

Lecture 16: October 23

Instructor: Eshan Chattopadhyay

Scribe: Wei-Kai Lin (wl572), Jyun-Jie Liao (jl3825)

**Optimal Vertex Expander.** In this lecture, we first construct an “optimal” vertex expander. Recall that a graph  $G$  is a  $(K, A)$ -vertex expander iff for all vertex set  $|S| \leq K$ , it holds that  $|\Gamma(S)| \geq A \cdot |S|$ .

Let  $q$  be a power of prime,  $\mathbb{F}_q$  be the field of  $q$ ,  $n, m, h \in \mathbb{N}$  be parameters that will be chosen later. We represent  $f \in \mathbb{F}_q^n$  as a polynomial over  $\mathbb{F}_q$  that has degree at most  $n - 1$ , and we choose an irreducible polynomial  $E$  over  $\mathbb{F}_q$  of degree  $n$ . For all  $f \in \mathbb{F}_q$ , let  $f_i := f^{h^i} \pmod E$ .

The construction is a bipartite graph  $G$  consisting of  $N = q^n$  vertices of the left and  $M \times D$  vertices on the right, where  $M = q^m$  and  $D = q$ , and the vertex degree on the left side is  $D$ . The left and right vertex sets are chosen to be  $\mathbb{F}_q^n$  and  $[D] \times \mathbb{F}_q^m$ , and we describe the edge set by the mapping  $e : \mathbb{F}_q^n \times [D] \mapsto [D] \times \mathbb{F}_q^m$  such that for a left vertex  $f \in \mathbb{F}_q^n$ , the edge  $y \in [D]$  goes to the following right vertex,

$$e(f, y) = (y, f_0(y), f_1(y), \dots, f_{m-1}(y)).$$

**Claim 1.**  $G$  is a  $(h^m, q - (n - 1)(h - 1)m)$ -vertex expander.

*Proof.* Let  $L$  and  $R$  be the set of left and right vertices on  $G$  correspondingly. For any  $T \subseteq R$ , define  $\text{LIST}(T) := \{x \in L : \Gamma(x) \subseteq T\}$ . To show a graph is a  $(K, A)$ -vertex expander, it suffices to show that for all subset  $T$  of the right vertex set such that  $|T| = AK - 1$ , it holds that  $|\text{LIST}(T)| \leq K - 1$ .

Given such  $T \subseteq [D] \times \mathbb{F}_q^m$ , let

$$Q(Y, Y_0, Y_1, \dots, Y_{m-1})$$

be a polynomial on  $\mathbb{F}_q$  that vanishes on  $T$ . Observe that  $Q$  can be decomposed into the following summation of monomials,

$$\sum_{i=0}^{A-1} \sum_{j=0}^{K-1} \beta_{i,j} Y^i M_j(Y_0, \dots, Y_{m-1}),$$

where  $K = h^m$ ,  $A = q - (n - 1)(h - 1)m$ , and  $\beta_{i,j} \in \mathbb{F}_q$  are coefficients. Rearranging, we get

$$\sum_{j=0}^{K-1} P_j(Y) M_j(Y_0, \dots, Y_{m-1}),$$

where there exists  $j$  s.t.  $P_j(Y)$  such that is not divisible by  $E(Y)$ . On the other side, fix any  $f \in \text{LIST}(T)$ . Let  $R_f(Y) := Q(Y, f_0(Y), \dots, f_{m-1}(Y))$ . Then, by  $Q$  vanishes on  $T$  and  $f \in \text{LIST}(T)$ , it holds that  $R_f(y) = 0$  for all  $y \in \mathbb{F}_q$ . In addition, the degree of  $R_f$  is  $(A - 1) + m(n - 1)(h - 1) < q$ , which implies that  $R_f(Y)$  is a zero polynomial. Now, define

$$W(Z) := Q\left(Y, Z, Z^h, Z^{h^2}, \dots, Z^{h^{m-1}}\right)$$

on  $\mathbb{F}_q$ . Then,  $f(Y)$  is a root of  $W(Z)$  as  $W(f(Y)) = R_f(Y) = 0$ . Finally, note that

$$W(Z) = \sum_{j=0}^{K-1} P_j(Y) Z^j \pmod{E(Y)}.$$

It follows that  $|\text{LIST}(T)|$  is at most the degree of  $W(Z)$ , which is at most  $K - 1$ . □

**Theorem 1.** For any  $N, K \leq N, \epsilon, \alpha > 0$ , there exists a  $(K, A)$ -vertex bipartite expander such that consists of  $N$  left vertices,  $M$  right vertices, and left vertex degree  $D$ , where  $A \geq (1 - \epsilon)D$ ,  $M \leq D^2 K^{1+\alpha}$ , and  $D = \left(\frac{\log N \cdot \log K}{\epsilon}\right)^{1+1/\alpha}$ .

*Proof.* In the graph  $G$  of Claim 1, pick  $h = \left(\frac{\log N \cdot \log K}{\epsilon}\right)^{1/\alpha}$ ,  $q \in [h^{1+\alpha}, 2h^{1+\alpha}]$ ,  $n$  such that  $q^n = N$ , and  $m$  such that  $q^{m+1} = M$ .  $\square$

**Lossless Condenser from Vertex Expander.** Note that the vertex expansion  $A$  of the  $(K, A)$ -vertex expander is  $\epsilon$  close to the degree  $D$ , and that is why we called it “optimal”. Next, we recall the theorem from Lecture 14 that states a  $(K, (1 - \epsilon))$ -vertex expander implies (and also the converse) a strong lossless condenser, we claim the following corollary.

**Corollary 1.** For all  $n, k, d$ , any constant  $\epsilon, \alpha > 0$ , there exists a lossless condenser  $Con : \{0, 1\}^n \times \{0, 1\}^d \mapsto \{0, 1\}^m$  such that takes an  $(n, k)$ -source and outputs an  $(m, k + d)$ -source with error  $\epsilon$ , where  $d = (1 + 1/\alpha)(\log n + \log k + \log \frac{1}{\epsilon}) + O(1)$ ,  $k \leq m \leq 2d + (1 + \alpha)k$ .

**Extractor for Arbitrary Entropy.** Now we have a condenser. Combining a condenser with an extractor for high-entropy source, we can get an extractor for arbitrary entropy.

**Theorem 2.** Suppose  $Con : \{0, 1\}^n \times \{0, 1\}^{d_1} \rightarrow \{0, 1\}^{k'}$  is a  $(n, k) \rightarrow_{\epsilon_1} (k', (1 - \delta)k')$  condenser, and  $Ext^\delta : \{0, 1\}^{k'} \times \{0, 1\}^{d_2} \rightarrow \{0, 1\}^m$  is a  $((1 - \delta)k', \epsilon_2)$  extractor, Then  $Ext : \{0, 1\}^n \times \{0, 1\}^{d_1+d_2} \rightarrow \{0, 1\}^m$ , defined by

$$Ext(x, (y_1, y_2)) = Ext^\delta(Con(x, y_1), y_2),$$

is a  $(k, \epsilon_1 + \epsilon_2)$  extractor.

We give a construction of  $Ext^\delta$  with seed length  $O(\log n)$  for  $\delta = O(\epsilon^2)$  below.

**Theorem 3.** Suppose  $G$  is a  $([2^m], 2^c, \lambda)$  expander for some constant  $c, \lambda$ , and  $\epsilon > 0$  is an error parameter. Define a function  $Ext^\delta : \{0, 1\}^n \times [L] \rightarrow \{0, 1\}^m$ , where  $L = \frac{n-m}{c}$  and  $Ext^\delta(x, y)$  is generated as follow:

1. Take the first  $m$  bits of  $x$  to be  $z_0 \in [2^m]$ , and parse the remaining  $(n - m)$  bits as  $e_1, e_2, \dots, e_{\frac{n-m}{c}}$ , where each  $e_i$  is a  $c$ -bit integer.
2. Start a  $y$ -step walk from  $u$  on  $G$ , following the sequence of edge labels  $(e_1, \dots, e_y)$ . Output the destination  $v \in [2^m]$ .

Then  $Ext^\delta$  is a  $((1 - \delta)n, \epsilon)$  extractor, where  $\delta = O(\epsilon^2)$  and  $m = O(n)$ .

*Proof.* Consider any statistical test for the output  $Ext^{0.99}$ , interpreted as a set  $S \subseteq \{0, 1\}^m$ . Consider a uniformly random source  $X \in \{0, 1\}^n$  and a uniformly random seed  $Y$ . Let  $Z_i$  denote the indicator for the event  $Ext(X, i) \in S$ . Then  $\Pr[Ext(X, Y) \in S] = \frac{1}{L} \sum_{i \in [L]} Z_i$ . By the Chernoff bound on expander graph,

$$\Pr \left[ \left| \frac{1}{L} \sum_{i \in [L]} Z_i - \frac{|S|}{2^m} \right| > \epsilon/2 \right] \leq 2 \exp \left( \frac{-(1 - \lambda)\epsilon^2 L}{16} \right)$$

Now consider any  $(1 - \delta)n$ -source  $X'$ , and define  $Z'_i$  similarly as above. Then

$$\Pr \left[ \left| \frac{1}{L} \sum_{i \in [L]} Z'_i - \frac{|S|}{2^m} \right| > \epsilon \right] \leq 2 \exp \left( \frac{-(1 - \lambda)\epsilon^2 L}{16} \right) \cdot 2^{\delta n} \leq \epsilon/2$$

for proper choice of  $\delta$  and  $m$ . Therefore  $S$  cannot distinguish  $Ext(X', Y)$  from uniform with advantage more than  $\epsilon$ .  $\square$