the while-loop
 4. Write loop invariant
 5. Figure out any initialization
 6. Implement the body (aka repetend) (# Process k)
-

```
# set big to largest element in int_list, a list of int, len(int_list) >= 1
```

```
k = 1; big = int_list[0]
```

```
# Invariant: big is largest int in int_list[0..k-1]
```

```
while k < len(int_list):
```

```
    big = max(big, int_list[k])
```

```
    k = k + 1
```

```
# Postcondition: big = largest int in int_list[0..len(int_list)-1]
```

