



Synchronization

Prof. Bracy and Van Renesse

CS 4410

Cornell University

Announcements

- ◆ If you are on the fence about this class
 - Today is a good day (today is Add Deadline)
- ◆ Not in CMS?
- ◆ 4411 Projects **must be done in pairs**
 - According to CMS, many of you are not paired up.
 - ◆ Please pair up on CMS
 - ◆ Please meet at the blackboard after class to find a partner or post on 4411 piazza.

Threads share memory

Threads have:

- Private registers
 - ◆ *context switching* saves and restores registers when switching from thread to thread
- Shared “global” memory
 - ◆ *global* means not stack memory
- Usually private stack
 - ◆ pointers into stacks across threads frowned upon

Two threads, one variable

Two threads updating a single shared variable "amount"

- One thread wants to decrement amount by \$10K
- The other thread wants to decrement amount by 50%

`amount = 100,000;`

...

```
amount = amount - 10,000;
```

...

...

```
amount = 0.50 * amount;
```

...

What happens when two threads execute concurrently?

Two threads, one variable

```
amount = 100,000;
```

```
...  
r1 = load from amount  
r1 = r1 - 10,000;  
store r1 to amount  
...
```

```
...  
r2 = load from amount  
r2 = 0.5 * r2  
store r2 to amount  
...
```

amount = ?

Two threads, one variable

amount = 100,000;

...
r2 = load from amount
r2 = 0.5 * r2
store r2 to amount
...

...
r1 = load from amount
r1 = r1 - 10,000;
store r1 to amount
...

amount = ?

Two threads, one variable

amount = 100,000;

```
...  
r1 = load from amount  
r1 = r1 - 10,000;  
store r1 to amount  
...
```

```
...  
r2 = load from amount  
  
r2 = 0.5 * r2  
store r2 to amount  
...
```

amount = ?

Shared counters

- ◆ One possible result: everything works!
 - although different, either order is correct
- ◆ Another possible result: lost update!
 - ⇒ Wrong
 - ⇒ Difficult to debug

Called a "race condition"

Race conditions

- ◆ **Definition:** *timing dependent error involving shared state*
 - Once thread A starts, it needs to “race” to finish
 - Whether RC happens depends on thread schedule
 - ◆ different “*schedules*” or “*interleavings*” (total order on machine instructions)
- ◆ All possible interleavings should be *safe*
 - Correspond to some sequential order of user-defined “operations” (here: withdraw, pay-taxes, *etc.*)

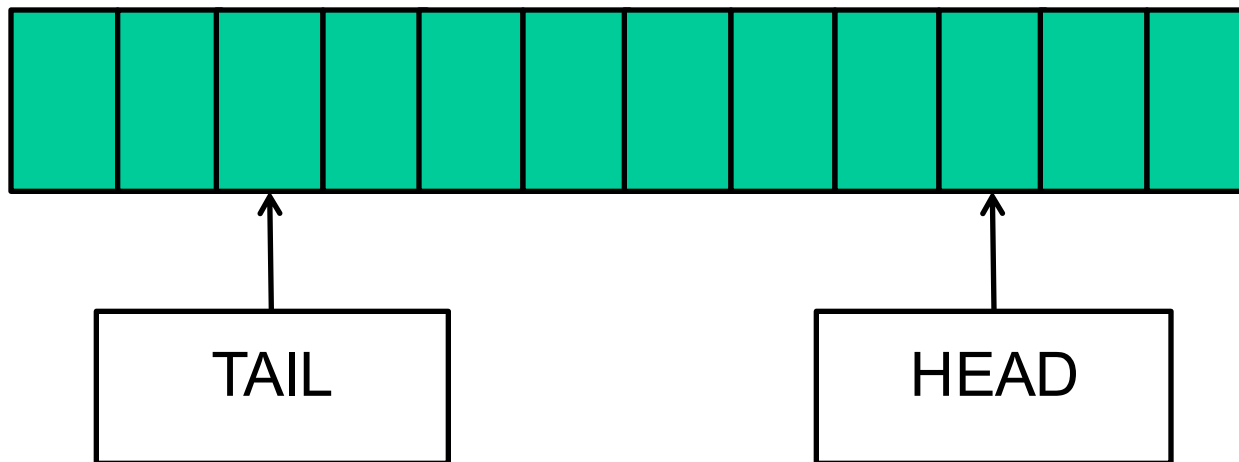
Race conditions...

...are hard to detect and debug:

- Number of possible interleavings is huge
- Some interleavings are good
- Some interleavings are bad:
 - ◆ But bad interleavings may rarely happen!
 - ◆ **Works 100x \neq no race condition**
- Timing dependent = small changes can hide bug

Example: races with queues

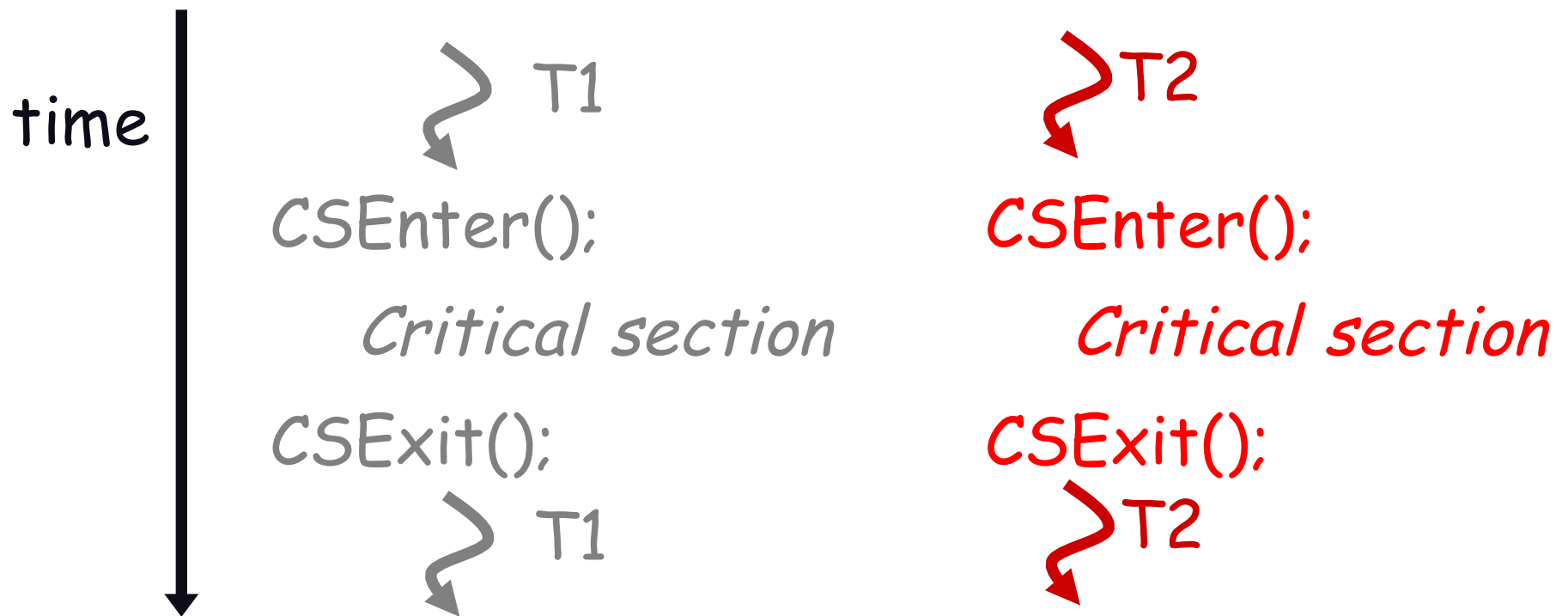
- ◆ 2 concurrent enqueue() operations?
- ◆ 2 concurrent dequeue() operations?



What could possibly go wrong?

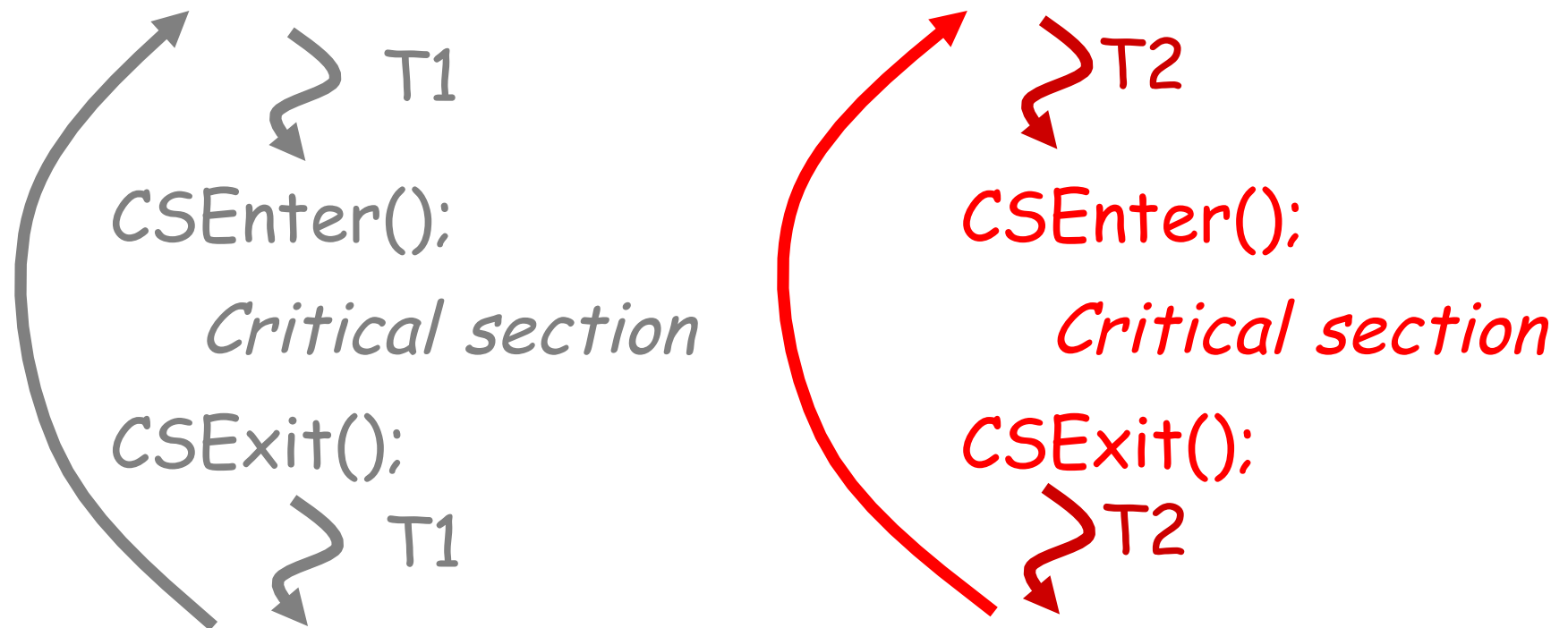
Critical Section

Code that can be executed by only one thread at a time



Critical Section

Perhaps the threads loop (perhaps not!)



Critical Section Goals

◆ We would like

- **Safety:** No more than one thread can be in a critical section at any time
- **Liveness:** A thread that is seeking to enter the critical section will eventually succeed
- **Fairness:** If two threads are both trying to enter a critical section, they have equal chances of success

◆ ... in practice, fairness is rarely guaranteed

Too much milk problem

- ◆ Two roommates want to ensure that the fridge is always stocked with milk
 - If the fridge is empty → need to restock it
 - But they don't want to buy too much milk
- ◆ Caveats
 - Can only communicate by reading and writing onto a notepad on the fridge
 - Notepad can have different cells, labeled by a string (just like variables)
- ◆ Write the pseudo-code to ensure that at most one roommate goes to buy milk

Solving the problem

A first idea: no protection

```
if fridge_empty():  
    buy_milk()
```

```
if fridge_empty():  
    buy_milk()
```

- Is this Safe? Live? Fair?

Solving the problem

A second idea:

- Have a boolean flag, *out-to-buy-milk*. Initially false.

```
while(outtobuymilk)
  do_nothing();
if fridge_empty():
  outtobuymilk :=true
  buy_milk()
  outtobuymilk := false
```

```
while(outtobuymilk)
  do_nothing();
if fridge_empty():
  outtobuymilk :=true
  buy_milk()
  outtobuymilk := false
```

– Is this Safe? Live? Fair?

Solving the problem

A third idea:

- Have two boolean flags, one for each roommate. Initially false.

```
greenbusy := true
if not redbusy and
    fridge_empty():
    buy_milk()
greenbusy := false
```

```
redbusy := true
if not greenbusy and
    fridge_empty():
    buy_milk()
redbusy := false
```

– Is this Safe? Live? Fair?

Solving the problem

A fourth idea:

- Have two boolean flags, one for each roommate. Initially false. *Asymmetric*

```
greenbusy = true
while redbusy:
    do_nothing()
if fridge_empty():
    buy_milk()
greenbusy = false
```

```
redbusy = true
if not greenbusy and
    fridge_empty():
    buy_milk()
redbusy = false
```

– Is this Safe? Live? Fair?

Solving the problem

A fourth idea:

- Have two boolean flags, one for each roommate. Initially false. *Asymmetric*

```
greenbusy = true
while redbusy:
    do_nothing()
if fridge_empty():
    buy_milk()
greenbusy = false
```

```
redbusy = true
if not greenbusy and
    fridge_empty():
    buy_milk()
redbusy = false
```

- Really complicated, even for a simple example, hard to ascertain that it is correct
- Asymmetric code, hard to generalize, unfair

Solving the problem, really

The final attempt (Peterson's solution):

- Adding another binary variable: *turn*: { red, green }

```
greenbusy := true
turn := red
while redbusy and
    turn == red:
    do_nothing()
if fridge_empty():
    buy_milk()
greenbusy := false
```

```
redbusy := true
turn := green
while greenbusy and
    turn == green:
    do_nothing()
if fridge_empty():
    buy_milk()
redbusy := false
```

- Really complicated, even for a simple example, hard to ascertain that it is correct
- Hard to generalize, inefficient, ...

Hardware Solution

- ◆ Use more powerful hardware primitives to provide a mutual exclusion primitive
- ◆ Typically relies on a multi-cycle bus operation that atomically reads and updates a memory location
- ◆ Example Conceptual Spec of *Test-And-Set*:

```
ATOMIC int TestAndSet(int *var) {  
    int oldVal := *var;  
    *var := 1;  
    return oldVal;  
}
```

Buying Milk Solved with TAS

Shared variable: int outtobuymilk, initially 0

```
while(TAS(&outtobuymilk) == 1)
  do_nothing();
if fridge_empty():
  buy_milk()
outtobuymilk := 0
```

```
while(TAS(&outtobuymilk) == 1)
  do_nothing();
if fridge_empty():
  buy_milk()
outtobuymilk := 0
```

Spinlocks

```
spinlock_acquire(int *lock) {  
    while(test_and_set(lock) == 1)  
        /* do nothing */;  
}  
spinlock_release(int *lock) {  
    *lock = 0;  
}
```


Buying Milk with Spinlock

Shared spinlock: int outtobuymilk, initially 0

```
spinlock_acquire(&outtobuymilk);  
if fridge_empty():  
    buy_milk()  
spinlock_release(outtobuymilk);
```

```
spinlock_acquire(&outtobuymilk);  
if fridge_empty():  
    buy_milk()  
spinlock_release(outtobuymilk);
```

Spinlock Issues

- ◆ Participants not in critical section must spin
 - wasting CPU cycles
 - Replace the “do nothing” loop with a “yield()” ?
Processes would still be scheduled and descheduled
- ◆ Need better primitive:
 - allows one process to pass through
 - all others to sleep until they can be executed again

Semaphore

Dijkstra 1962

- ◆ Non-negative integer with atomic increment and decrement
 - `S := new Semaphore(initial_value) // must initialize!`
- ◆ Can only be modified by:
 - `P(S)`: decrement or block if already 0
 - `V(S)`: increment and wake up waiting thread if any
 - *No interface to read the value*
- ◆ These operations have the following semantics

```
P(S) {  
    while(S == 0)  
        ;  
    S -= 1;  
}
```

```
V(S) {  
    S += 1;  
}
```

Semaphore implementation for true parallelism

```
struct Sema { int lock = 0; int count; };
```

```
P(Sema *s) {  
    for ever  
        if (test_and_set(&s->lock) == 0) {  
            if (s->count > 0) break;  
            else s->lock := 0;           // OUCH --- busy waiting until count > 0  
        }  
        s->count -= 1;  
        s->lock := 0;  
}  
  
V(Sema *s) {  
    while (test_and_set(&s->lock) == 1)  
        /* do nothing */;  
    s->count += 1;  
    s->lock := 0;  
}
```

Semaphore implementation for non-preemptive threading

```
struct Sema { Queue waitQ; int count; };
```

```
P(Sema *s) {  
    if (s->count > 0) s->count -= 1;  
    else {  
        s->waitQ.enq(curThread);  
        thread_stop();    // sets status to WAITING and runs another thread  
        // continues here after thread is restarted using thread_start()  
    }  
}
```

```
V(Sema *s) {  
    if (s->waitQ.empty()) s->count += 1  
    else {  
        assert(s->count == 0);  
        Thread t = s->waitQ.deq();  
        thread_start(t);    // sets status to RUNNABLE  
    }  
}
```

can be made to work for pre-emptive threading on a uniprocessor by disabling interrupts

Binary Semaphore

- ◆ Semaphore value is either 0 or 1
 - Used for mutual exclusion (sema as a more efficient lock)
 - Initially 1 in that case:

```
semaphore S  
S.init(1);
```

```
Thread1():
```

```
P(S);
```

```
CriticalSection();
```

```
V(S);
```

```
Thread2():
```

```
P(S);
```

```
CriticalSection();
```

```
V(S);
```

Counting Semaphores

- ◆ Sema count can be any integer
 - Used for signaling, or counting resources
 - Typically: one thread performs P() to wait for event, another thread performs V() to alert waiting thread that an event occurred

```
semaphore packetarrived  
packetarrived.init(0);
```

```
PacketProcessor():
```

```
x = retrieve_packet_from_card();  
enqueue(packetq, x);  
V(packetarrived);
```

```
NetworkingThread():
```

```
P(packetarrived);  
x = dequeue(packetq);  
print_contents(x);
```



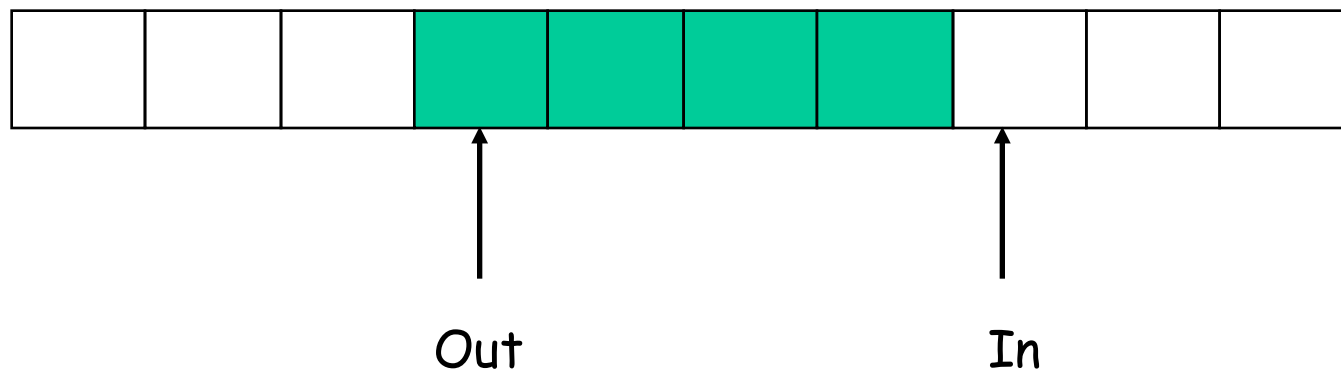
Classical Synchronization Problems

Bounded Buffer

- ◆ 2+ threads communicate with some threads *producing* data that others *consume*
- ◆ **Example:** compiler preprocessor *produces* a source file that compiler's parser *consumes*

Producer-Consumer Problem

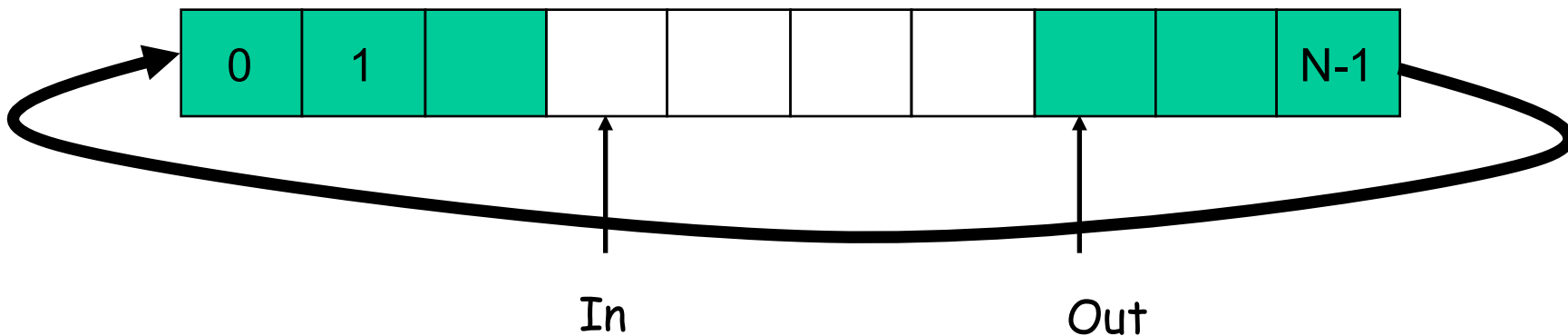
- ◆ Imagine an unbounded (infinite) buffer
- ◆ Producer process writes data to buffer
 - Writes to In and moves rightwards
- ◆ Consumer process reads data from buffer
 - Reads from Out and moves rightwards
 - Should not try to consume if there is no data



Need an infinite buffer

Producer-Consumer Problem

- ◆ Bounded buffer: size **N**
 - Access entry 0... N-1, then “wrap around” to 0 again
- ◆ Producer process writes data to buffer
 - Don't write more than N “un-eaten” items!
- ◆ Consumer process reads data from buffer
 - Don't consume if there is no data!



Producer-Consumer Code, v1

```
int array[N];  
int in, out;
```

```
void produce (int item) {  
    // add item to buffer  
    array[in] = item;  
    in++;  
}
```

[$x = (x + 1) \% N$ or
wrap-around inc.
of your choice]

```
int consume() {  
    // remove item  
    int item = array[out];  
    out++;  
    return item;  
}
```

Problems:

- Consumer could consume when nothing is there!
- Producer could overwrite not-yet-consumed data!

Solving with semaphores

Use of 2 Semaphores offers a **clean & simple** solution

nFilled: keeps track of buffer entries *in use*; *ensures consumer only consumes when something is there*

- initialized to 0,
- incremented by producer
- decremented by consumer

nEmpty: keeps track of *empty* buffer entries; *ensures producer only produces when there is room in the buffer*

- initialized to N
- decremented by producer
- incremented by consumer

Producer-Consumer Code, v2

```
Shared: Semaphores nEmpty , nFilled;  
Init: nEmpty = N; /* # empty buffer entries */  
      nFilled = 0; /* # full buffer entries */
```

```
int array[N];  
int in, out;
```

```
void produce (int item) {  
    P(nEmpty); // verify room for item  
    // add item to buffer  
    array[in] = item;  
    in++;  
    V(nFilled); // "new item!"  
}
```

```
int consume() {  
    P(nFilled); // verify item there  
    // remove item  
    int item = array[out];  
    out++;  
    V(nEmpty); // "more room!"  
    return item;  
}
```

Does v2 work?

Observation:

- Producer & consumer each have own indices (**in,out**)
- Semaphores prevent concurrent reading/writing of same buffer entry

→ **Works!** *But only if there is only 1 producer and 1 consumer*

What if there are multiple producers or consumers?

- Multiple threads using and modifying **in** & **out**
- Particularly bad if a thread gets interrupted...

produce:

```
...  
// add it to buffer
```

here →

```
array[in] = item;  
in++;
```

```
...
```

consume:

```
...  
// remove item
```

or here →

```
int item = array[out];  
out++;
```

```
...
```

Mutex

- ◆ **Mutex:** implemented using a Binary semaphore that is initialized to 1
- ◆ Provides *mutual exclusion* to the critical section of code

produce:

```
P(mutex_p);  
// add it to buffer  
array[in] = item;  
in++;  
V(mutex_p);
```

consume:

```
P(mutex_c);  
// remove item  
int item = array[out];  
out++;  
V(mutex_c);
```

Intuition: effectively makes these 2 lines of code atomic.

Producer-Consumer Code, v3

```
Shared: Semaphores mutex_p , mutex_c , nEmpty , nFilled
Init: mutex_p = 1; /* for mutual exclusion */
      mutex_c = 1;
      nEmpty = N; /* # empty buffer entries */
      nFilled = 0; /* # full buffer entries */
```

```
int array[N];
int in, out;
```

```
void produce (int item) {
    P(nEmpty); // verify room for item
    P(mutex_p);
    // add item to buffer
    array[in] = item;
    in++;
    V(mutex_p);
    V(nFilled); // "new item!"
}
```

```
int consume() {
    P(nFilled); // verify item there
    P(mutex_c);
    // remove item
    int item = array[out];
    out++;
    V(mutex_c);
    V(nEmpty); // "more room!"
    return item;
}
```

Busy Waiting considered Harmful

```
mutex = Semaphore(1)
```

```
...
```

```
for ever:
```

```
    P(mutex)
```

```
    if buffer is empty:
```

```
        V(mutex)
```

```
        continue
```

```
    get item from buffer
```

```
    V(mutex)
```

```
    process item
```

- ◆ This solution works, but it loops continuously until there is an item in the buffer
- ◆ This wasted valuable CPU cycles
- ◆ In this case, you need a semaphore for waiting and signaling
- ◆ You may also need a mutex semaphore for updating the buffer

Producer-Consumer Applications

◆ Applications:

- Data from bar-code reader consumed by device driver
- File data: computer → printer spooler → line printer device driver
- Web server produces data consumed by client's web browser

◆ Example: "pipe" (|) in Unix

> cat file | sort | uniq | more

> prog | sort

◆ **Thought questions:**

- where's the bounded buffer?
- how "big" should the buffer be, in an ideal world?

Readers and Writers

- ◆ In this problem, threads share data that some threads “read” and other threads “write”
- ◆ **Goal:**
 - Allow:
 - ◆ multiple concurrent readers
 - ◆ only a single writer at a time
 - Constraint: if a writer is active, readers must wait

Readers-Writers Problem

- ◆ Courtois et al 1971
- ◆ Models access to a database
 - **Reader:** thread that looks at the database, but won't change it
 - **Writer:** thread that modifies the database
- ◆ **Example:** making an airline reservation
 - *When you browse* to look at flight schedules the web site is acting as a reader on your behalf
 - *When you reserve a seat*, the web site has to write into the database to make the reservation

Readers-Writers Problem

- ◆ N threads share 1 object in memory
 - Some write: **1** writer active at a time
 - Some read: **n** readers active simultaneously
- ◆ *Insight*: generalizes the critical section concept

- ◆ Need to clarify:
 - Writer is active & a combo of readers/writers show up:
Who should get in next?
 - Writer is waiting & endless of stream of readers comes.
Fair for them to become active?
- ◆ For now: back-and-forth turn-taking:
 - If a reader is waiting, *readers* get in next
 - If a writer is waiting, *one* writer gets in next

Readers-Writers

```
mutex = Semaphore(1)
```

```
wrl = Semaphore(1)
```

```
rcount = 0;
```

```
write() {
```

```
    wrl.P();
```

```
    ...
```

```
    /*perform write */
```

```
    ...
```

```
    wrl.V();
```

```
}
```

```
read () {
```

```
    mutex.P();
```

```
    rcount++;
```

```
    if (rcount == 1)
```

```
        wrl.P();
```

```
    mutex.V();
```

```
    ...
```

```
    /* perform read */
```

```
    ...
```

```
    mutex.P();
```

```
    rcount--;
```

```
    if (rcount == 0)
```

```
        wrl.V();
```

```
    mutex.V();
```

```
}
```

Readers-Writers Notes

- ◆ If there is a writer
 - First reader blocks on **wrl**
 - Other readers block on **mutex**
- ◆ Once a reader is active, all readers get to go through
 - Which reader gets in first?
- ◆ The last reader to exit signals a writer
 - If no writer, then readers can continue
- ◆ If readers and writers waiting on **wrl**, and writer exits
 - Who gets to go in first?
- ◆ Why doesn't a writer need to use **mutex**?

Does this work as we hoped?

- ◆ When readers active → no writer can enter
 - Writers wait @ $P(wrl)$
- ◆ When writer is active → nobody can enter
 - Any other reader or writer will wait (where?)
- ◆ Back-and-forth isn't so fair:
 - Any number of readers can enter in a row
 - Readers can "starve" writers
- ◆ A fair back-and-forth solution with semaphores is really tricky!
 - Try it! (don't spend too much time...)

Common programming errors

Process I

P(S)

CS

P(S)

Typo: Process I stuck forever on 2nd P(S).
Every *other* subsequent process freezes up on 1st P(s).

Process j

V(S)

CS

V(S)

Typo: Process J undermines mutual exclusion:
(1) by not checking for permission via P(S)
(2) “extra” V() operations → allows other processes into the CS inappropriately

Process k

P(S)

CS

Omission: Whoever next calls P() will freeze up. Confusing because that *other* process could be correct, but *it's* the one that hangs when you use a debugger to look at its state!

More common mistakes

- ◆ Conditional code that can change code flow in the critical section
- ◆ Usual causes: code updates (bug fixes, added functionality) by someone *other* than the original author of the code

$P(S)$

`if(something or other)`

`return;`

CS

$V(S)$



Language Support for Concurrency

Revisiting semaphores!

- ◆ Semaphores are “low-level” primitives
 - Small errors:
 - Easily bring system to grinding halt
 - Very difficult to debug
- ◆ Two usage models:
 - **Mutual exclusion:** the “real” abstraction is a critical section
 - **Communication:** threads use semaphores to communicate (*e.g.*, bounded buffer example)
- ◆ Simplification: Provide concurrency support in compiler
 - Enter **Monitors**

Monitors

- ◆ Hoare 1974
- ◆ Abstract Data Type for handling/defining shared resources
- ◆ Comprises:
 - Shared Private Data
 - ◆ The resource
 - ◆ Cannot be accessed from outside
 - Procedures that operate on the data
 - ◆ Gateway to the resource
 - ◆ Can only act on data local to the monitor
 - Synchronization primitives
 - ◆ Among threads that access the procedures

Monitor Semantics

- ◆ Monitors guarantee mutual exclusion
 - Only one thread can execute monitor procedure at any time
 - ◆ "in the monitor"

Structure of a Monitor

Monitor *monitor_name*

```
{  
    // shared variable declarations  
  
    procedure P1(...){  
        ....  
    }  
    procedure P2(...){  
        ....  
    }  
    :  
    procedure PN(...){  
        ....  
    }  
  
    initialization_code(...){  
        ....  
    }  
}
```

For example:

Monitor *stack*

```
{  
    int top;  
    void push(any_t *){  
        ....  
    }  
  
    any_t * pop(){  
        ....  
    }  
  
    initialization_code(){  
        ....  
    }  
}
```

Only one operation can
execute at a time

Condition Variables

- ◆ Monitors can define *Condition Variables*:
 - **Condition x;**
 - Provides a mechanism to wait for events
 - ◆ Example events: resources available, any writers, ...
- ◆ 3 operations on Condition Variables
 - **x.wait()**: release monitor lock, sleep until woken up (*or you wake up on your own*)
 - **x.signal()**: wake at least one process waiting on condition (if there is one)
 - ◆ No history associated with signal
 - **x.broadcast()**: wake **all** processes waiting on condition
 - ◆ Useful for resource manager

Using Condition Variables

- ◆ To wait for some condition:

while not *some_predicate*():

CV.wait()

- this releases the monitor lock and allows another thread to enter
- as CV.wait() returns, lock is automatically reacquired

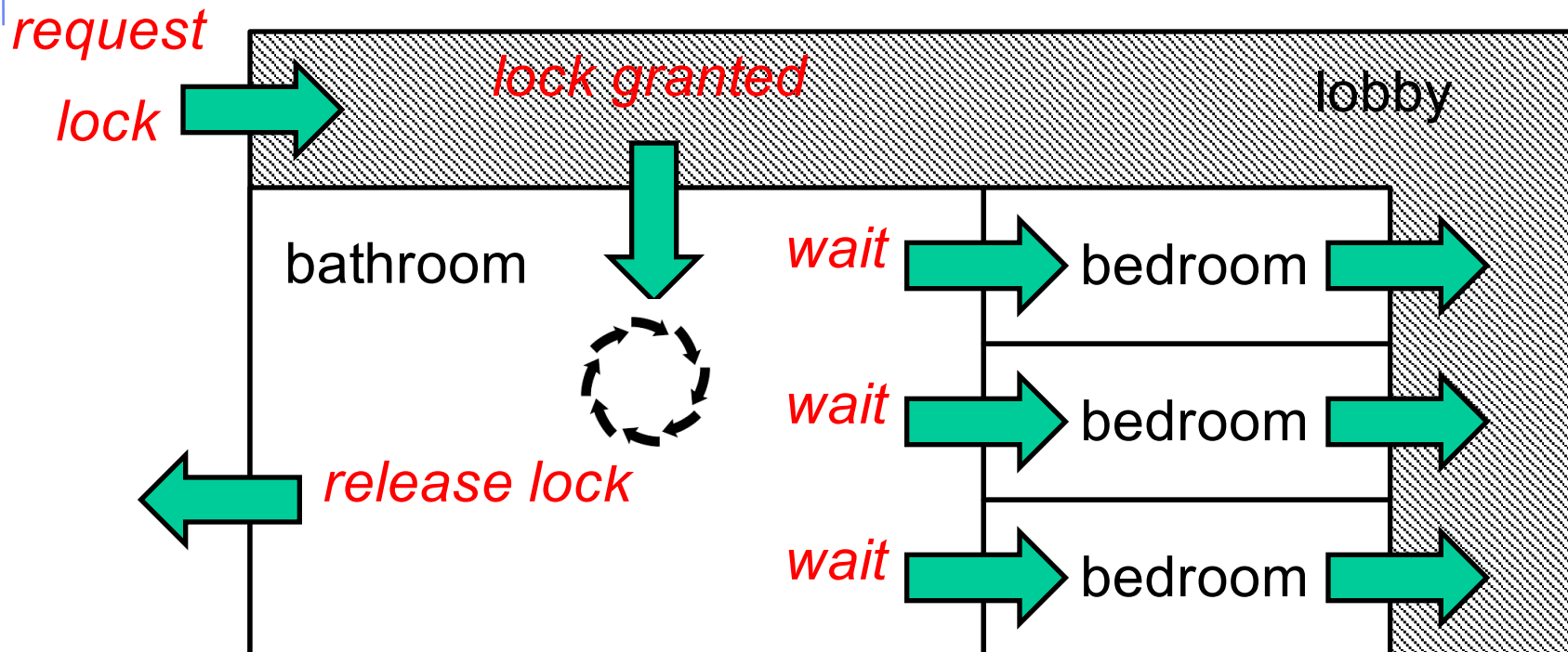
- ◆ When the condition becomes satisfied:

CV.broadcast(): wakes up all threads

or **CV.signal():** wakes up at least one

Types of wait queues

- ◆ Monitors have two kinds of “wait” queues
 - Entry to the monitor (“the lobby”): has a queue of threads waiting to obtain mutual exclusion so they can enter
 - Condition variables (“the bedrooms”): each condition variable has a queue of threads waiting on the associated condition



Condition Variables \neq Semaphores

- ◆ Access to monitor is controlled by a lock
 - Wait: blocks thread and gives up the monitor lock
 - ◆ To call wait, thread has to be in monitor, hence the lock
 - ◆ Semaphore P() blocks thread only if value less than 0
 - Signal: causes waiting thread to wake up
 - ◆ If there is no waiting thread, the signal is lost
 - ◆ V() increments value, so future threads need not wait on P()
 - ◆ Condition variables have no history!

- ◆ However they can be used to implement each other

Hoare vs. Mesa Semantics

- ◆ **Hoare Semantics:** monitor lock is transferred directly from the signaling thread to the newly woken up thread
 - But it is typically not desirable to force the signaling thread to relinquish the monitor lock immediately to a woken up thread
 - Confounds scheduling with synchronization, penalizes threads
- ◆ **Mesa Semantics:** Every real system simply puts a woken up thread on the monitor entry queue (“the lobby”), but does not immediately run that thread, or transfer the monitor lock

Language Support

- ◆ Can be embedded in programming language:
 - Synchronization code added by compiler, enforced at runtime
 - Mesa/Cedar from Xerox PARC
 - **Java: synchronized, wait, notify, notifyall**
 - **C#: lock, wait (with timeouts) , pulse, pulseall**
 - **Python: acquire, release, wait, notify, notifyAll**
- ◆ Monitors easier and safer than semaphores
 - Compiler can check
 - Lock acquire and release are implicit and cannot be forgotten



Monitor Solutions to Classical Problems

A Simple Monitor

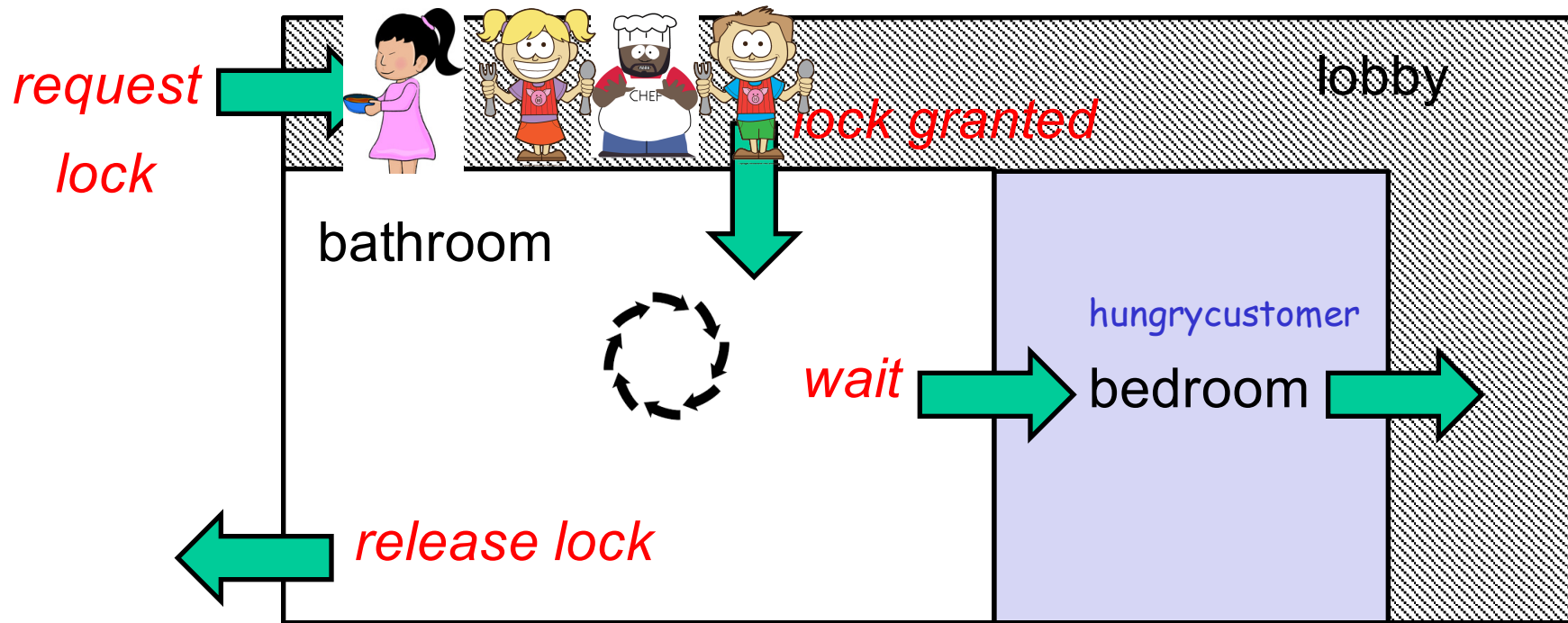
```
Monitor EventTracker {  
    int numburgers = 0;  
    condition hungrycustomer;  
  
    void customerenter() {  
        while (numburgers == 0)  
            hungrycustomer.wait()  
        numburgers -= 1  
    }  
    void produceburger() {  
        ++numburger;  
        hungrycustomer.signal();  
    }  
}
```

- ◆ Because condition variables lack state, all state must be kept in the monitor
- ◆ The condition for which the threads are waiting is necessarily made explicit in the code
 - ◆ `numburgers > 0`
- ◆ Hoare vs. Mesa semantics
 - ◆ What happens if there are lots of customers?


```
int numburgers = 0;
condition hungrycustomer;
```

```
void customerenter() {
    while (numburgers == 0)
        hungrycustomer.wait()
    numburgers -= 1
}
```

```
void produceburger() {
    ++numburger;
    hungrycustomer.signal();
    printf();
}
```



Producer Consumer using Monitors

```
Monitor Producer_Consumer {
    char buf[SIZE];
    int n = 0, tail = 0, head = 0;
    condition not_empty, not_full;

    void produce(char ch) {
        while(n == SIZE)
            wait(not_full);
        buf[head%SIZE] = ch;
        head++;
        n++;
        notify(not_empty);
    }
}
```

```
char consume() {
    while(n == 0)
        wait(not_empty);
    ch = buf[tail%SIZE];
    tail++;
    n--;
    notify(not_full);
    return ch;
}
```

What if no thread is waiting when notify() called?

Then signal is a “no-op”. Very different from calling V() on a semaphore – semaphores remember how many times V() was called!

Readers and Writers

Monitor **ReadersNWriters**

```
int WaitingWriters = 0, WaitingReaders = 0, NReaders = 0, NWriters = 0;  
Condition CanRead, CanWrite;
```

void BeginWrite()

```
    assert NReaders == 0 or NWriters == 0  
    ++WaitingWriters;  
    while NWriters > 0 or NReaders > 0  
        CanWrite.wait()  
    --WaitingWriters;  
    NWriters = 1;
```

void EndWrite()

```
    assert NWriters == 1 and NReaders == 0  
    NWriters := 0;  
    if WaitingWriters > 0  
        CanWrite.signal();  
    else if WaitingReaders > 0  
        CanRead.broadcast();
```

void BeginRead()

```
    assert NReaders == 0 or NWriters == 0;  
    ++WaitingReaders;  
    while NWriters > 0 or WaitingWriters > 0  
        CanRead.wait();  
    --WaitingReaders;  
    ++NReaders;
```

void EndRead()

```
    assert NReaders > 0 and NWriters == 0;  
    --NReaders;  
    if NReaders == 0 and WaitingWriters > 0  
        CanWrite.signal();
```

Understanding the Solution

- ◆ A writer can enter if there is no other active writer and no readers are waiting
- ◆ A reader can enter if there is no active writer and no writers are waiting

Understanding the Solution

- ◆ When a writer finishes, it checks to see if any readers are waiting
 - If so, it lets all of them enter
 - If not, and there is a writer waiting, it lets one of them enter
- ◆ When the last reader finishes, it lets a writer in (if any is there)

Understanding the Solution

◆ It wants to be fair

- If a writer is waiting, readers queue up
- If a reader (or another writer) is active or waiting, writers queue up

- ... this is mostly fair, although once it lets a reader in, it lets ALL waiting readers in all at once, even if some showed up “after” other waiting writers

Subtle aspects?

- ◆ Condition variables force the actual conditions that a thread is waiting for to be made explicit in the code
 - The comparison preceding the “wait()” call concisely specifies what the thread is waiting for
- ◆ The fact that condition variables themselves have no state forces the monitor to explicitly keep the state that is important for synchronization
 - This is a good thing

Barbershop Problem

◆ One possible version:

- A barbershop holds up to k clients
- N barbers work on clients
- M clients total want their hair cut
- Each client will have their hair cut by the first barber available

Implementing the Barbershop

(1) Identify the waits

- Customers?
- Barbers?

(2) Create condition variables for each

(3) Create counters to trigger the waiting

(4) Create signals for the waits

Barrier Synchronization

- ◆ Important synchronization primitive in high-performance parallel programs
- ◆ `nThreads` threads divvy up work and run rounds of computations separated by *barriers*
- ◆ Implementing barriers is not easy. The solution to the right uses a “double-turnstile”.
- ◆ Can you see why a single “turnstile” would not work?

```
def barrier():
    assert nLeaving == 0 and nArrived < nThreads
    nArrived++
    if nArrived == nThreads:
        nLeaving = nThreads
        cond1.broadcast()
    else:
        while nArrived < nThreads:
            cond1.wait()

    assert nArrived == nThreads and nLeaving > 0
    nLeaving--
    if nLeaving == 0:
        nArrived = 0
        cond2.broadcast()
    else:
        while nLeaving > 0:
            cond2.wait()
```

Mapping to Real Languages

```
class RWlock:
    def __init__(self):
        self.lock = Lock()
        self.readCond = Condition(self.lock)
        self.writeCond = Condition(self.lock)
        self.nActiveReaders = 0
        self.nActiveWriters = 0
        self.nWaitingReaders = 0
        self.nWaitingWriters = 0
```

```
signal() == notify()
broadcast) == notifyAll()
```

```
def readAcquire(self):
    with self.lock:
        self.nWaitingReaders += 1
        while self.nWaitingWriters > 0 or self.nActiveWriters > 0:
            self.readCond.wait()
        self.nWaitingReaders -= 1
        self.nActiveReaders += 1

def readRelease(self):
    with self.lock:
        self.nActiveReaders -= 1
        if self.nActiveReaders == 0 and self.nWaitingWriters > 0:
            self.writeCond.notify()
```

- Python monitors are simulated by explicitly allocating a lock and acquiring and releasing it (with the “with” statement) when necessary
 - More flexible than Hoare’s approach

To conclude

- ◆ Race conditions are a pain!
- ◆ We studied several ways to handle them
 - Each has its own pros and cons
- ◆ Support in Python, Java, C# has simplified writing multithreaded applications
 - Java and C# support at most one condition variable per object, so are slightly more limited
- ◆ Some new program analysis tools automate checking to make sure your code is using synchronization correctly
 - The hard part for these is to figure out what “correct” means!